

# Environmental Noise in Advanced LIGO Detectors

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**Abstract.** The sensitivity of the Advanced LIGO detectors to gravitational waves can be affected by environmental disturbances external to the detectors themselves. Since the transition from the former initial LIGO phase, many improvements have been made to the equipment and techniques used to investigate these environmental effects. These methods have aided in tracking down and mitigating noise sources throughout the first three observing runs of the advanced detector era, keeping the ambient contribution of environmental noise below the background noise levels of the detectors. In this paper we describe the methods used and how they have led to the mitigation of noise sources, the role that environmental monitoring has played in the validation of gravitational wave events, and plans for future observing runs.

## 1. Introduction

Between 2010 and 2015, the two LIGO detectors at Hanford, WA (LIGO Hanford Observatory, or LHO) and Livingston, LA (LIGO Livingston Observatory, or LLO) underwent a period of extensive upgrades to transition from the Initial LIGO stage to the Advanced LIGO (aLIGO) configuration [1], significantly improving their sensitivity to gravitational waves [2]. The aLIGO detectors began their first observing run (O1) on September 12, 2015, and made the first detection of gravitational waves from a binary black hole (BBH) merger on September 14, 2015 [3], followed by two more BBH detections before the end of the run on January 16, 2016 [4]. The second observing run (O2) began on November 30, 2016 after a period of detector upgrades and ended on August 25, 2017. During O2, in addition to several more BBH detections, LIGO observed the first binary neutron star (BNS) merger on August 17, 2017 [5]. The third observing run (O3), which spanned April 1, 2019 to March 27, 2020, came after another round of major improvements in the performance of the detectors [6] and the full inclusion of the Virgo detector in the GW network. The first half of the run, ending on October 1, 2020, LIGO and Virgo observed a total of 39 GW events [7].

Environmental disturbances can significantly impact the data quality of the LIGO detectors. A gravitational wave (GW) is detected by measuring the differential arm length (DARM) of an interferometer (and converting it to a GW strain), so coupling between the external environment and the interferometer readout can reduce a detector's

127 sensitivity to gravitational waves and potentially produce transient non-astrophysical  
128 signals in the detector. The environment can influence the detector through physical  
129 contact (via vibrations or temperature fluctuations), electromagnetic waves, static  
130 electric and magnetic fields, and possibly high-energy radiation. These effects are  
131 monitored with the physical environmental monitoring (PEM) system of sensors [8].

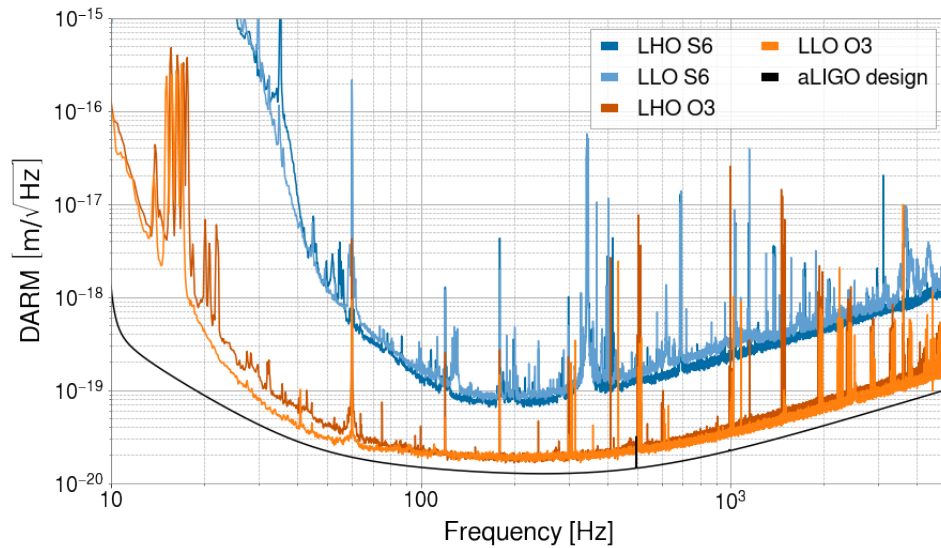
132 Studying environmental noise serves two purposes. The first is the validation of GW  
133 events. Environmental disturbances at amplitudes large enough to influence the LIGO  
134 data occur frequently around each detector and can potentially be correlated between  
135 different detectors, i.e. stemming from a common source as opposed to stemming from  
136 chance coincidence. Such correlated noise is not accounted for in the estimation of false-  
137 alarm probabilities, which is done by time-shifting background data from each LIGO  
138 detector to produce long stretches of coincident background. Environmental noise is  
139 particularly important in searches for un-modeled sources of gravitational waves, as these  
140 look for excess power without the use of waveform templates. Thus it is important to  
141 have a quantitative solution for identifying and evaluating the impact of environmental  
142 transients when they occur near candidate events.

143 The second purpose is to improve the sensitivity of the detector by reducing  
144 contamination from environmental noise. We track down troublesome noise sources  
145 and coupling mechanisms so that we can either remove the noise sources themselves,  
146 isolate them from the detector, or modify the detector to reduce coupling.

147 Effler et al. (2015) [8] described the methodology for studying environmental  
148 coupling and presented results from the sixth and final science run (S6) prior to the  
149 transition to aLIGO. The methodology has since been improved and expanded, and  
150 sensitivity to environmental effects has changed with the upgrades to the detector.  
151 This paper describes these changes and presents cases where noise sources have been  
152 identified and mitigated between S6 and the end of O3. We also summarize how GW  
153 events are vetted using quantitative results from injections. This paper focuses on noise  
154 investigations at the LIGO detectors; a similar discussion for the Virgo detectors is  
155 provided in Fiori et al. (2020) [9].

156 There are many techniques for characterizing detector noise beyond those described  
157 here [10, 11, 12, 13, 14]. These include the use of tools for detecting excess power  
158 transients in the strain data [15], categorizing transients using machine learning to  
159 better distinguish them from astrophysical signals [16, 17], searching for correlated  
160 noise between auxiliary sensors and the strain data [18], and many more. Although  
161 these also play a role in achieving the goals above, this paper discusses more direct,  
162 focused techniques for studying, quantifying, and mitigating environmental effects.

163 This paper is organized as follows. In section 2 we summarize the changes made to  
164 the LIGO detectors and the PEM system since S6. In section 3 we present a method for  
165 quantifying environmental coupling based on data from noise injections. In section 4 we  
166 describe developments in the techniques for performing environmental noise injections.  
167 In section 5 we show results of recent studies and provide examples of how environmental  
168 influences have been mitigated. In section 6 we describe the process of vetting GW event



**Figure 1.** Amplitude spectral densities of the differential arm length displacement (DARM) at the end of S6 (Feb 27 2010 04:27:47 UTC) and during O3 (Mar 20 2020 00:00:00 UTC).

169 candidates with examples from real events. We conclude with a discussion of future work  
 170 in section 7.

## 171 2. aLIGO Upgrades

### 172 2.1. Detector Upgrades

173 When fully commissioned aLIGO is designed to provide an order of magnitude  
 174 improvement to sensitivity in its most sensitive band [1], as well as more than an order of  
 175 magnitude improvement at lower frequencies due to seismic isolation upgrades. Figure  
 176 1 compares the DARM noise spectra of LHO and LLO at the end of S6 to that at the  
 177 end of O3. Significant progress has been made in approaching the design sensitivity  
 178 of aLIGO, and further improvements are foreseen for the fourth observing run (O4),  
 179 expected to begin in 2022. Here we highlight a few of the major upgrades that were  
 180 directly relevant to reducing the coupling of ambient environmental noise.

181 The core interferometer optics (including the test mass mirrors and beam splitter)  
 182 are suspended in active multi-stage suspension systems, which in turn are on active  
 183 seismic isolation tables [19, 20]. This provided a substantial improvement to sensitivity  
 184 below 100 Hz over the initial LIGO configuration. The suspension and isolation tables  
 185 also provide useful sensors for the motion of these optics.

186 Auxiliary sensors used in the control system of the interferometer were moved from  
 187 in-air optical tables to in-vacuum, seismically isolated tables. This reduced acoustic  
 188 coupling but did not eliminate it. Although the main laser system (PSL, or pre-stabilized  
 189 laser) could not be moved into vacuum, an acoustically isolated room was built to house  
 190 the laser, and a new optical table with improved isolation and damping of its resonances

191 was installed [21].

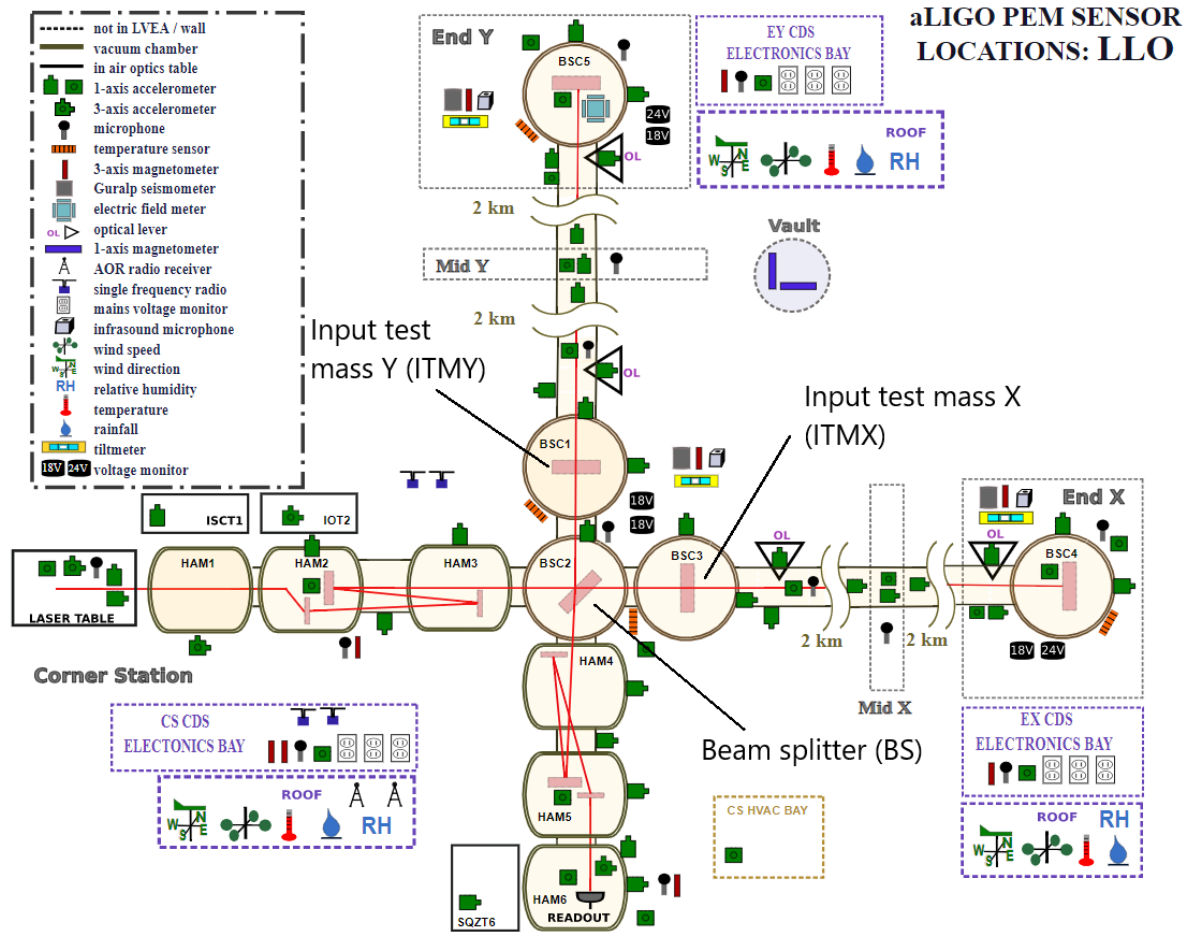
192 To reduce magnetic coupling, magnets and certain magnetic materials are no longer  
193 present on or near the test masses themselves [22]. Instead, the aLIGO test masses are  
194 controlled either by magnets at the upper stages of the suspension system or by an  
195 electrostatic drive. To further reduce the ambient acoustic noise from electronics fans  
196 near the detector, power supplies and most electronics were moved to separate rooms  
197 (called here electronics bays), some tens of meters away from the vacuum system which  
198 houses the interferometer.

## 199 2.2. Environmental Monitoring Upgrades

200 Understanding environmental influences on the detectors requires comprehensive  
201 monitoring of its physical surroundings. This is done through the PEM system  
202 of auxiliary sensors, which consists of accelerometers for high-frequency vibrations  
203 (tens to thousands of Hz), seismometers for low-frequency vibrations (up to tens  
204 of Hz), microphones, magnetometers, voltage monitors that measure the voltage of  
205 electric power supplied to the detector sites, radio-frequency (RF) receivers, a cosmic-  
206 ray detector for high-energy particles, and wind, temperature and humidity sensors.  
207 Detailed information on PEM sensors, including example background spectra and  
208 calibration data, can be found on the PEM website, PEM.LIGO.org [23]. The site  
209 also provides links to long-term summaries of ground tilt, seismic motion, and wind (on  
210 the Environmental Studies pages).

211 In order to monitor environmental signals that could influence the interferometer,  
212 we use PEM sensors that are demonstrated to be much more sensitive to these signals  
213 than the interferometer is. Sensor locations are chosen with the goal of maximizing  
214 coverage of potential coupling sites. Ideally, if an environmental signal were to reach a  
215 coupling site, nearby sensors should be able to observe the signal at an amplitude equal  
216 to the amplitude at the coupling site. In practice, we place sensors where we expect  
217 the coupling to be strongest, and we may place new sensors during the run to improve  
218 monitoring of important coupling sites.

219 By focusing on the fundamental interactions that can affect the detector, the PEM  
220 system allows us to monitor potential effects from a large variety of environmental  
221 events. For example, wind can couple through vibrations in the ground and air, so  
222 its effects are monitored by seismometers, accelerometers, and microphones. Lightning  
223 could couple by magnetic fields, power mains disturbances, and electromagnetic waves  
224 at radio frequencies that we demodulate into the detection band, so lightning strikes are  
225 monitored with magnetometers, mains monitors, and RF receivers. The PEM sensors  
226 provide coverage of signals in the detection band of the interferometer (20-2000 Hz),  
227 although we also monitor beyond these frequencies when there are coupling mechanisms  
228 that convert low- or high-frequency signals up or down into the detection band or when  
229 the interferometer performance can be affected by frequencies outside of the detection  
230 band.



**Figure 2.** The Physical Environmental Monitoring system layout at the LIGO Livingston detector during O3, as seen on the PEM public website [23]. The path of the main interferometer laser is shown as a red line; core optics, such as the test masses, are represented by rectangles inside the vacuum chambers. The most major changes during aLIGO have been made to the accelerometer locations and the addition of new magnetometers, e.g. in the electronics bays.

231 The state of the PEM system at LLO during O3 is shown in Figure 2. A similar  
 232 map for LHO is available on the PEM website [23]. Since the transition to aLIGO, many  
 233 changes [24] have been implemented to expand the general coverage of the PEM system,  
 234 provide additional monitoring near known high-coupling areas, and adapt to the detector  
 235 upgrades described in 2.1. Many changes involved the addition of accelerometers or  
 236 relocation of existing ones:

- 237 • Vacuum chambers: In iLIGO, most accelerometers were mounted on the seismic  
 238 isolation system. These locations became redundant with the introduction of  
 239 vibrational sensors as part of the new active isolation systems, so the accelerometers  
 240 are now mounted on the chamber walls where they can detect motions that could  
 241 modulate laser light scattered off of the chamber walls.
- 242 • Beam tubes: Accelerometers at select sites along the 4-km beam tubes monitor



243 vibrations that affect the modulation of reflected light inside. Coverage now  
244 includes the mid-stations, which is especially important at LHO where significant  
245 coupling has been measured, likely because they contain the smallest aperture  
246 between vertex and end stations.

- 247 • Electronics bays: Floor accelerometers were added to detect vibrational coupling  
248 to the electronics boards (e.g. through resistance variations in poor solder joints)  
249 and to monitor the rooms as seismic sources.
- 250 • Vacuum enclosure areas: Floor accelerometers were added near the vacuum  
251 chambers in order to expand coverage and aid in localizing sources of vibrations  
252 through propagation delays and amplitude differences at locations that do not have  
253 the resonance structure of the vacuum envelope.
- 254 • Pre-stabilized laser table: Coverage of the main laser table was expanded. This  
255 area has continued to be a major source of vibrational coupling.

256 Many sensors were also upgraded to newer models in order to improve their  
257 performance. Table 1 summarizes the current sensor models and specifications.

258 Magnetometer coverage was also expanded, particularly with the addition of  
259 magnetometers on the electronics racks (located in the electronics bays) which were  
260 important noise sources and coupling sites during initial LIGO. Relatively large magnetic  
261 fields are generated by the equipment in the racks and these fields can couple to  
262 components, cables and, connectors in the racks. Additionally, magnetometers in  
263 electronics racks have been useful for identifying sources of narrow spectral peaks even  
264 when the coupling was not through magnetic fields. Cyclical processes producing line  
265 artifacts in the DARM spectrum can be tracked down by detecting currents associated  
266 with those processes. In a sense, we monitor multiple electronic systems at once, using  
267 fluxgate magnetometers in the electronics racks (Bartington-03 series [25]). These are  
268 sensitive enough to detect periodic currents with amplitudes as low as  $5 \times 10^{-5}$  A at 1  
269 m from long wires or traces [26].

270 Additionally, the non-rigid tripods for fluxgate magnetometers were replaced with  
271 rigid ones. Non-rigid tripods lead to increased cross-talk between floor vibrations and the  
272 magnetometer signal, as the magnetometer vibrates relative to the Earth's magnetic field  
273 at the tripod resonance frequency. This created a peak in the magnetometer spectrum  
274 a factor of three above background, which was eliminated by switching to rigid tripods  
275 [27].

276 In addition to the fluxgate magnetometers that monitor local magnetic fields,  
277 extremely low frequency induction coil magnetometers (LEMI-120 [28]) were added  
278 to the PEM system in order to monitor magnetic noise from Schumann resonances.  
279 These are global electromagnetic resonances in the cavity formed by the Earth's surface  
280 and the conductive ionosphere. Lightning strikes around the world excite this resonant  
281 cavity, producing picoTesla-scale magnetic fields that can cause correlated noise in the  
282 LIGO detectors [29, 30, 31]. Two LEMI magnetometers are positioned at each site, far  
283 enough from the detector so that they are not sensitive to the same local magnetic fields



**Table 1.** Specifications for important PEM sensor types. The operating frequency range is the range in which the sensor calibration is flat; we often use them over a broader range. Noise floor numbers are reported in the operating band of each sensor (seismometer at 1 Hz).

Type	Sensor	Operating freq.	Sampling freq.	Noise floor
seismometer	Guralp <sup>®</sup> CMG-3T [34, 35]	0.1-20 Hz	256 Hz	<1 nm/s/ $\sqrt{\text{Hz}}$
accelerometer	Wilcoxon <sup>®</sup> 731-207 [36]	1-900 Hz	4096 Hz	0.5 $\mu\text{m}/\text{s}^2/\sqrt{\text{Hz}}$
microphone	Brüel&Kjær <sup>®</sup> 4130 [37]	10-900 Hz	16384 Hz	<30 $\mu\text{Pa}/\sqrt{\text{Hz}}$
microphone	Brüel&Kjær <sup>®</sup> 4188 [38, 39]	8-12500 Hz	16384 Hz	<5 $\mu\text{Pa}/\sqrt{\text{Hz}}$
magnetometer	Bartington <sup>®</sup> 03CES100 [25]	0-900 Hz	8192 Hz	<6 pT/ $\sqrt{\text{Hz}}$
magnetometer	LEMI-120 <sup>®</sup> [28]	0.0001-1000 Hz	4096 Hz	<0.1 pT/ $\sqrt{\text{Hz}}$
radio station	AOR <sup>®</sup> AR5000A [40]	24.5 MHz	16384 Hz	—

284 observed by the fluxgate magnetometers. They are placed at a location between the  
 285 corner station and end stations, 100-200 m from the beam tube, one aligned with the  
 286  $x$ -axis and one with the  $y$ -axis of the interferometer.

287 An electric field meter was installed in an end test mass chamber at each observatory  
 288 [32, 33, 6]. These can detect electric fields generated inside of the chambers as well as  
 289 fields from outside the chamber that make it in through glass viewports on the chambers.

### 290 3. Coupling Functions

291 To determine the degree to which the detector is affected by environmental influences  
 292 during operation, we inject basic environmental disturbances that produce a response in  
 293 DARM. We make acoustic injections with speakers and monitor them with the system  
 294 accelerometers and microphones; seismic injections with shakers, monitoring them with  
 295 the accelerometers and seismometers; magnetic injections with wire coils monitored with  
 296 the magnetometers. The injection methodology is described in more detail in Section  
 297 4. To motivate the injection techniques we first discuss the means of quantifying the  
 298 coupling.

299 Suppose there exists only one coupling site, a sensor is placed at the location of the  
 300 coupling site, and a noise injection is performed that produces a signal in the sensor and  
 301 some response in DARM. A *coupling function* can be computed based on the actuation  
 302 measured by the witness sensor and the response measured in DARM [41, 42]. We  
 303 compare the amplitude spectral densities (ASDs) of DARM and the witness sensor  
 304 during the time of the injection (*injection time*) to their ASDs during a time when both  
 305 are at observation-mode noise levels (*background time*). The coupling function at some  
 306 frequency  $f$  is given by

$$\text{CF}(f) = \sqrt{\frac{[Y_{\text{inj}}(f)]^2 - [Y_{\text{bkg}}(f)]^2}{[X_{\text{inj}}(f)]^2 - [X_{\text{bkg}}(f)]^2}} \quad (1)$$

307 where  $X_{\text{bkg}}(f)$  and  $X_{\text{inj}}(f)$  are the ASDs of the witness sensor at background and

308 injection times, respectively, and  $Y_{\text{bkg}}(f)$  and  $Y_{\text{inj}}(f)$  are the ASDs of DARM at  
 309 background and injection times. We use *coupling factor* to refer to the value of a  
 310 coupling function at a single frequency bin.

311 A sensor’s coupling function can be used to compute the contribution of noise in the  
 312 sensor to DARM. For example, when validating GW events, we multiply the coupling  
 313 function by the amplitude of any environmental transient observed by the sensor to  
 314 predict the corresponding amplitude in DARM. Additionally, multiplying the coupling  
 315 function by the sensor’s ambient background level yields the ambient contribution of  
 316 noise at the sensor to the DARM spectrum:  $Y(f) = \text{CF}(f)X(f)$ .

317 Suppose now we expand the scenario such that there are multiple coupling sites,  
 318 and a sensor is placed at the location of each site. We can model the response  
 319 in DARM to each injection as a linear combination of the sensor signals and their  
 320 sensor-specific coupling functions. To solve for the coupling functions, we can perform  
 321 multiple injections instead of just one, resulting in a system of  $n$  equations with  $m$   
 322 unknown coupling functions, where  $n$  and  $m$  are the numbers of injections and sensors,  
 323 respectively:

$$Y_i(f) = \sum_{j=1}^m \text{CF}_j(f)X_{ij}(f). \quad (2)$$

324 Here  $Y_i(f)$  and  $X_{ij}(f)$  are the amplitudes of DARM during injection  $i$  and sensor  $j$   
 325 during injection  $i$  respectively, and  $\text{CF}_j(f)$  is the coupling function of sensor  $j$ . One  
 326 could solve (2) to determine the coupling functions of all sensors.

327 We have assumed thus far that the witness sensors are placed at the locations of the  
 328 coupling mechanisms, but such perfect placement is not realistically feasible given that  
 329 there are an unknown number of coupling sites at unknown locations. A sensor, even if it  
 330 is near a coupling site, only measures the injection amplitude at its own location, not at  
 331 the coupling location. Therefore, when using real-world sensors, (1) is only an estimate  
 332 of the true coupling, and (2) is not an exact model of all the coupling mechanisms.  
 333 Nevertheless, as explained above, we distribute sensors to maximize coverage of coupling  
 334 sites and find that this has been sufficient for producing reliable coupling functions for  
 335 all sensors, as discussed further in Section 3.1.

336 One hurdle remains in attempting to solve (2). In practice, typically  $n < m$  due to  
 337 logistical constraints on the number of injections one could perform during a realistic  
 338 time window, which makes the system of equations underdetermined. The problem  
 339 can be simplified by instead approximating  $\text{CF}_j(f)$  for each sensor independently of  
 340 other sensors. Given a sensor  $j$ , we can re-purpose (1) (replacing  $X$  with  $X_{ij}$  and  $Y$   
 341 with  $Y_i$ ) to compute a single-injection “coupling function”  $\mathcal{CF}_{ij}(f)$  for each injection,  
 342 then combine those to produce an approximation to  $\text{CF}_j(f)$ . The closer an injection  
 343 is to a sensor, the more accurate the computed  $\mathcal{CF}_{ij}(f)$  would be to  $\text{CF}_j(f)$ , since the  
 344 DARM response would be dominated by coupling near sensor  $j$ . Since it is impractical  
 345 to produce an injection at each sensor, the approach we have adopted for combining the  
 346  $\mathcal{CF}_{ij}(f)$  is to construct a *composite coupling function* whose value at each frequency bin

347 is the coupling factor corresponding to the nearest injection, determined by the highest  
 348 sensor amplitude (using the assumption that injection amplitudes are equivalent). That  
 349 is, for a frequency  $f_k$  and a set of injections  $\mathcal{I}$ , we measure the sensor amplitudes  
 350  $\{X_{ij}(f_k) \mid i \in \mathcal{I}\}$ , compute the single-injection coupling functions  $\{\mathcal{CF}_{ij}(f_k) \mid i \in \mathcal{I}\}$ ,  
 351 and compute the composite coupling function as

$$\widetilde{\text{CF}}_j(f_k) := \mathcal{CF}_{l_j}(f_k) \text{ where } l = \underset{i \in \mathcal{I}}{\text{argmax}} (X_{ij}(f_k)). \quad (3)$$

352 If the distribution of injection locations provides sufficient coverage of sensor  
 353 locations, then  $\widetilde{\text{CF}}_j(f) \approx \text{CF}_j(f)$ . We discuss shortcomings of this assumption in Section  
 354 3.1.

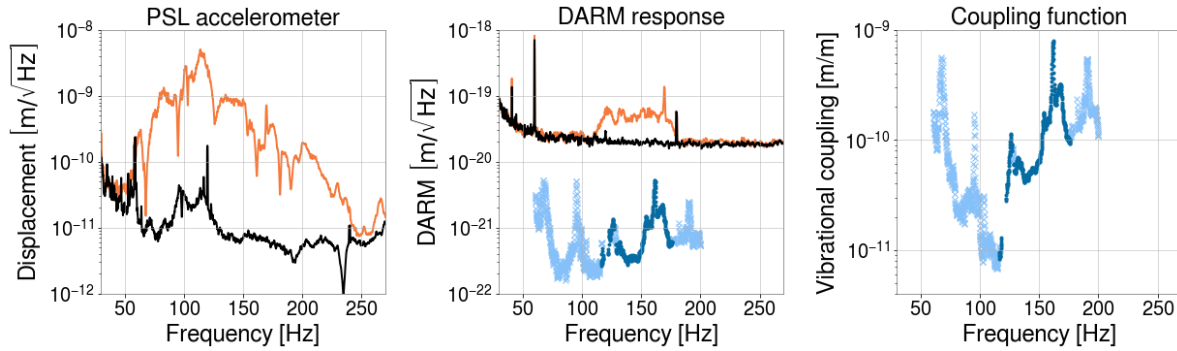
355 Computing the single-injection coupling functions  $\mathcal{CF}_{ij}(f)$  (example shown in  
 356 Figure 3) requires a significant difference between the injection and background signals  
 357 in the sensor and in DARM. To distinguish between measurements and upper limits,  
 358 thresholds are chosen for the sensor and DARM in the form of a ratio between the  
 359 injection ASD and background ASD. For each frequency bin, if an injection produces a  
 360 large enough signal to exceed both the sensor threshold and DARM threshold, then a  
 361 coupling factor can be measured via Eq. 1. If the injection exceeds the sensor threshold  
 362 but not the DARM threshold, then we instead compute an upper limit by omitting the  
 363 DARM background term. These thresholds are typically chosen to be a factor of two  
 364 in DARM and a factor of a few in the sensor, based on the typical level of fluctuations  
 365 observed in the spectra.

366 The composite coupling function computed via (3) is used for comparing coupling  
 367 between different sensor locations and producing estimates of DARM amplitudes, e.g.  
 368 as part of event validation (see Section 6). Therefore we refer to a sensor's composite  
 369 coupling function simply as its coupling function from here on. Figure 4 provides an  
 370 example of an estimated ambient for an accelerometer on the HAM6 vacuum chamber  
 371 (which houses the interferometer output optics). The PEM website provides coupling  
 372 functions for all accelerometers, microphones, and magnetometers produced from the  
 373 most recent campaign of injections [23].

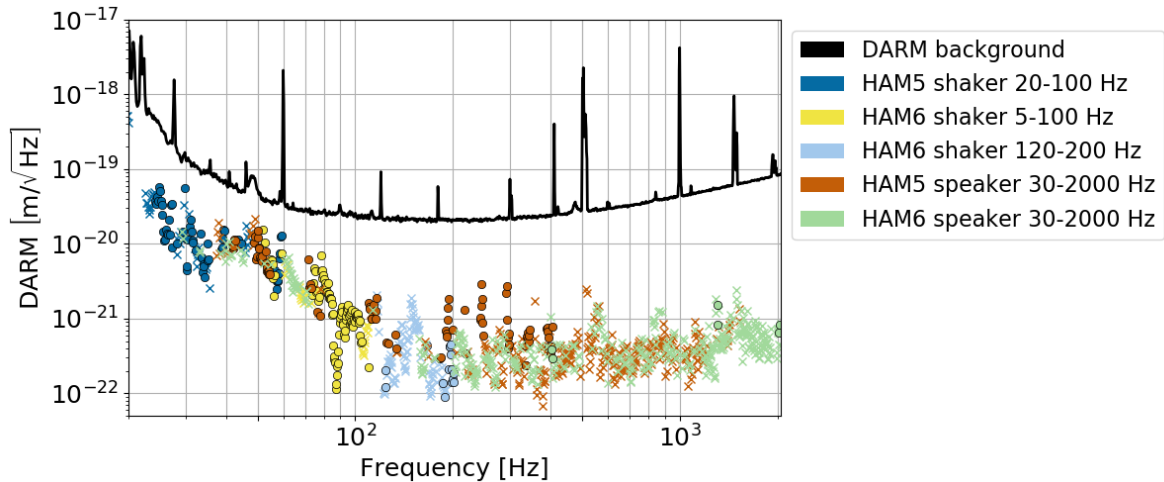
### 374 3.1. Uncertainties and Limitations

375 We characterize coupling using the coupling function defined in (1) instead of a transfer  
 376 function because we do not assume perfect coherence. Low coherence can arise either due  
 377 to non-linearity in the coupling or due to the spacing between the sensor and coupling  
 378 site.

379 To measure coupling, we inject signals large enough to produce a response in  
 380 DARM, but the maximum amplitude of injections is limited by the sensitive range  
 381 of the environmental sensors (saturation produces an overestimate of coupling). This  
 382 effectively limits how far below the DARM background we can probe for coupling or  
 383 establish upper limits.

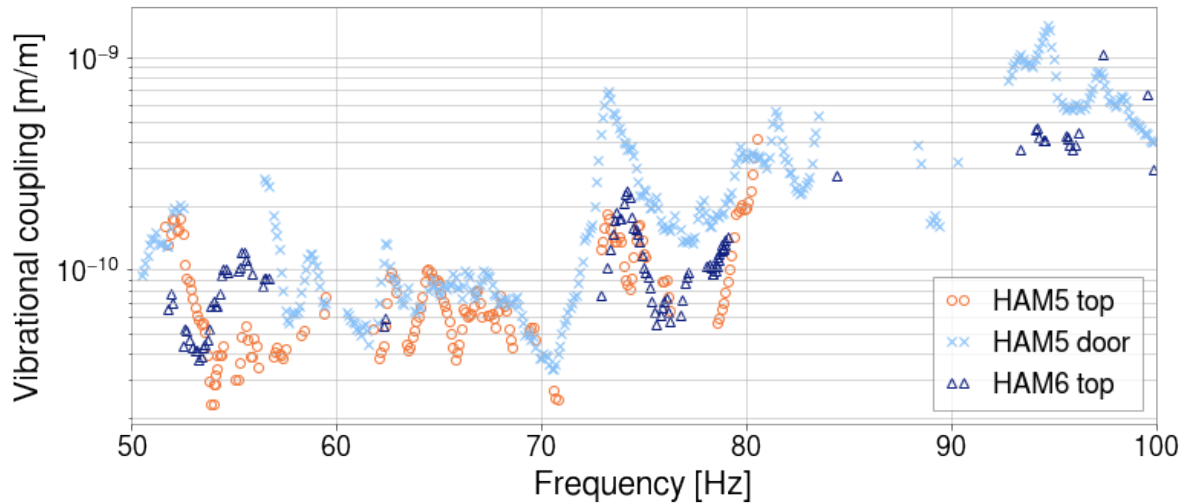


**Figure 3.** Vibrational coupling excited by a broadband (60-200 Hz) acoustic injection near the output arm of the interferometer. The left plot shows the displacement of an accelerometer in the PSL room during background time (black) and injection time (orange). The middle plot shows the interferometer readout during background time (black) and injection time (orange). Estimated ambient levels for the accelerometer are also shown as dark blue dots, with upper limits shown as light blue crosses; they are produced from the single-injection coupling function in the right plot. A vibrational single-injection coupling function represents meters of differential test mass displacement per meter of sensor displacement, hence the units of  $\text{m}/\text{m}$ .



**Figure 4.** Ambient noise level for the LHO HAM6 Y-axis accelerometer estimated from a composite coupling function, using acoustic and seismic injections near the output arm. For simplicity only five injections were used to produce this example, however in practice the number of injections performed near a sensor can be many times higher.

384 Equation (1) relies on two assumptions about the coupling mechanism. First, the  
 385 coupling is assumed to linear, e.g. doubling the amplitude of the injection would double  
 386 the amplitude of the response in DARM. We check this by repeating injections with  
 387 different amplitudes. Second, the coupling function ignores any up- or down-conversion  
 388 of the signal between the sensor and DARM. This non-linear coupling can be very  
 389 significant for scattering noise and bilinear coupling but is not accounted for in the  
 390 estimates of linear coupling. One way we detect non-linear coupling is by sweeping



**Figure 5.** Single-injection coupling functions for the HAM5 Y-axis accelerometer from shaking injections made from three different locations (on top of HAM5, on top of HAM6, and on the HAM5 chamber door) show the typical spread in coupling that results from varying the injection location. Multiple injections at different frequency bands are shown for each source location. On average the coupling measured from different locations varies by a factor of two.

391 single frequency injections over time and searching for off-frequency response in DARM  
 392 spectrograms. Frequency changes from non-linear coupling can be an issue in broadband  
 393 injections where up- or down-converted noise in DARM appears in the injection band,  
 394 resulting in artificially higher estimates of linear coupling. We split broadband injections  
 395 into smaller frequency bands to avoid this effect when necessary.

396 As mentioned above, the use of (2) relies on the assumption that the environment  
 397 is monitored at the coupling site. The density of sensors is not great enough for this  
 398 to be strictly true, especially if the source of the environmental signal is closer to  
 399 the coupling site than the sensor is. The finite spacing of sensors leads to imperfect  
 400 coupling functions but, for environmental signals that are generated at a distance  
 401 greater than the typical sensor spacing of a few meters (the external signals that are the  
 402 focus of PEM), the uncertainty can be evaluated by the differences between injections  
 403 made at different locations. Figure 5 shows single-injection coupling functions for an  
 404 accelerometer measured from shaker injections produced from three locations. The  
 405 average spread in coupling between different locations, measured by taking the ratio  
 406 between the highest and lowest values in each frequency bin where there are at least  
 407 two coupling factors, is about two. A similar spread is seen when comparing acoustic  
 408 injections from different locations as well as magnetic injections from different locations.

409 In the case of acoustic injections, the uncertainty in a coupling function can be  
 410 exacerbated when nodes and anti-nodes in the acoustic signal coincide with the location  
 411 of a sensor. This results in peaks and troughs in the sensor spectrum at frequencies that  
 412 have a node or anti-node at the sensor location, respectively. These artifacts can impact

any sensor, but are more noticeable in microphone spectra than accelerometer spectra, possibly because the stiffness of the vacuum enclosure results in effectively averaging over a larger area; in microphones, the peak-to-trough ratio is typically a factor of a few. The peaks and troughs are present in the sensor but not in DARM, because the sensor monitors a single point whereas the coupling to DARM is spread across a large enough area for the effects of nodes and anti-nodes to average out. Consequently, this effect imprints troughs and peaks onto the coupling function. The artifacts can be smoothed out of the spectra by computing a moving average over  $X_{\text{inj}}(f)$ . The peak-to-peak distances are typically a few Hz, so we smooth the spectra enough to remove features up to a few Hz across. This is acceptable in broadband acoustic injections which are designed to not produce any other spectral features at this scale.

Although the injections used to measure coupling functions are designed to best replicate environmental noise, there are still differences and it is useful to test the coupling functions with different environmental events by comparing noise seen in DARM during such events to noise levels predicted by PEM sensors and their coupling functions. Thunderstorms are known to produce short-duration transients in DARM at tens of Hz. At LLO, coupling functions for several accelerometers at the Y end station, where vibrational coupling was the highest, were capable of estimating the amplitude of multiple transients in DARM to within a factor two during a particularly loud thunderstorm [43]. Helicopter flyovers can produce narrow-band features in DARM up to tens of seconds long. Coupling functions of various sensors at both interferometers predicted the amplitudes of lines produced by multiple helicopter flyovers during O3 to within a factor of two in most cases [44]. Vibrational noise from rain and the building HVAC, which produce much longer-duration noise in DARM, have also been well estimated by coupling functions at LHO [45, 46].

#### 4. Injection Methods

The basic methodology of environmental noise injections is described in [8]. Here we summarize the methods and describe improvements made to the hardware and techniques since then.

Injection locations are chosen to best mimic disturbances from outside the detector. To do so we choose them to be as far from the detector and environmental sensors as possible, but we are usually limited by the size of the detector sites themselves (some injections can be made from outside). We perform injections from as many locations as time allows in order to maximize coverage of potential coupling sites. Increased time allocation towards environmental studies in recent years has allowed for a significant increase in the number of injection locations.

Table 2 summarizes the current equipment used and Figure 6 shows photos of some of the equipment. Seismic injections at low frequency (up to tens of Hz) during initial LIGO were performed with small electromagnetic and piezoelectric shakers and a weighted cart. A large shaker [47] has been used since the beginning of noise studies



**Table 2.** Specifications for injection equipment.

Equipment	Injection type
Custom enclosure with two 14-in. speakers	Acoustic
Various smaller speakers	Acoustic
APS 113 Electro-Seis <sup>®</sup> Long Stroke Shaker [47]	Vibrational
Piezosystem <sup>®</sup> [48] shaker with custom reaction mass	Vibrational
Brüel & Kjær <sup>®</sup> [49] EM shaker with custom reaction mass	Vibrational
1 m diameter copper coil (100 turns)	Magnetic
3 x 3 m and 5 x 5 m coils (80-100 turns)	Magnetic



**Figure 6.** Injection equipment photos. From left to right: wall-mounted magnetic field injection coil; 14-in. speakers; APS 113 shaker connected to the door of a vacuum chamber by a rigid fiberglass rod; modified Piezosystem shaker clamped to an electronics rack; modified B&K shaker clamped to a beam tube support.

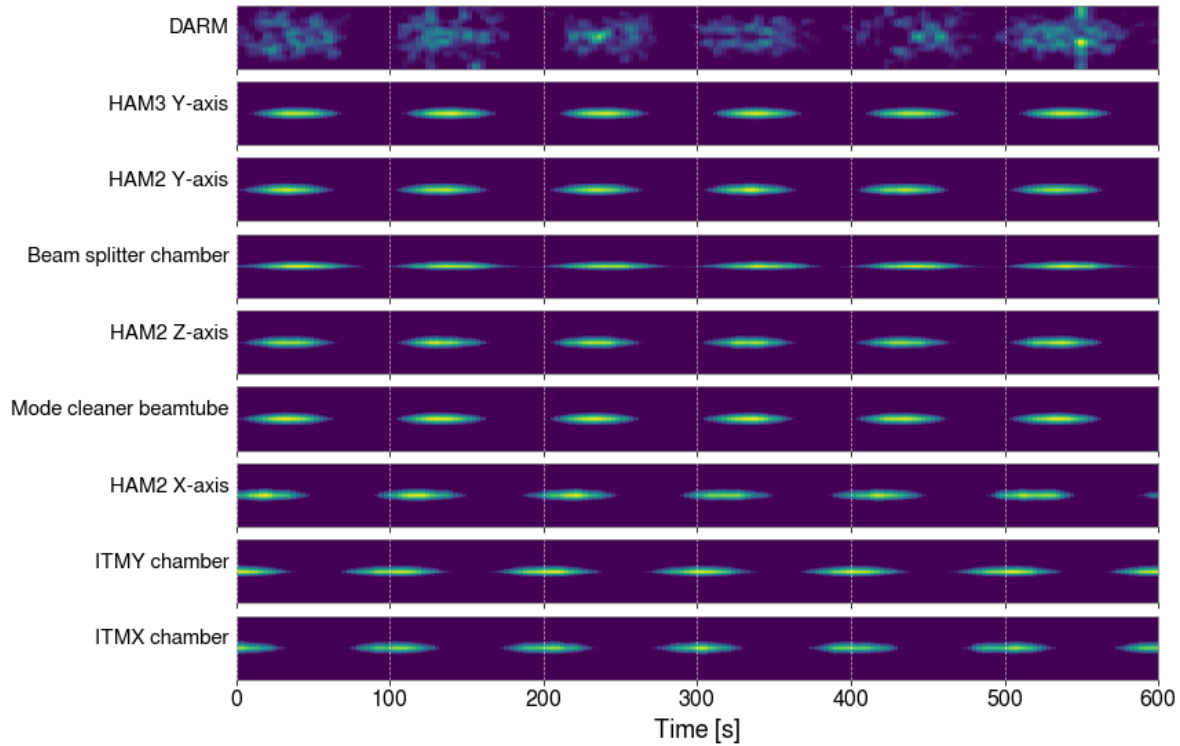
453 for O3.

454 Two new injection techniques have been developed for localizing vibration coupling  
 455 sites connected to the vacuum enclosure, such as locations on the vacuum enclosure that  
 456 reflect scattered light. The techniques rely on the slow propagation speeds (hundreds  
 457 of meters per second) of vibrations on the steel vacuum enclosure walls or, for acoustic  
 458 injections, in air.

459 The first technique is narrow-band, and involves vibrating the vacuum enclosure  
 460 at two slightly different frequencies, each injected from a shaker or a speaker at a  
 461 different location (e.g. a shaker at one location injects a sine wave at frequency  $f$  and a  
 462 shaker at the other location injects at frequency  $f + 0.01$  Hz). The two injections are  
 463 adjusted in amplitude to produce strong beats in DARM. Because the injection locations  
 464 are different, the relative phase of the two injected signals varies with location on the  
 465 vacuum enclosure. As a result, the phase of the beat envelope varies with position,  
 466 and different sites experience maximum chamber wall motion at different times. The  
 467 sites with accelerometer signals that have the same beat envelope phase as DARM are  
 468 candidates for the scattering sites on the vacuum enclosure walls (Figure 7). Other  
 469 sensors that are not near the coupling site may also match the phase by chance, but  
 470 these false positives can be rejected by varying the locations of the shakers.

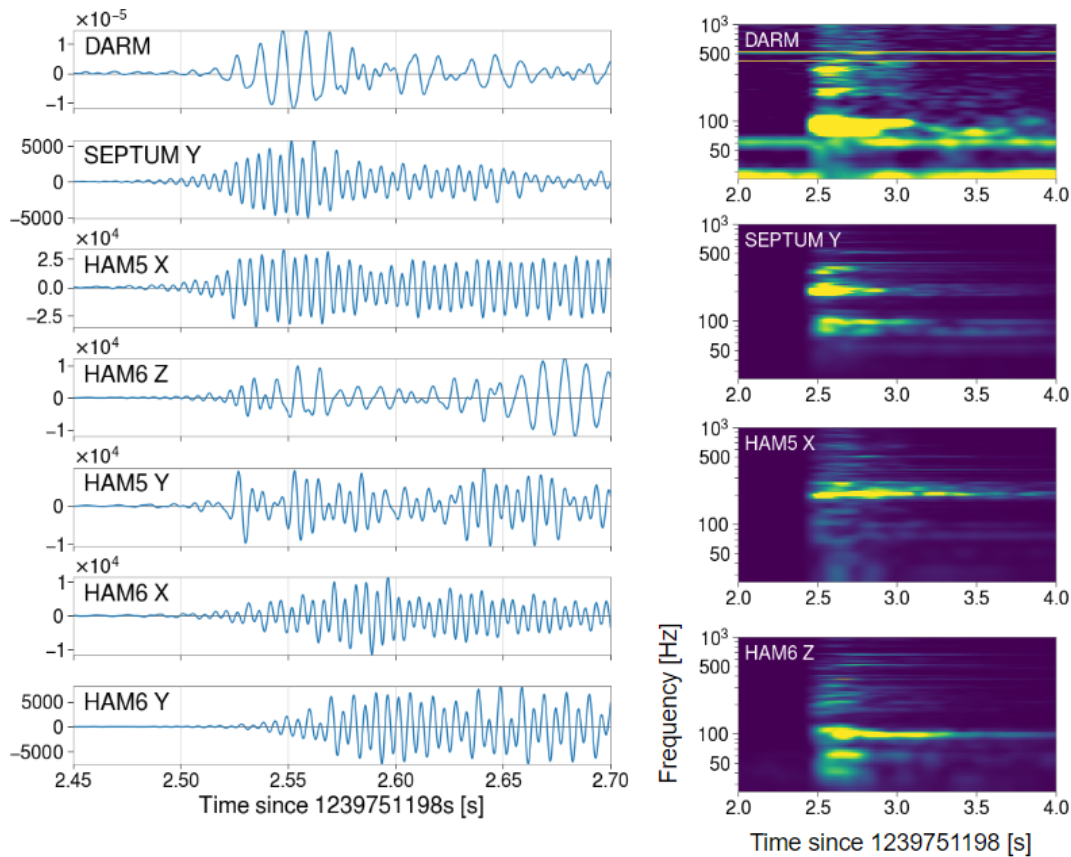
471 The second injection technique, which is broad band, involves propagation delays





**Figure 7.** Example spectrograms showing a vibrational beat injection using two shakers to localize the coupling site responsible for a 48 Hz peak in the DARM spectrum. The shakers were injecting at 48 and 48.01 Hz. The Y-axes of the spectrograms are centered along at 48 Hz and show the combined signal in each sensor modulating at the beat frequency (0.01 Hz). This set of spectrograms suggests that the accelerometers on the input test mass (ITM) chambers and the Y-axis HAM2 accelerometer are likely not close to the true coupling location, since the beat envelopes are the furthest offset from the beat envelope in the DARM response. Multiple other injections were made (not shown here) with varying shaker locations in order to rule out other sensors until the most likely candidate remaining was the HAM3 Y-axis accelerometer. Black glass was used to block scattered light at this location and the peak was eliminated for the second half of the O3 observation run.

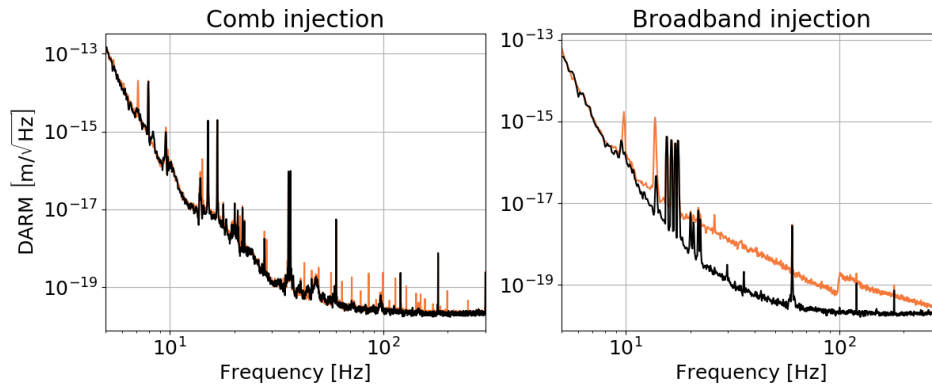
472 in impulse injections. Impulse injections are performed by striking the vacuum  
 473 enclosure directly with enough force to produce a transient in DARM and in nearby  
 474 accelerometers. The vibrational impulse propagates through the structure of the vacuum  
 475 enclosure, arriving at different accelerometers and coupling sites at different times. We  
 476 can distinguish these arrival times because the propagation velocity is much slower than  
 477 in solid material, and is only roughly 300 m/s in our case. Using time series plots, the  
 478 arrival time of the impulse in DARM is compared to the arrival time of the impulse in  
 479 multiple accelerometers (Figure 8, left). The accelerometers that have the same arrival  
 480 time as DARM are more likely to be near a coupling site than those that observe the  
 481 impulse much earlier or later than DARM does. Again, varying the location of the  
 482 injection eliminates sensors that match the DARM time-of-arrival by chance but are  
 483 actually far from the coupling site. An additional consistency check is that the coupling



**Figure 8.** Left: Example time series of a single impulse injection signal in DARM and various output optics accelerometers. Multiple sensors observe an impulse time-of-arrival matching that of DARM, but repeating the injection from various other locations rules out sensors that do not match DARM consistently across multiple injections. In this case the septum (separating the HAM5 and HAM6 chambers) accelerometer signal matched the DARM signal most consistently (other injections not shown for brevity). Right: Spectrograms of the same impulse injection for DARM and the three sensors with the closest matching time-of-arrival to DARM. The similarity between the frequency structure of the septum accelerometer and that of DARM further supports the septum as a dominant coupling site in the output arm.

484 of accelerometers near the coupling site will vary less between different impulse locations  
 485 than that of accelerometers far from the coupling site. Finally, if the accelerometer is  
 486 at the coupling site, the impulse in DARM will have a resonance structure that is  
 487 similar to the resonance structure of the accelerometer signal, which can be judged from  
 488 spectrograms (Figure 8, right).

489 These two techniques aided in the localization of a coupling site that was producing  
 490 a 48 Hz peak in DARM throughout the first half of O3 [50]. The peak was present before  
 491 the start of the run, and shaker and acoustic injections suggested the source was likely  
 492 at the corner station. Impulse injections pointed to the highest coupling being near the  
 493 vertex and input arm. Using the double-shaker beat injection method, with frequencies  
 494 of 48 and 48.01 Hz, it was found that the timing of the beat envelope in DARM best



**Figure 9.** DARM response to old (left, comb) and new (right, broadband) magnetic injections. Black and orange lines show the DARM ASD before and during the injections, respectively. The comb injection curve is a composite of multiple comb injections, with fundamental frequencies of 7.1 Hz, 14.2 Hz, and 49.7 Hz, made at different times. The broadband injection spectrum is a composite of a 10-100 Hz injection and a 100-1000 Hz injection made at different times, hence the break at 100 Hz.

495 matched that of the HAM3 door, even after varying the shaker locations and using  
 496 a temporary accelerometer to test other nearby locations. This led to the discovery  
 497 that the 48 Hz peak was a result of scattered light at the HAM3 viewports, which was  
 498 promptly eliminated by blocking it with black glass, removing the 48 Hz peak from the  
 499 DARM spectrum for the remainder of the observing run.

500 Improvements have also been made to the magnetic field injection equipment. In  
 501 order to generate fields strong enough to couple into DARM using the 1 m magnetic  
 502 field coils made during initial LIGO [8], we must focus the power of the coil into narrow  
 503 bands and combs instead of injecting broadband signals. This was sufficient in initial  
 504 LIGO when strong magnetic coupling occurred primarily through permanent magnets.  
 505 However, due to the removal of permanent magnets from the test masses, coupling  
 506 from those sources has decreased and cables and connectors have become the dominant  
 507 coupling sites above about 80 Hz, introducing more structure to the coupling functions  
 508 and requiring stronger injections.

509 To achieve high-amplitude broadband magnetic injections, seven wall-mounted  
 510 coils, each one a 3 m x 3 m or 5 m x 5 m square of 80-100 turns, are being installed  
 511 at each site; three at the corner station and two at each end station. These coils are  
 512 fixed in place and can be operated remotely, allowing for weekly injections to monitor  
 513 variations in magnetic coupling caused by changes to electronics. Figure 9 compares the  
 514 old and new magnetic injections. Some coils were installed and operated at the sites  
 515 during O3; the project will be completed by the start of O4.

## 516 5. Mitigation of Environmental Effects in aLIGO

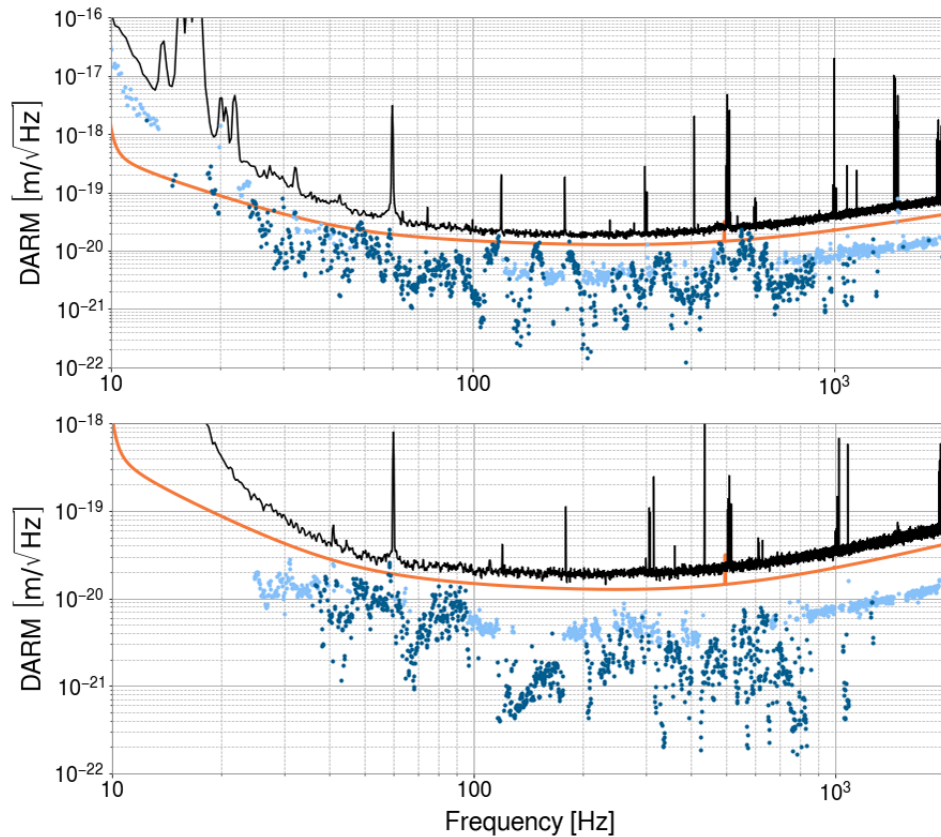
517 We use the methods discussed thus far to track down noise sources whose estimated  
518 ambient level in DARM is more than a tenth of the DARM background. Mitigation  
519 can be accomplished in three ways: by removing or modifying the source itself, by  
520 isolating the source or otherwise addressing propagation of the signal to the detector,  
521 or by reducing the coupling itself through some modification to the detector. Here we  
522 provide several examples of environmental effects that were mitigated based on results  
523 from noise investigations.

### 524 5.1. Seismic and acoustic influences

525 Figure 10 shows the ambient contribution of vibrational noise during O3, produced by  
526 combining the highest coupling factors among accelerometers and microphones measured  
527 from an injection campaign at the beginning of O3. At the end of O3, the vibration  
528 noise background at both observatories was dominated by input beam jitter above 100  
529 Hz (discussed in Section 5.1.1). At LHO, the dominant coupling region below 100 Hz  
530 was the output arm. At LLO, the dominant coupling regions were the Y-end in the  
531 40-60 Hz band and the output arm in the 60-100 Hz band.

532 5.1.1. *Input beam jitter.* Alignment fluctuations of the beam (beam jitter) entering the  
533 interferometer cause variation in the coupling of the fundamental mode into the arm  
534 cavities, producing amplitude noise. In addition, the varying beam position relative  
535 to defects in the test masses causes a variation in the balance of light between the  
536 two arms, further contributing to the noise [6]. The dominant source of alignment  
537 fluctuations was turbulence in the laser cooling system, causing vibration of mirrors  
538 and other optics on the table and in the laser, producing peaks in DARM at mechanical  
539 resonances of the optics and their mounts. A second mechanism may be variation in  
540 beam diameter associated with the turbulent cooling. In addition to vibrations from  
541 the cooling system, transient vibrations, such as those made by large vehicles or heavy  
542 footsteps in the control room, produced transients in DARM by temporarily increasing  
543 alignment fluctuations.

544 Mitigation has included removing turbulence-producing connectors, sharp turns in  
545 the coolant lines, and abrupt diameter changes within the cooling system; and reducing  
546 the flow of coolant [51]. In addition, injections were used to identify the optic mounts  
547 that produced the largest peaks in DARM, and mass-and-viton dampers were added to  
548 the mounts. This resulted in motion reductions by factors of a few [52]. Finally, the  
549 resonances of optic mounts on the periscope that raises the beam from the laser table  
550 level to the interferometer level, were tuned by adding small masses to the optic mounts,  
551 so that their resonances would not overlap in frequency with periscope resonances that  
552 would increase the motion of the mounts [53].



**Figure 10.** Ambient vibrational noise at LHO (top) and LLO (bottom), shown in dark blue (measurements) and light blue (upper limits). The values are produced by selecting the highest-amplitude composite coupling function at each bin across all sensors at each observatory. The black and orange lines show the DARM background and the aLIGO design sensitivity, respectively.

553 *5.1.2. Vibrational coupling at resonances of the vibration isolation system.* One of the  
 554 most troubling environmental couplings early in aLIGO was vibration coupling at 100  
 555 Hz and above through the seismic isolation system. Not only were ambient vibration  
 556 levels producing noise within a factor of two of the DARM background around 1000  
 557 Hz, but the coupling was highly non-linear (see non-linearity discussion in Uncertainties  
 558 and Limitations), and it was the only vibrational coupling observed that could produce  
 559 noise in the detection frequency band around 100 Hz from a source near 1000 Hz.  
 560 One could imagine a rising frequency signal (chirp) from the startup of a motor with  
 561 squealing bearings, for example, that would have been able to produce a chirp in DARM  
 562 in the 100 Hz band. This problem required special vetting of the first GW detections  
 563 since, normally, the vetting procedure only assumes linear coupling (discussed further  
 564 in Section 6).

565 The coupling was due to little or no isolation in certain frequency bands associated  
 566 with mechanical resonances of the isolation system. The active system vibrationally  
 567 isolating the in-vacuum optical tables works mainly below 20 Hz. For higher frequencies,  
 568 there are one (HAM chambers) or two (BSC chambers) passive layers associated with

569 the suspension of the optical tables. But, at the many resonances (violin modes) of the  
570 multiple wire-like flexures that suspend the tables, there was little isolation, allowing  
571 vibration at these frequencies to couple to DARM. This lack of isolation produced  
572 linear vibration coupling at multiple optical tables and, at the dark port table, non-  
573 linear coupling due to an intermodulation of vibration and a strong length dither used  
574 in controlling the length of the output mode cleaner (OMC). The coupling was mitigated  
575 by attaching Viton<sup>TM</sup> [54] to the suspension flexures at tables with coupling to DARM.  
576 To further reduce non-linear coupling, the amplitude of the OMC length dither was  
577 reduced as far as possible [55].

578 *5.1.3. Coupling of wind through ground tilting in the 0.1 Hz band.* Vibrations from  
579 wind affect the interferometer directly in the 10-100 Hz band. At lower frequencies,  
580 particularly in the band around 0.1 Hz, pressure fluctuations associated with wind can  
581 affect performance and duty cycle by tilting the ground. Performance can be affected  
582 by direct tilt of optical table supports or by tilt of ground motion sensors used in the  
583 active isolation system, producing inaccurate signals from sensors that do not distinguish  
584 between tilt and acceleration. Even far from the buildings, we found that the ground  
585 tilts in wind (about  $1e-8$  radians/sqrt(Hz) at 0.1 Hz in wind reaching 15 m/s at LHO),  
586 to a degree that is consistent with spatially varying wind speeds and Bernoulli forces.  
587 But the tilting in the buildings was a factor of several times larger, and found to be  
588 greatest near the building walls. The pressure fluctuations on the walls are thought to  
589 tilt the wall supports which, in turn, tilt the ground at their base. The coherence length  
590 of floor tilt measured at Hanford was a couple of meters, indicating that the cement  
591 slab does not tilt as a unit. Instead, the tilting is local and mainly within meters of  
592 the base of columns that support the walls, consistent with an elastic dimpling of the  
593 ground around the support [56, 57].

594 The localized nature of the dominant tilt has led to the simple mitigation technique  
595 of moving ground sensors as far from the walls as possible. While certain sensors could be  
596 moved, the large vacuum chambers near the wall could not, and for future installations,  
597 we have recommended that the chambers be placed at least 10 m from the base of wall  
598 supports.

599 In order to further mitigate the effects of wind-induced tilt, tilt meters with  
600 improved sensitivity were designed and deployed [58, 59]. The first versions were  
601 produced to correct the artifacts that tilts produce in seismometers, but a table-top  
602 tilt meter is also being developed in order to mitigate the effects of the tilt of the optical  
603 tables in the chambers.

604 Wind fences have been used to reduce wind in agricultural and recreational settings,  
605 and modeling suggested that wind fences may be useful for reducing the effects of wind  
606 pressure on the building walls. For this reason a wind fence was constructed at Hanford,  
607 and is currently being evaluated [60]. One remaining question is how effective a wind  
608 fence is in the troubling frequency band around 0.1 Hz where the length scale is 100m  
609 for 10 m/s wind.



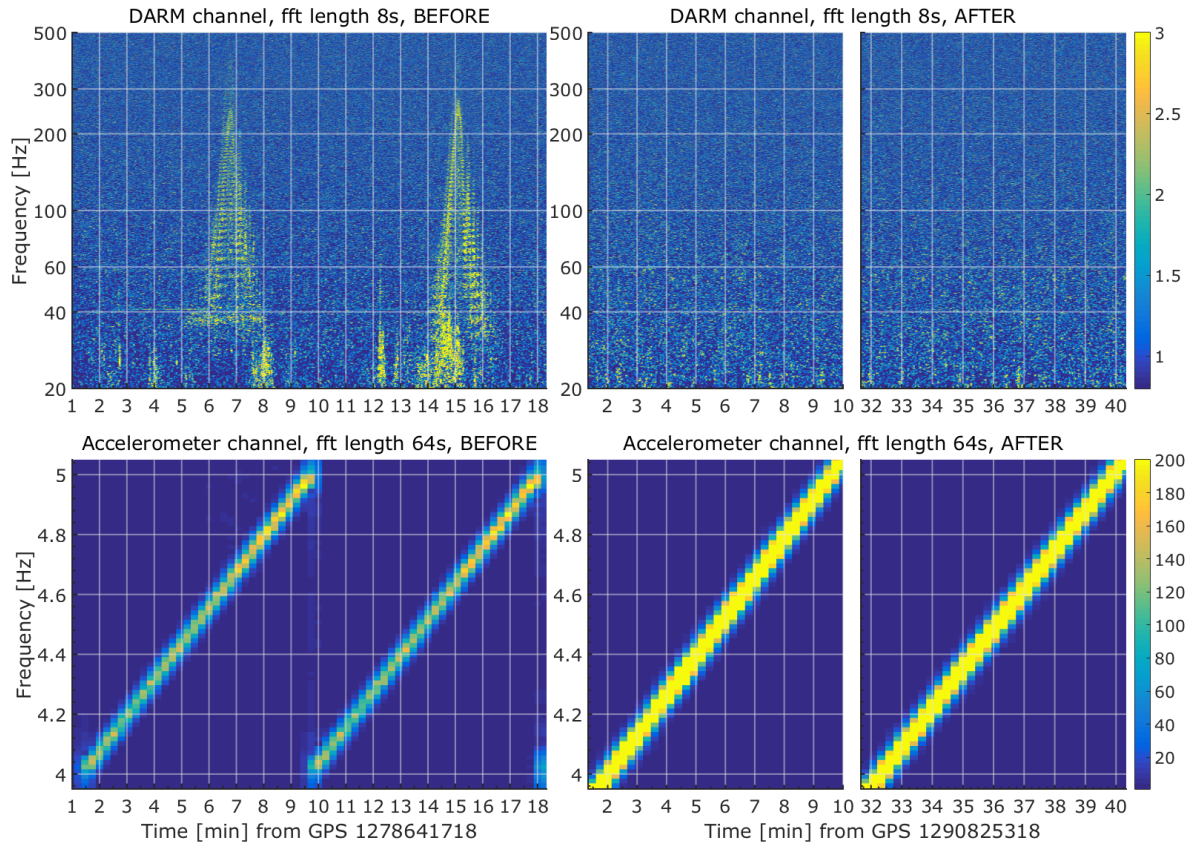
610 *5.1.4. Vibration modulation of scattered light paths.* A major source of detector noise  
611 and reduced sensitivity to GWs is the scattering of light from the beam spot on a test  
612 mass or other optic to surfaces that are moving relative to the optic, like vacuum chamber  
613 walls. A very small fraction of the light reaching the moving surface is reflected to the  
614 originating or another beam spot, where it scatters back into the main interferometer  
615 beam. As the distance to the moving surface changes, the phase of the returning light  
616 changes relative to the main beam, producing fluctuations in the amplitude of the beam,  
617 that, at 1 part in  $10^{20}$  can be on the scale of those produced by gravitational waves.  
618 In addition to this sensitivity to recombined scattered light, the scattering noise is  
619 problematic because of non-linear coupling when the path length modulation becomes  
620 comparable to the wavelength of the light, producing noise at harmonics of modulation  
621 frequencies [61].

622 The subtlety of scattered light noise is illustrated by the mechanism that was behind  
623 a mysterious glitch in DARM that turned out to be produced by ravens [62, 12]. The  
624 Rube Goldberg-like mechanism began in the desert sun at LHO, where ravens pecked  
625 at ice accumulations on a cryopump vent tube just outside of an end station building.  
626 The vibrations from pecking were transmitted through the vent tubes to the cryopump  
627 inside the building. The cryopump was attached to the beam tube, and the vibrations  
628 were transmitted through the beam tube to a calibration structure located inside of  
629 the vacuum, which vibrated slightly with each peck. The structure was angled so as  
630 not to retro-reflect light scattered from the test mass, about 10 m away. However,  
631 polishing grooves on the surface reflected a small fraction of the light back to the  
632 test mass, where a small fraction recombined with the main beam. The interference  
633 between the light in the main beam and the tiny amount of light reflected from the  
634 grooves varied with the motion of the calibration structure produced by each peck.  
635 The varying interference caused fluctuations in the light of the main beam, similar to  
636 the fluctuations produced by gravitational waves. After the discovery of this coupling  
637 mechanism, the calibration structure was baffled to reduce the light scattered back into  
638 the interferometer, eliminating the raven glitches and other similar vibrational signals.

639 Scattered light baffles can themselves be problematic - for example, vibrations in  
640 the 5-30 Hz band, such as from nearby truck traffic, produced transient noise and limited  
641 detector sensitivity in early aLIGO. Investigations using vibration injections and laser  
642 vibrometry showed that the coupling was due to light reflecting from imperfect light  
643 baffles. The ground motion was amplified by the resonances of the baffles (quality factors  
644 of several hundred), increasing the velocity by several hundred times, and producing  
645 scattering noise that reached hundreds of Hz for 10 Hz excitations [63]. The problem  
646 was solved by damping the baffle resonances with Viton<sup>TM</sup> in order to reduce their  
647 velocity [64]. Eventually, the most reflective parts of the baffles were also removed.  
648 Near the end of O3, a second type of undamped baffle was identified as a noise source  
649 [65] [66] and damped [67] (Figure 11).

650 Scattering noise can be mitigated by reducing the amplitude of the scattered light  
651 or by reducing the velocity of the reflector, or both. Reducing the amplitude by a factor

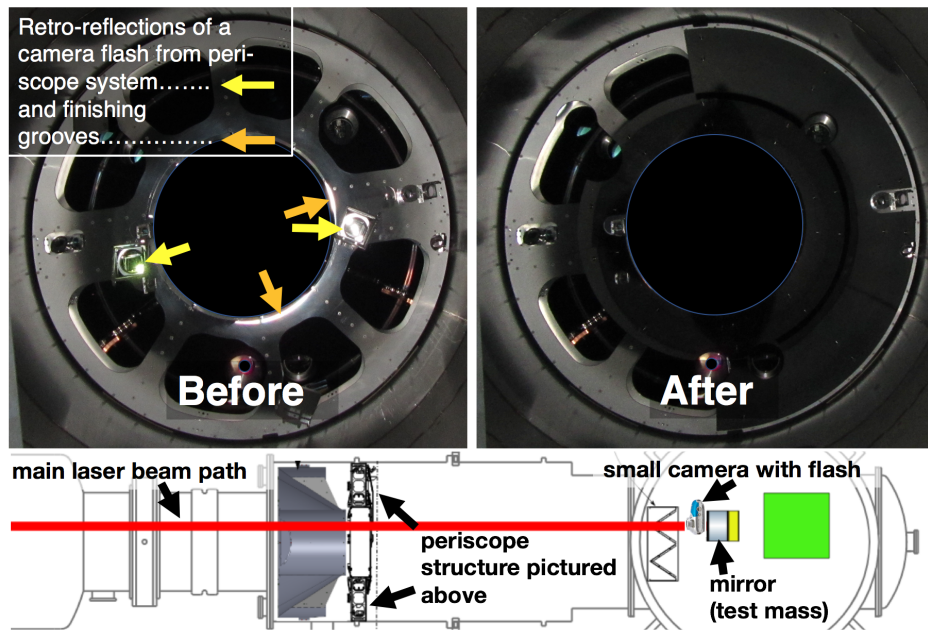




**Figure 11.** Spectrograms of the DARM channel (top) and an accelerometer channel (bottom) showing consecutive vibrational sweep injections that excite noise in DARM as they cross 4.62 Hz before mitigation. The “AFTER” sweep is composed of two graphs such that it shows only the relevant range of the sweep to be compared with “BEFORE”. The spectrograms are normalized by median, but the spectra are not very different between the two tests such that they are directly comparable. The Q was determined to be around 450 and so it is easy to get to high velocities by exciting this resonance - identified on a baffle at the end station. This resonance was damped in between the two measurement sets, such that the same sweep with even higher amplitude no longer makes any noise in DARM.

652 of two yields a factor of two reduction in noise; reducing the velocity instead reduces  
 653 the maximum frequency of the noise by the same factor. In the latter case, most of the  
 654 noise above the newly lowered cutoff frequency is removed which can lead to a greater  
 655 overall reduction of the noise than just a factor of two [61].

656 An important driver of scattering noise for long interferometers is ground motion at  
 657 the ocean-wave driven microseismic peak frequency, in the 0.1-0.3 Hz region, which has  
 658 produced noise in DARM that reached nearly 100 Hz, several hundred times higher in  
 659 frequency. Because the ground moves differently at the ends of the 4-km-long cavities,  
 660 the control systems that minimizes relative motion of the test masses at opposite ends  
 661 of the cavity can lead to micron-scale relative motion between the end test mass (ETM)



**Figure 12.** Diagnostic photographs taken from the point of view of a test mass beam spot, before and after scattering noise mitigation. The image on the left shows retro-reflections of light from the camera flash, which follows a similar path as light scattered from the interferometer beam by imperfections in the test mass. The photograph was used to identify potential sources of scattering noise. The structure is a calibration periscope in the beam tube. The photograph on the right was taken after removal of the mirrors and after the subsequent installation of baffling, both of which reduced the scattering noise in the GW channel. The central black disk was added to the image to avoid confusion from light reflected by a gate valve that is withdrawn during operation.

662 and other objects in its vicinity that are not moved with the test mass and cavity.  
 663 A major source of transients during the first three observing runs, especially when  
 664 microseismic noise was high, was light reflected from the gold electrostatic actuator  
 665 traces on the reaction mass behind the test mass. The variation in the optical path  
 666 length was amplified by multiple reflections between the traces and the back of the  
 667 reflective surface of the test mass [68]. The problem was solved by driving the reaction  
 668 mass to minimize relative motion between it and the test mass [61].

669 Diagnostic photographs can be used to identify a common type of scattering path  
 670 that involves light that is scattered from the beam spot on an optic to a moving reflective  
 671 surface and back to the beam spot, where it scatters back into the main beam (Figure  
 672 12). Problematic reflective surfaces often depend strongly on precise angles and surface  
 673 finish, and they can be difficult to identify in design drawings. To find potential reflective  
 674 surfaces, during incursions such as optic installation, we place a small camera (with the  
 675 camera flash very near its aperture) as close to the face of an optic as possible, and look  
 676 for bright reflections of the flash in photographs taken from the optic's point of view  
 677 (??). Most metal surfaces that would directly reflect infrared light scattered from the  
 678 face of the optic also directly reflect camera flashes from the face of the optic. Since

679 reflections are common and it is difficult to fix each one, we have begun work on a system  
680 to roughly rank the noise potential of reflective surfaces in the photographs, using the  
681 estimated coupling of scattered light to the GW signal at the particular optic, the solid  
682 angle of the reflecting surface and its distance from the optic, the approximate motion  
683 of the surface, and the estimated angular dependence of the scattering from the optic  
684 along with the angle to the reflector [69].

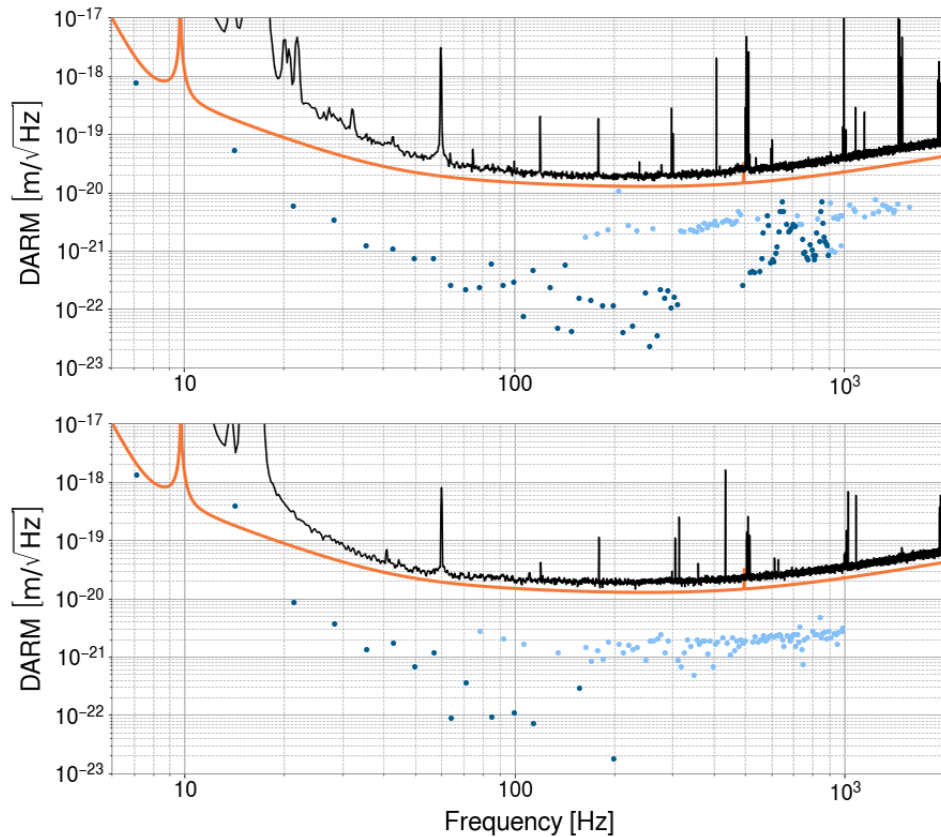
## 685 5.2. Magnetic influences

686 Magnetic injections early in aLIGO suggested that coupling to permanent magnets in  
687 the suspension system could prevent LIGO from reaching design sensitivity in the 10-20  
688 Hz regions [70]. While the test mass actuator is electrostatic and not magnetic (as in  
689 initial LIGO), a number of permanent magnets were used in the suspensions, including  
690 for actuation in the first three of the four levels of the isolation chain and for eddy  
691 current damping. The greatest number of permanent magnets were in the eddy current  
692 damping arrays and these were removed. Nevertheless, ambient fields are still predicted  
693 to produce noise at greater than one-tenth of the design sensitivity in the 10-20 Hz band  
694 (Figure 13), and may need to be further addressed as we reach design sensitivity in the  
695 10 Hz region.

696 At higher frequencies, generally above about 30 Hz, the dominant magnetic coupling  
697 appears to be through induction of currents in cables and at connectors, mainly to  
698 actuator cabling and other cabling in the control system. Mitigation of coupling to  
699 cables and connectors has required a continuing program of monitoring coupling since  
700 cables are often disconnected and reconnected during runs as electronics are replaced  
701 for problems or upgrades. This program consists of making weekly, broadband magnetic  
702 field injections using the large wall-mounted coils described in 4. The injections have  
703 shown that peaks can appear or disappear, as well as shift in frequency, on a weekly  
704 basis.

705 As explained in Section 2.2, magnetometers can be used to identify sources of  
706 persistent spectral artifacts in DARM, even when the coupling mechanism is not  
707 necessarily through magnetic fields. Many examples of lines and combs mitigated  
708 throughout O1 and O2 through magnetometer studies are provided in Covas et al.  
709 (2018) [26].

710 While ambient fields do not normally limit the sensitivity of the interferometers for  
711 most astrophysical sources, the stochastic GW searches reach higher strain sensitivities  
712 by integrating data over multi-month periods and searching for correlations between  
713 sites. Magnetic fields that are correlated between sites could limit this sensitivity or  
714 even lead to misinterpretations of the GW background. Geomagnetic phenomena, such  
715 as the Schumann resonances discussed in Section 2.2, could produce such correlations  
716 [29, 30, 31]. In order to monitor such correlated fields, we have installed sensitive  
717 magnetometers far from the much greater uncorrelated magnetic fields in the buildings.



**Figure 13.** Ambient magnetic noise at LHO (top) and LLO (bottom), shown in dark blue (measurements) and light blue (upper limits). The values are produced by selecting the highest-amplitude composite coupling function at each bin across all sensors at each observatory. Only values at multiples of 7.1 Hz are used because most of the injections performed were 7.1 Hz comb injections (off-comb bins had too few injections to produce reliable composite coupling functions). The black and orange lines show the DARM background and the aLIGO design sensitivity, respectively.

### 718 5.3. Other influences

719 5.3.1. *Dust and particulates.* In initial LIGO, the photodiodes at the interferometer  
 720 output were external to the vacuum system and glitches were produced in DARM by  
 721 dust passing through the beams at the photodiodes, generally where the beams were  
 722 smallest in diameter. For aLIGO, the photodiodes were placed in vacuum, but the main  
 723 laser was not. The room containing the main laser is a clean room and we have not  
 724 found dust to be a problem. However, excess vibration of the beam tube and vacuum  
 725 chambers can cause oxidized metal particulate from the inside of the vacuum system to  
 726 drop through the beams and produce glitches in DARM. An absence of a correlation  
 727 between glitches in DARM and ground motion suggests that this problem is minimal,  
 728 though it has shown up strongly when the beam tubes were being cleaned and when  
 729 the vacuum chambers are struck [71]. For the beam tube, we estimated that particulate  
 730 glitches were unlikely for accelerations less than  $1 \text{ m/s}^2$  [72], which is still a concern  
 731 because it could be reached in stick-slip events associated with thermal expansion of the



732 beam tube.

733 *5.3.2. Radio-frequency fields.* Injections have shown that out-of-band RF fields (above  
734 10 kHz) have no influence on the interferometer until they reach amplitudes that are  
735 orders of magnitude above most of the background from external sources [73]. The  
736 strongest RF coupling was found to be at the 9 and 45 MHz modulation frequencies.  
737 We monitor these frequencies and we scan for signals between 9 kHz and 1 GHz.

738 Unlike externally generated RF fields, RF signals generated by the detector have  
739 been observed to produce noise in the gravitational wave channel, glitches called RF  
740 whistles [11] and other features. For example, during the first observing run, a narrow-  
741 band feature intermittently appeared in the LHO DARM spectrum around 650 Hz,  
742 resulting in spurious GW event candidates near that frequency [74]. The feature was  
743 found to be correlated with a similar feature observed by the RF receiver. The receiver  
744 actually witnessed the RF noise during a particular step of the interferometer's lock  
745 acquisition process, the transition to DC readout. This eventually led to discovering that  
746 the origin of the noise was the frequency of a voltage-controlled oscillator (VCO) used  
747 in lock acquisition beating with some other RF source in the interferometer. Changing  
748 the frequency setting of the VCO eliminated the 650 Hz line from DARM [75].

749 *5.3.3. HVAC and other temperature control systems.* A dominant source of vibration in  
750 the 1-10 Hz band has been air and cooling water flow associated with the large central  
751 HVAC systems in the corner and end stations. Turbulent eddies in the air plenum  
752 downstream of the HVAC turbines were shown to produce vibrations that affected the  
753 interferometer. The large eddies producing pressure fluctuations in the few Hz region  
754 were broken up by installing screens in the outlets of the turbines, reducing ground  
755 vibration in the band around 5 Hz.

756 Water chillers and pumps used to chill the HVAC system are generally isolated on  
757 springs, but turbulence in the pipes connecting the chillers to the air handling system  
758 was observed to increase ground motion in the 5-15 Hz region. The turbulence was  
759 partially mitigated by reducing the chilled water flow using variable frequency drives to  
760 slow the water pumps. Isolation of the pipes from contact with the ground and larger  
761 diameter pipes could reduce this problem in future installations.

762 Temperature fluctuations, while generally in the mHz band, can affect  
763 interferometer performance by, for example, changing the length of the blade springs  
764 at the top of the pendulum suspensions, which lowers or raises the entire test mass  
765 suspension, resulting in rubbing. Building temperature control often employs sensors  
766 mounted on the walls. When these walls are external, the temperature in the region  
767 of the vacuum chambers may increase in cold weather and decrease in warm weather  
768 because the system attempts to maintain a constant temperature at the wall sensors.  
769 Sensors have been moved off of the walls and closer to the vacuum chambers to mitigate  
770 this problem [76].

771 In addition to centralized temperature control systems, vibrations from local

772 cooling, such as electronics cooling fans, can produce noise in DARM. We have  
 773 mitigated acoustic coupling by placing electronics racks in separate rooms from other  
 774 interferometer components, and by seismically isolating the electronics racks on elastic  
 775 legs. Cooling systems for lasers etc. have also been problematic and have required  
 776 additional seismic isolation.

777 *5.3.4. Other site activities.* Vehicle movements on sites have produced transients in  
 778 DARM. This often occurs as vehicles cross bumps and gravel. Mitigation has included  
 779 deeper burial of pipes that cross roads, removal of gravel from roads, patching of cracks  
 780 and prohibition of travel in certain areas during observation. Even heavy steps in a  
 781 control room can couple by producing beam jitter (see Section 5.1.1).

782 The springs isolating motor-driven equipment have been shorted (e.g. by drifts  
 783 of blowing sand) or improperly installed. While vibration isolation of equipment is  
 784 straightforward, acoustic isolation is often much more difficult and can “short circuit”  
 785 well isolated systems. We have attempted to place sources of acoustic noise in separate  
 786 rooms for this reason.

787 *5.3.5. Humidity.* Studies during the first two observing runs showed that periods  
 788 of very low humidity inside of the buildings, associated with sub-freezing weather,  
 789 are correlated with high glitch rates in DARM [77, 78]. One possible explanation is  
 790 that reduced electrical conductivity associated with dry conditions can increase charge  
 791 buildup and discharge in electronic systems such as piezo drivers.

## 792 6. GW Event Validation

793 In addition to investigating sources of environmental influences, knowledge acquired  
 794 from environmental studies contributes to the vetting of GW event candidates. Analysis  
 795 pipelines search the strain data for astrophysical signals. They are categorized into  
 796 modeled searches for binary mergers that match the data to template waveforms (e.g.  
 797 GstLAL [79] and PyCBC [80]) and unmodeled searches that identify excess energy  
 798 coherent between multiple detectors (e.g. cWB [81], oLIB [82], and BW [83]).

799 Contamination of the GW data can occur through any of the means discussed  
 800 in previous sections. Environmental noise has the potential to be correlated between  
 801 detectors by stemming from a common source, such as through electromagnetic signals  
 802 from distant sources or glitches in GPS-correlated electronics. The analysis pipelines  
 803 estimate the false-alarm probabilities for GW events based on the background rate  
 804 of randomly coincident events in the detector network. They generate background  
 805 events by time-shifting the data stream of one detector relative to another by time  
 806 steps much longer than the light travel time between detectors and longer than the  
 807 duration of GW signals [84]. This method does not account for the possibility of  
 808 transients being correlated between the detectors due to a common environmental  
 809 source. Environmental noise is also particularly relevant to un-modeled searches. Unlike

810 template-based methods, these searches make minimal assumptions about the signal  
811 waveform and rely more heavily on signal correlation between sites.

812 The first observation of a GW occurred on 14 Sept 2015 [3]. The event, a short-  
813 duration binary black hole merger designated GW150914, required a number of follow-up  
814 investigations to find potential noise sources around the time of the event [13]. This  
815 included an examination of the status of all PEM sensors and any significant signals  
816 they observed for possible contamination of the GW signal [85]. A few of the PEM  
817 sensors were not working, but because of redundancy, coverage was sufficient.

818 Comparisons between Q-transform spectrograms of all coincident events in  
819 environmental sensors to the time-frequency path of the event revealed that no  
820 environmental signals had paths similar to the event candidate. The signal-to-noise  
821 ratios of these signals were also compared to that of the event, showing that even if there  
822 were overlapping time-frequency paths, none of the environmental signals were large  
823 enough to influence the strain data at the SNR level of the event, based on multiplying  
824 the environmental signals by their respective sensor coupling functions.

825 The validation process for novel events such as GW150914 also includes redundant  
826 checks for global sources of environmental noise. We use a dedicated cosmic ray detector  
827 located below an input test mass at LHO to examine any association of cosmic ray  
828 showers to excess noise in DARM. We also check external observatories for coronal mass  
829 ejections, solar radio signals, geomagnetic signals, and RF signals in the detection band  
830 as well as higher frequencies.

831 There was specific concern over a co-incident extremely-high current (504kA)  
832 lightning strike over Burkina Faso, prompting additional studies of the effects of  
833 lightning on the interferometer [86]. Investigations of similar strikes found no effect  
834 on the strain data and investigations of closer strikes confirmed that the magnetometers  
835 were much more sensitive to lightning strikes than the interferometer was. In conclusion  
836 there was no reason to veto the first detection based on environmental disturbances.

837 Subsequent detections throughout O1 and O2 employed a similar procedure;  
838 however the development of the method described in Section 3 for producing coupling  
839 functions for all sensors expedited the process. This was especially important for  
840 examining environmental noise during GW170817, the first long-duration event detected  
841 by LIGO [5, 87]. The longer duration of this event (75 s) unsurprisingly overlapped with  
842 many environmental signals. Based on the coupling functions for those sensors, several  
843 of these environmental events were loud enough (estimated DARM signals of up to  
844 SNR 4) to have contributed to the interferometer readout, but not enough to account  
845 for the GW signal. Furthermore, none of them had a time-frequency morphology that  
846 correlated with any features in the candidate signal.

847 In O3, most of the procedure described above has been automated in order to handle  
848 the increase in detection rate. When an event is detected by the astrophysical search  
849 pipelines, an Omega Scan [15] searches for transient noise in all PEM sensors in the time  
850 window spanning the event candidate and produces a Q-transform spectrogram of each  
851 sensor in which excess noise was detected, as well as the peak amplitude and frequency of



852 the noise. The coupling function of each sensor is interpolated at the peak frequency and  
853 multiplied by the peak amplitude to estimate the contribution of the environmental noise  
854 to DARM. Sensors whose estimates exceed one tenth of the DARM background level are  
855 flagged for human input, requiring a comparison of the environmental signal morphology  
856 to that of the event candidate. If there is sufficient signal overlap, reviewers may advise  
857 that analysts perform some noise removal in the data, such as by gating or filtering out  
858 the appropriate time or frequency range, before performing further follow up analyses.  
859 The event could be retracted, if gating or filtering out the environmental contribution  
860 would reduce the signal-to-noise ratio of the candidate to a level no longer consistent  
861 with a GW detection. During the first half of O3, no candidates were retracted on the  
862 basis of the environmental coupling check alone. Some human input was still required  
863 for all of the 39 events reported in Abbott et. al. (2020) [7], although little to no signal  
864 overlap of environmental transients was seen.

## 865 7. Conclusions and Future Work

866 Environmental disturbances continue to be a major topic of investigation in the current  
867 generation of gravitational wave detectors. With the transition from initial LIGO  
868 to aLIGO, the detectors underwent significant changes, many of which affected their  
869 sensitivity to environmental noise. The PEM system for monitoring these noise sources  
870 also saw modifications, to account for detector upgrades as well as to expand the  
871 coverage of the sensors. Over time we have developed new methods for tracking down  
872 noise sources as we have described here. We also described the method for quantifying  
873 environmental coupling and its limitations.

874 As O4 approaches, the detectors are undergoing further upgrades to improve their  
875 performance and begin the transition towards the “A+” phase [88]. These changes  
876 will introduce new hardware and infrastructure, such as a new 300m filter cavity to  
877 implement frequency-dependent squeezing [89, 90]. The PEM system will continue to  
878 be expanded in order to monitor new noise sources that may arise with these upgrades.  
879 The installation of wall-mounted magnetic field injection coils will be completed at both  
880 sites ahead of O4 to provide full coverage of magnetic coupling. Additionally, shaker  
881 injections may also be incorporated in the weekly monitoring program to track changes  
882 in low-frequency vibrational coupling.

883 Further automation to the event validation process will be required to reduce  
884 the reliance on human input in future observing runs. This could include providing  
885 quantitative estimates on the overlap between the time-frequency path of a PEM signal  
886 and an event candidate, as well as estimating the DARM contribution at all times and  
887 frequencies rather than just at the time and frequency of the peak sensor amplitude.  
888 Environmental monitoring will continue to play a crucial role in the event validation  
889 as improved sensitivities bring about higher detection rates and the potential for novel  
890 sources of gravitational waves.

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897 data from the Advanced LIGO detectors and we used the LIGO computing clusters to  
898 perform the analysis and calculations.

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