

# Gravitational Wave Detectors...



# the Challenge of Coating Thermal Noise



By Professor Steven Penn  
Hobart and William Smith Colleges,  
and the LIGO Science Collaboration



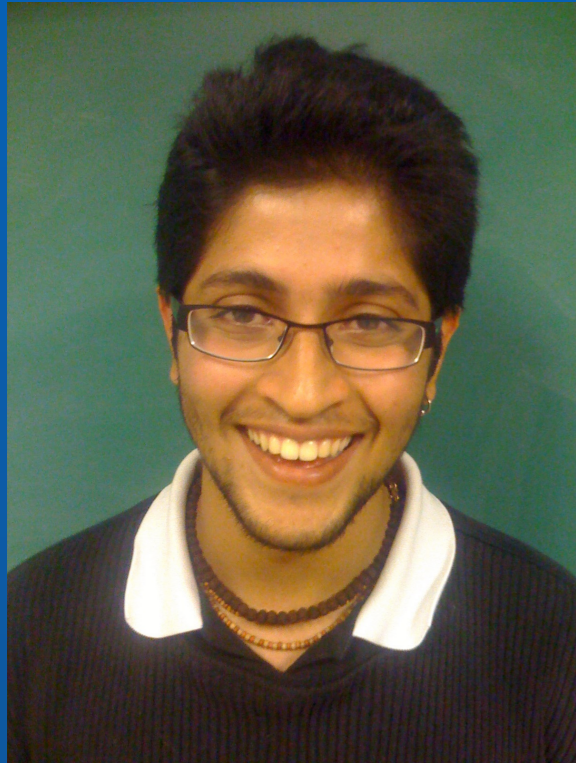


# LIGO Scientific Collaboration



# HWS Gravity Lab

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# Outline

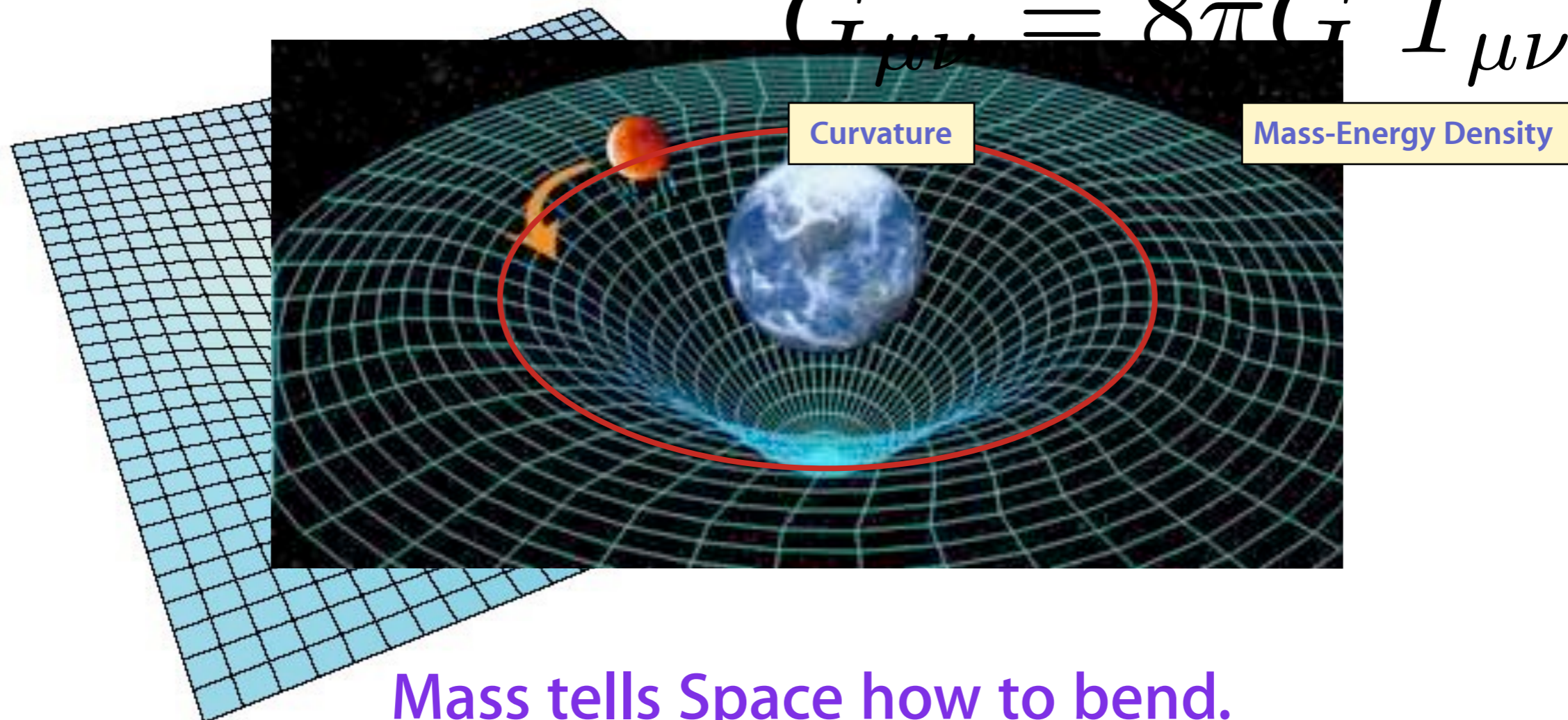
- Summary of GR and GW150914
- The Advanced LIGO Detector
  - Laser system
  - Seismic & Suspension System
  - Test Mass Mirrors & Thermal Noise
- Thermal noise & Dissipation
- Coating thermal noise
  - Amorphous Coatings
  - Crystalline Coatings
- Future Detectors
  - A+
  - Voyager
  - Cosmic Explorer

# General Relativity & Gravity

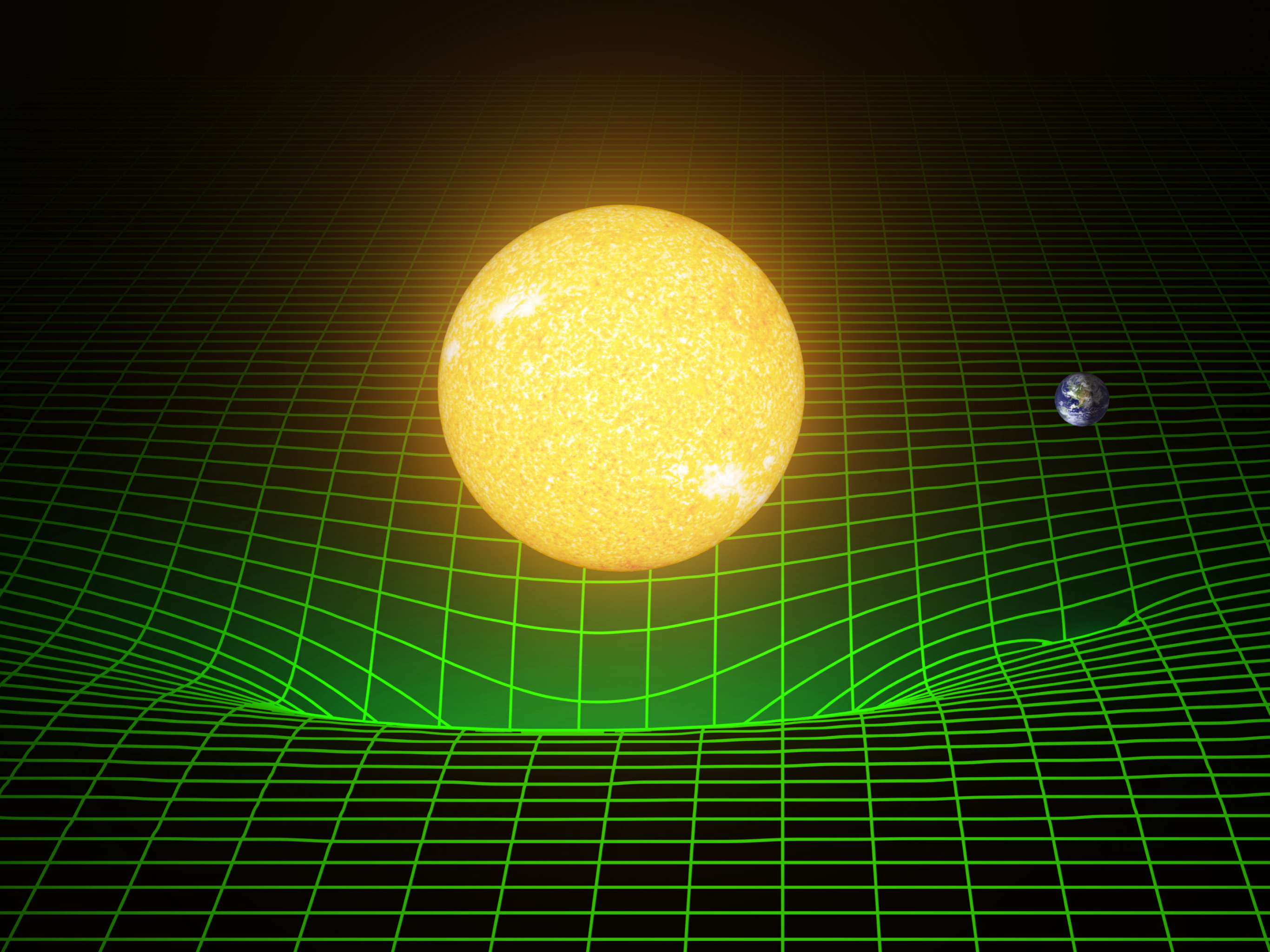
Einstein developed the General Theory of Relativity in which the “force” of gravity arises from curvature in the space-time.

## The Einstein Equation

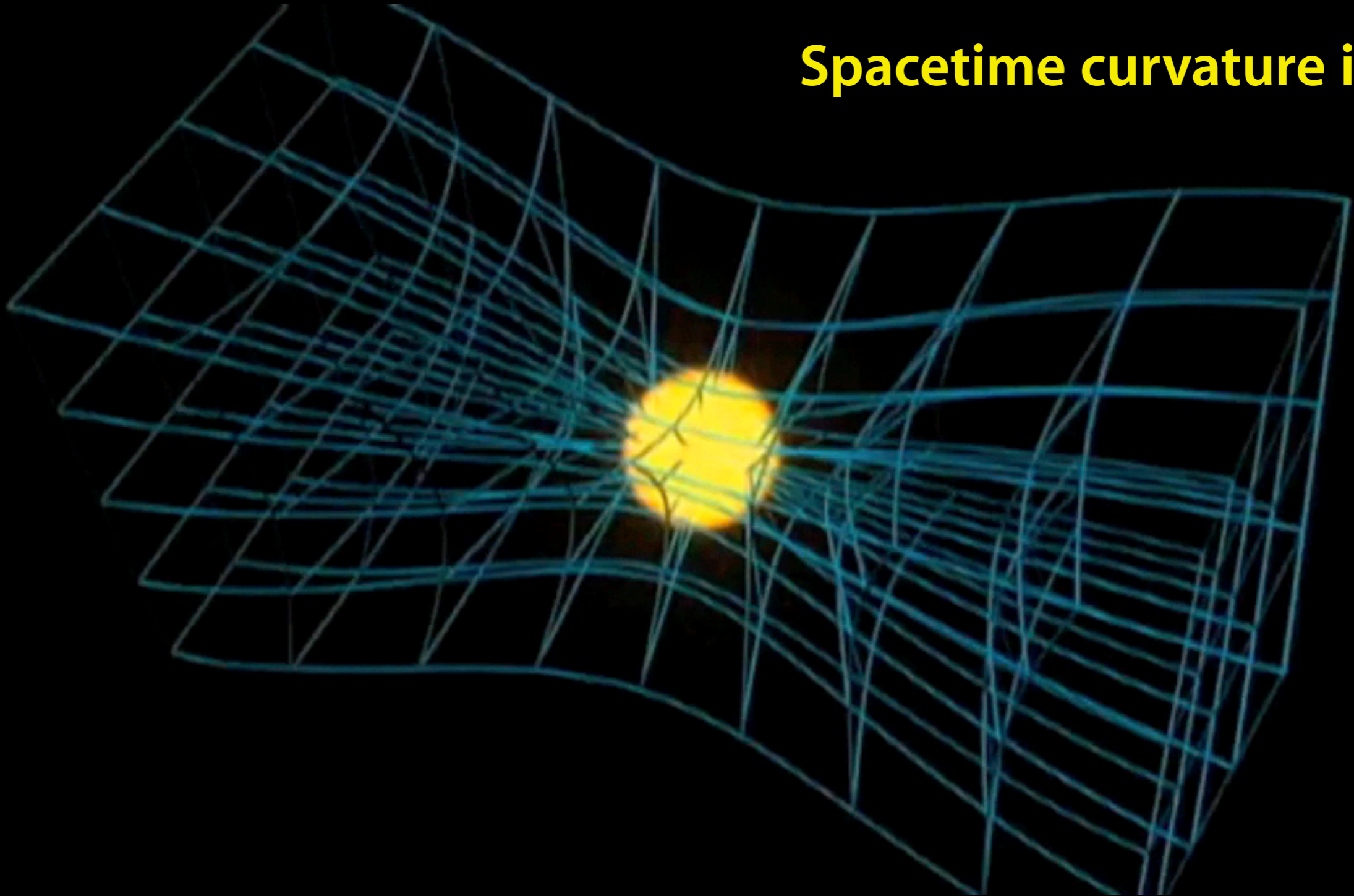
$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$



Mass tells Space how to bend.  
Space tells mass how to move.



## Spacetime curvature in 3D



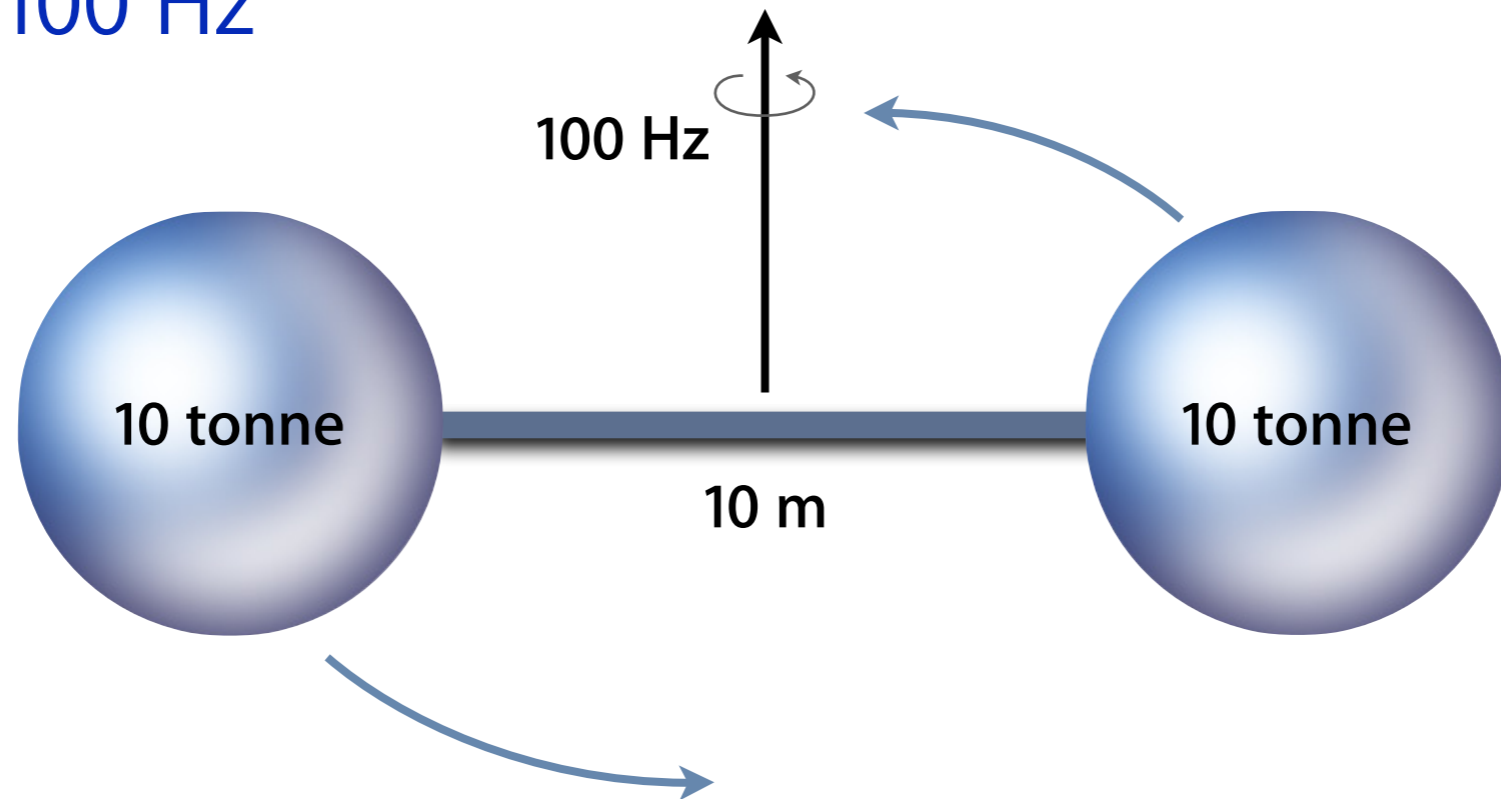
**Acceleration of the mass produces a wave of curvature that propagates through spacetime.**



# Can We Make Gravitational Waves?

Make an “oscillating mass quadrupole”:

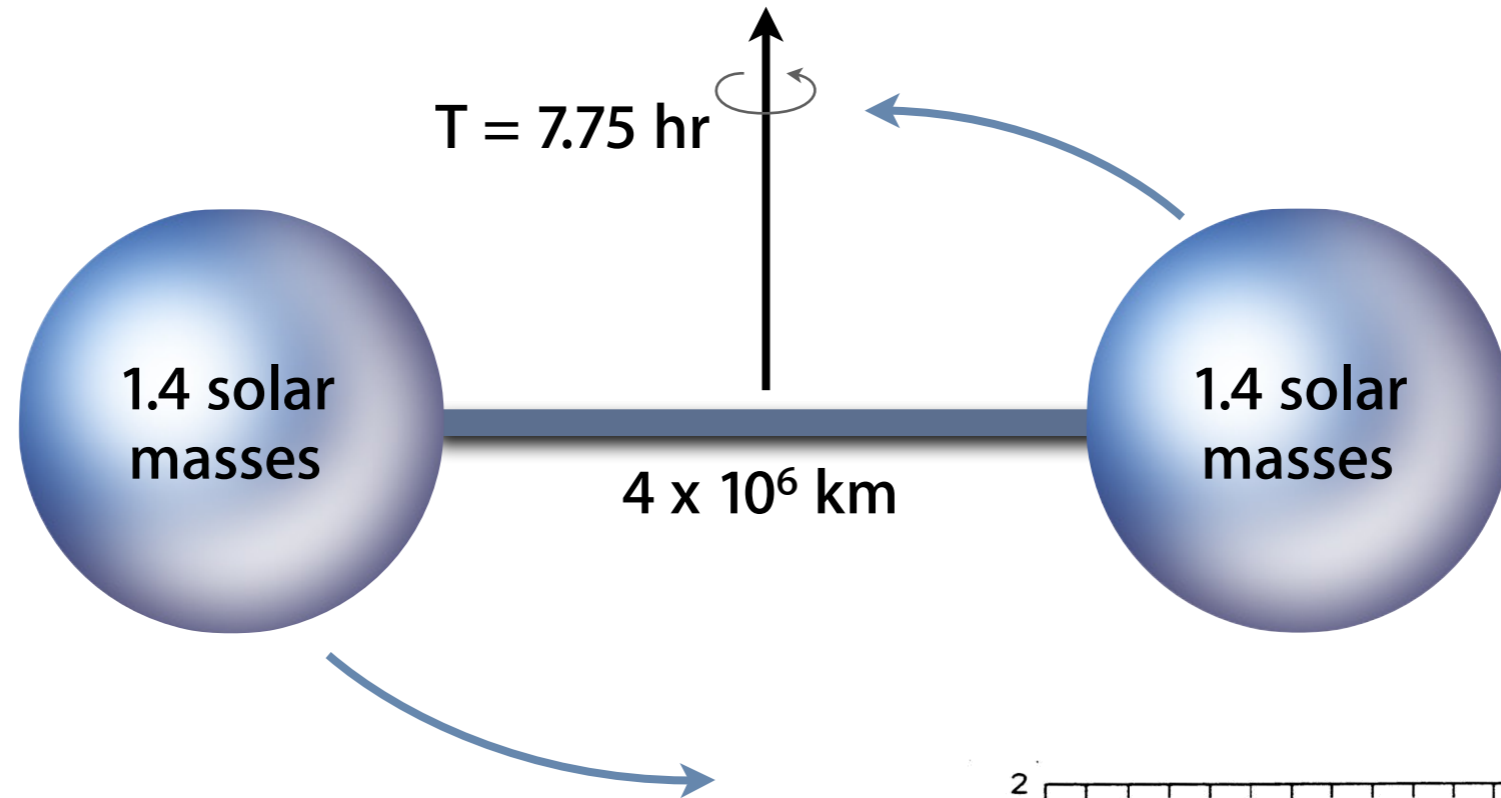
- 1m tungsten spheres ( $10^4$  kg),
- 10 m carbon nanotube composite rod,
- Spin at 100 Hz



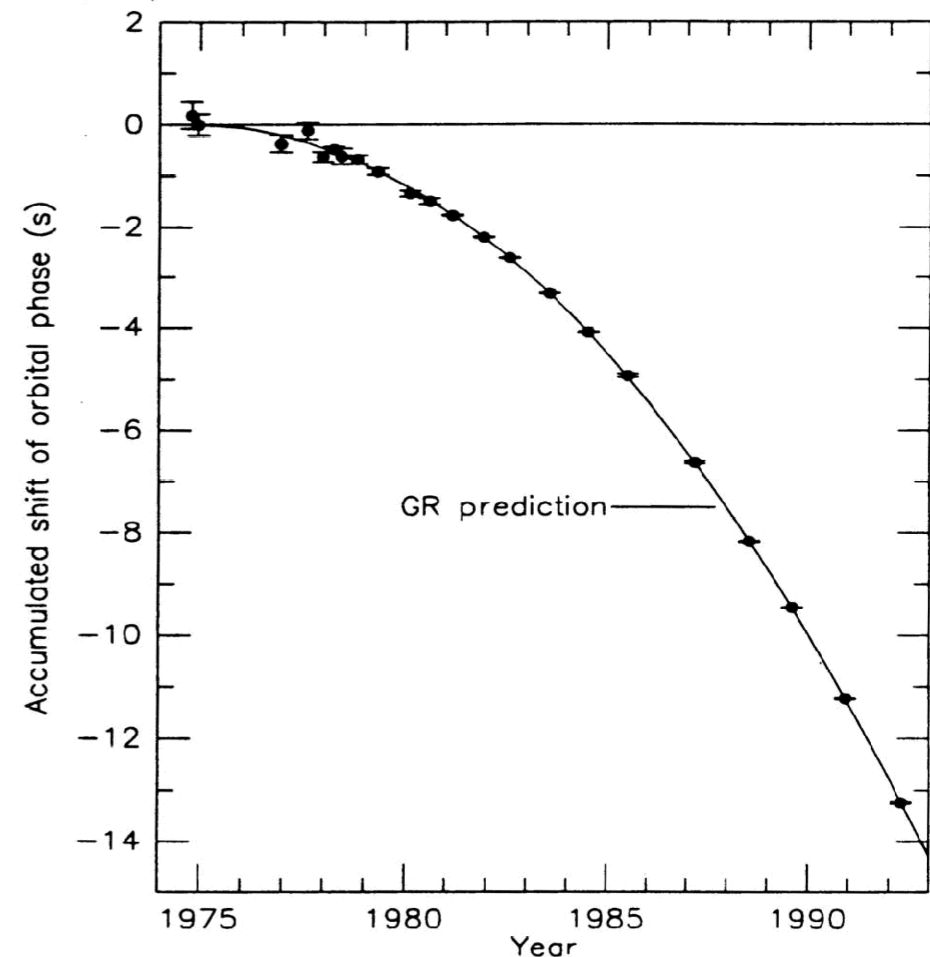
Strain at one wavelength is only  $10^{-39}$ .

$$h_{\mu\nu} = \frac{2G}{c^4 R} \ddot{I}_{\mu\nu} \approx 10^{-44} \text{ N}^{-1}$$

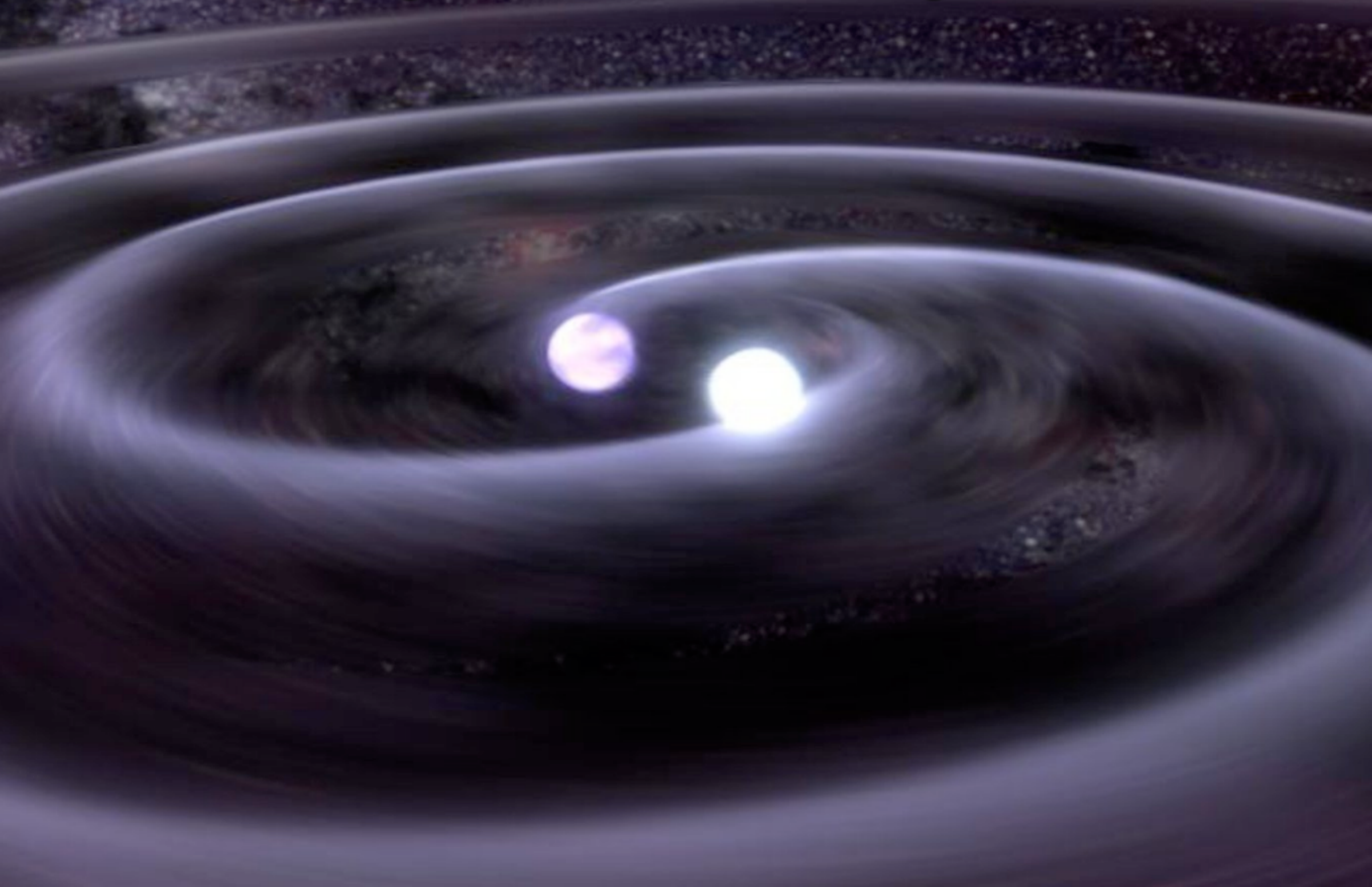
# Hulse-Taylor Binary Pulsar 1913+16



- Binary neutron star (PSR 1913+16) discovered in 1974 by Joe Taylor and Russell Hulse.
- System is slowly losing energy. Orbital period of 7.75 hours decreases by  $76 \mu\text{s}$  per year.
- Inspiral and coalescence in 300 million years.
- Predicted energy from GW radiation precisely matches the calculated loss. **No fitting!**



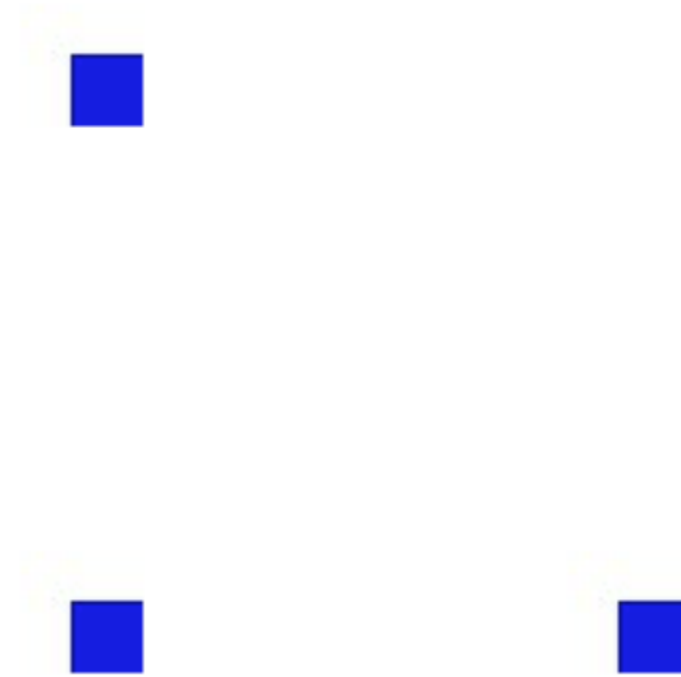
The inspiral of a binary system of compact massive objects (BH NS WD) can generate an observable gravitational wave.



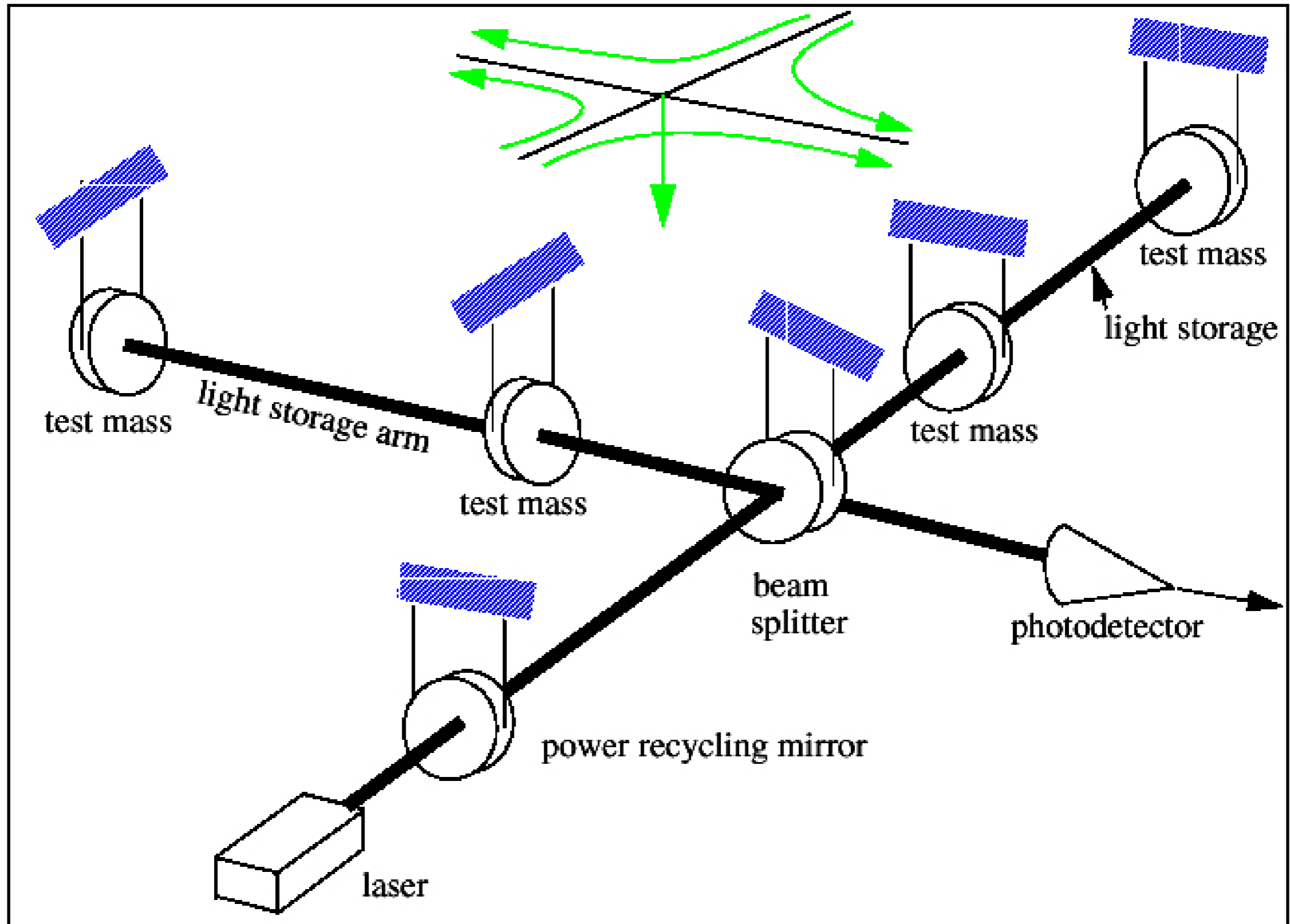
# Why look for Gravitational Waves?

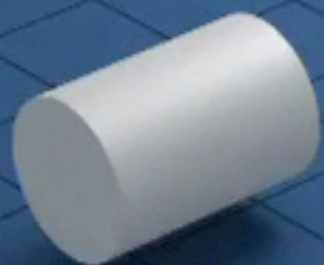
- ★ Test the General Theory of Relativity
  - ★ Strong field limit
  - ★ Gravitational Wave speed
- ★ Explore GW sources not visible to E-M telescopes
  - ★ Black Holes
  - ★ Neutron Stars
  - ★ Supernovae
  - ★ Early Big Bang
- ★ New Window on Universe = Expect Surprises
- ★ Challenging Measurement:
  - ★ BNS Inspiral in Virgo Cluster produces a strain  $\approx 10^{-21}$
  - ★ “Frequent” detection requires a strain sensitivity  $\approx 10^{-22}$

# Gravitational Wave moves Test Masses in Quadrupole form

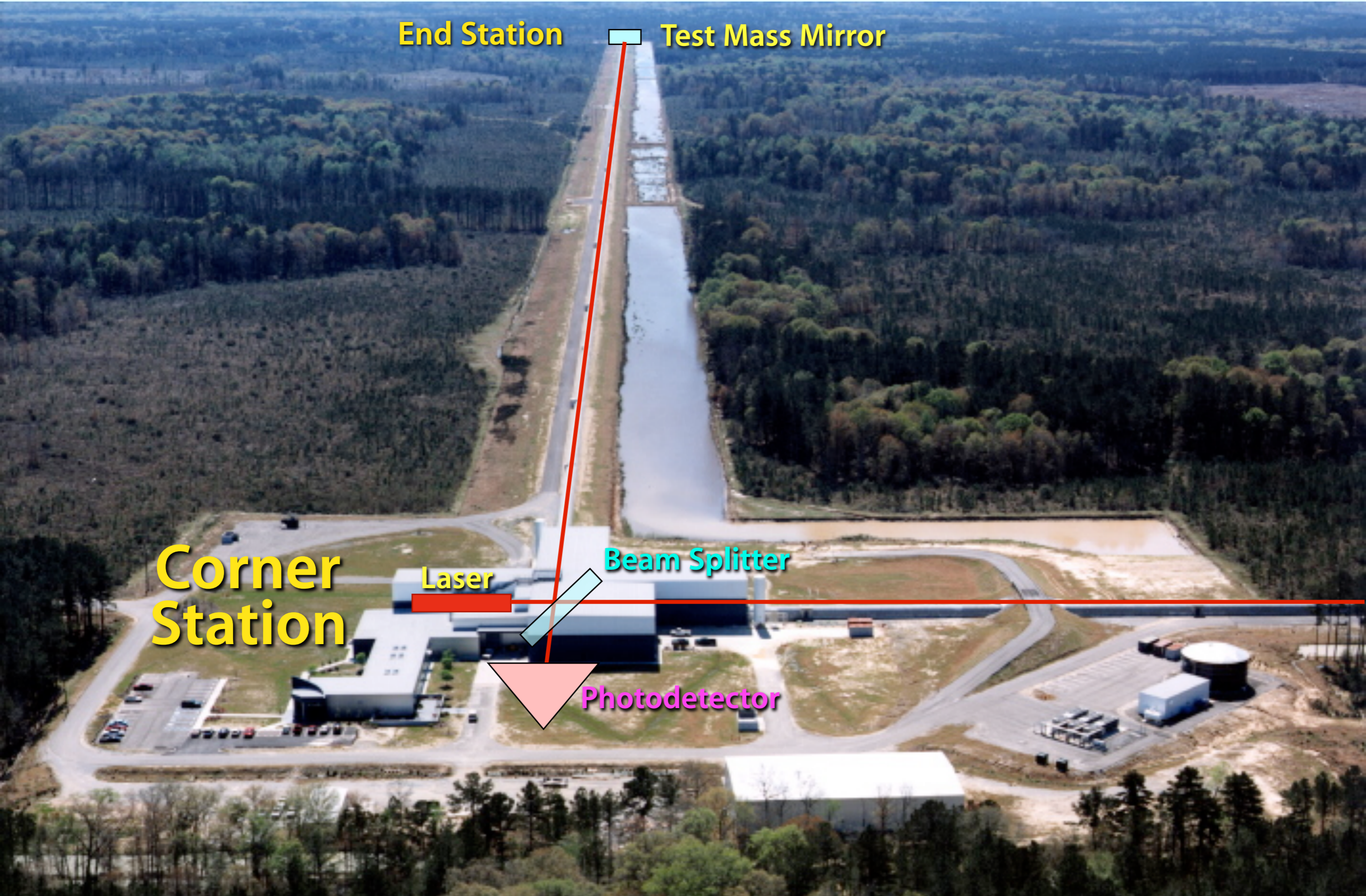


# Basic Interferometer





# LIGO Livingston



End Station

Test Mass Mirror

Corner  
Station

Laser

Beam Splitter

Photodetector



Corner Station

LIGO Livingston  
End Station



# LIGO Hanford

End Station

Corner  
Station



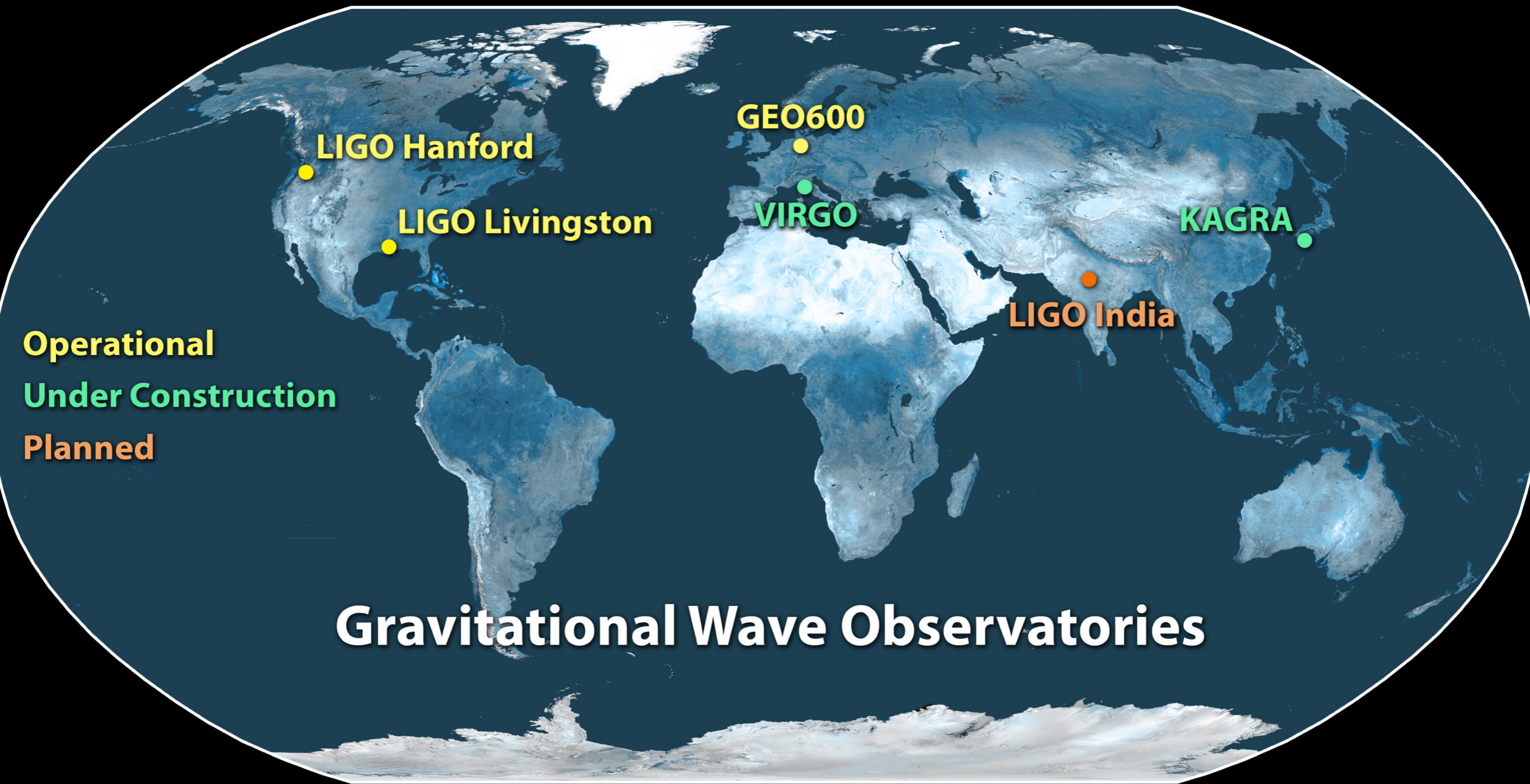
# LIGO Observatory Sites

LIGO Hanford  
4 km & 2 km IFO

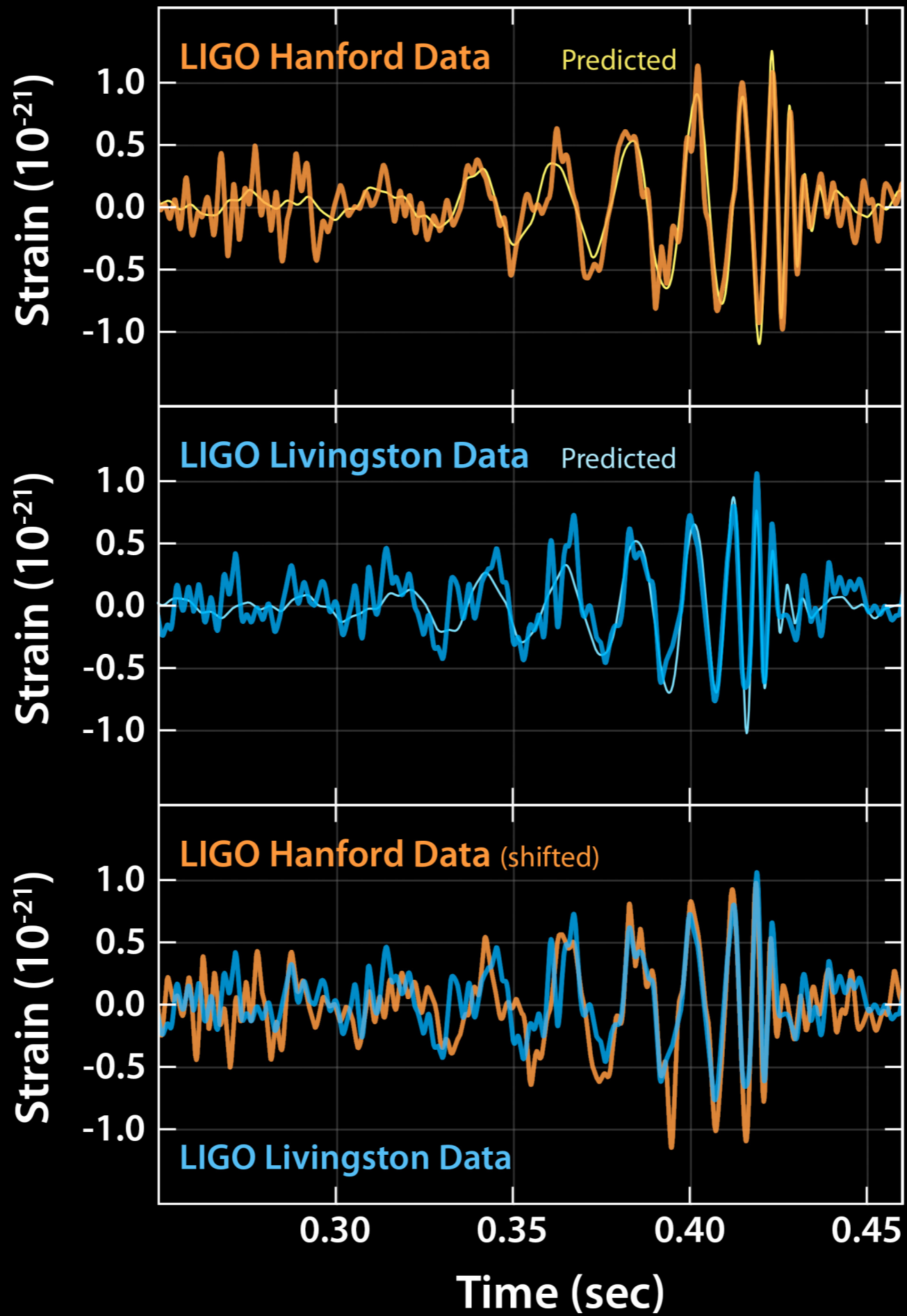
Detector separation minimizes background noise coherence and provides directional information via relative signal timing.

3000 km, 10 ms

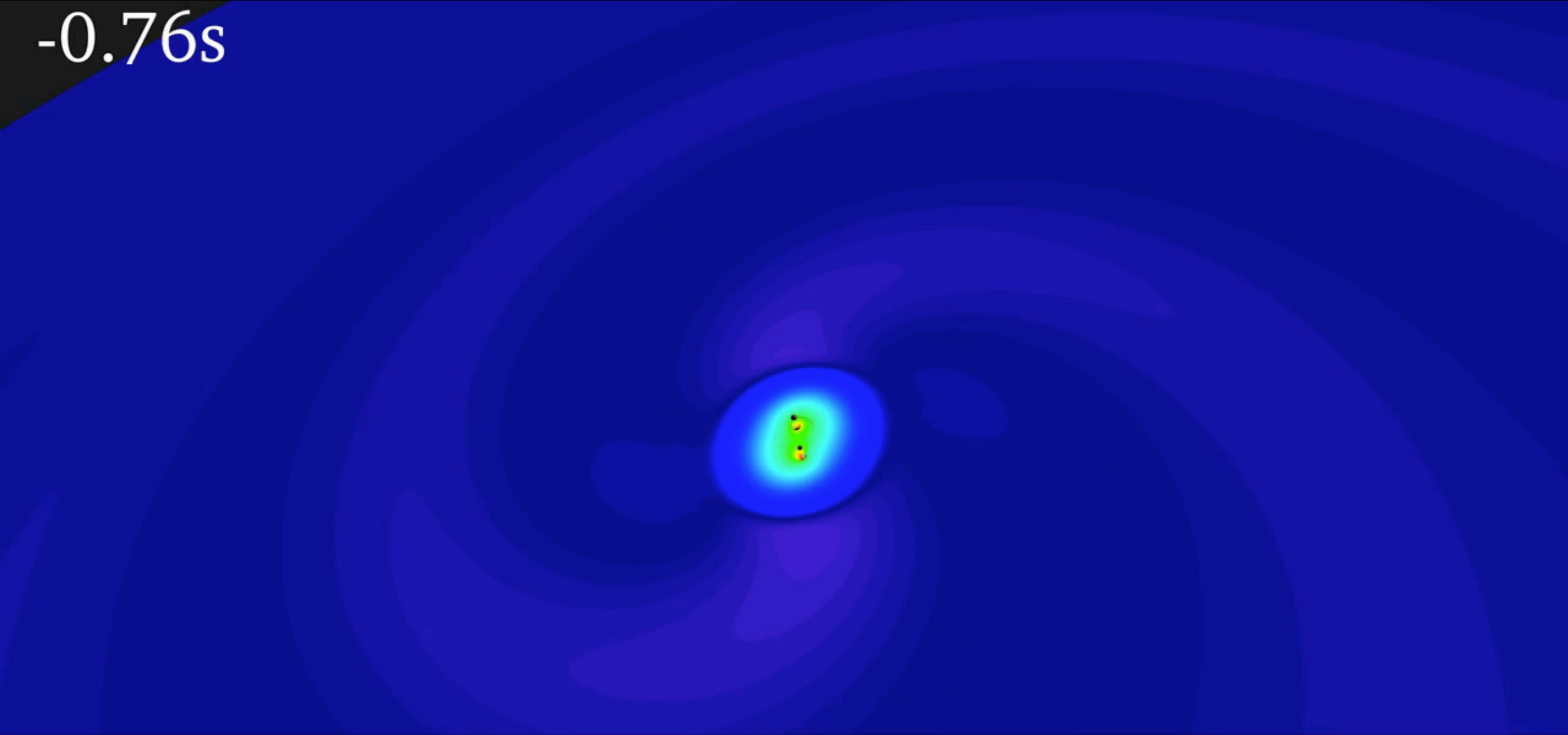
LIGO Livingston  
4 km IFO



# The Global Network



-0.76s



# Facts on the detection

Input Black Hole Masses: 29 & 36 solar masses

Final Black Hole Masses: 62 solar masses

Radiated Energy: 3 solar masses

Final Spin Energy: 4 solar masses

Event Distance: 1.3 Gly

Peak Strain:  $10^{-21}$

Mirror deflection:  $5 \times 10^{-18}$  m, 0.005 proton diameters

False Alarm Rate: 1/200,000 yrs

Signal-to-Noise Ratio: 24

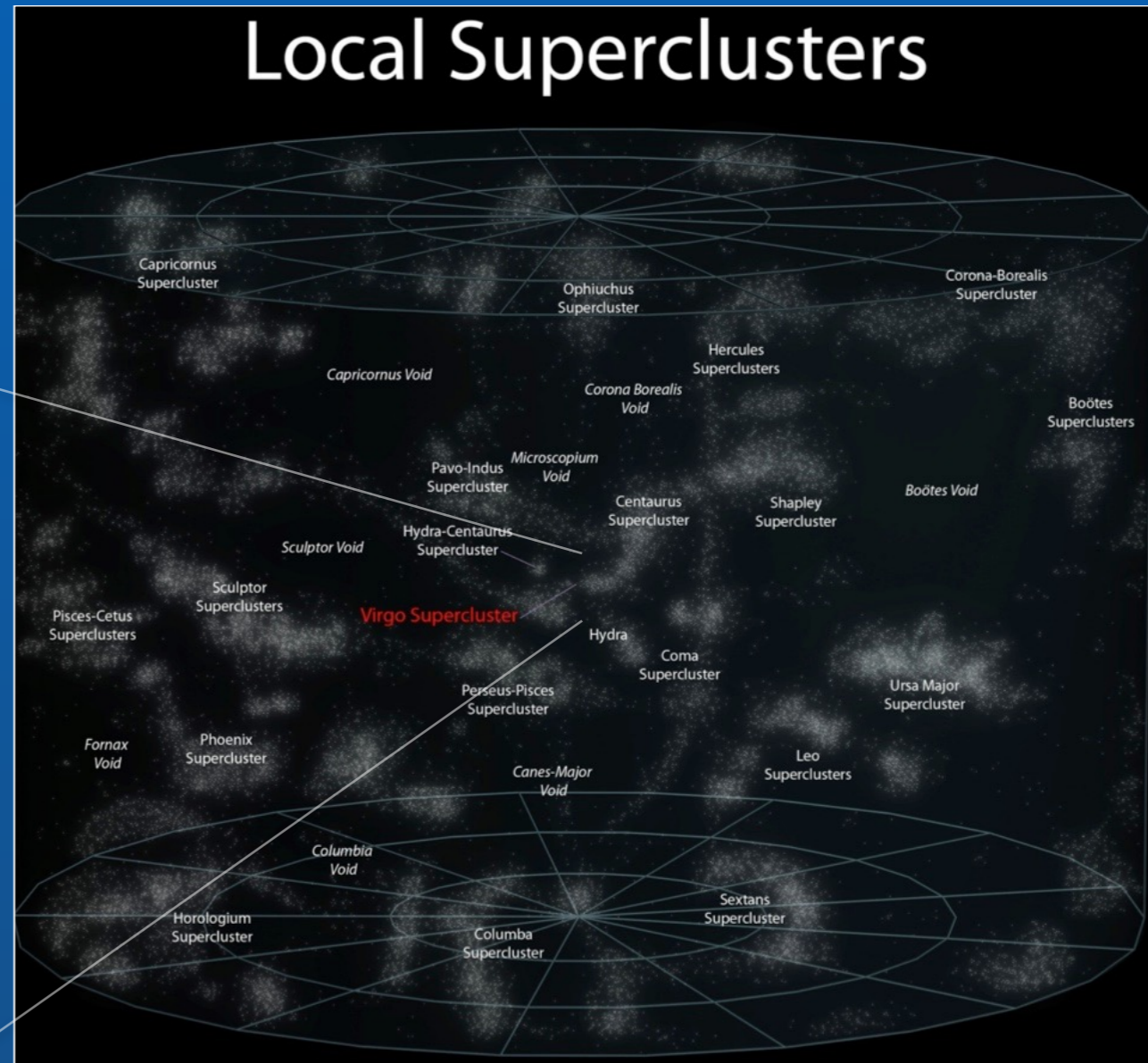
Frequency Range: 35 –250 Hz

# Advanced LIGO

10--100 detections per year

1 detection per 10--100 years

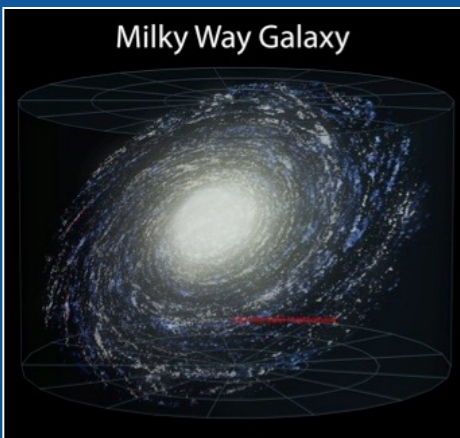
## Local Superclusters



## Virgo Supercluster



## Milky Way Galaxy



Initial LIGO Reach

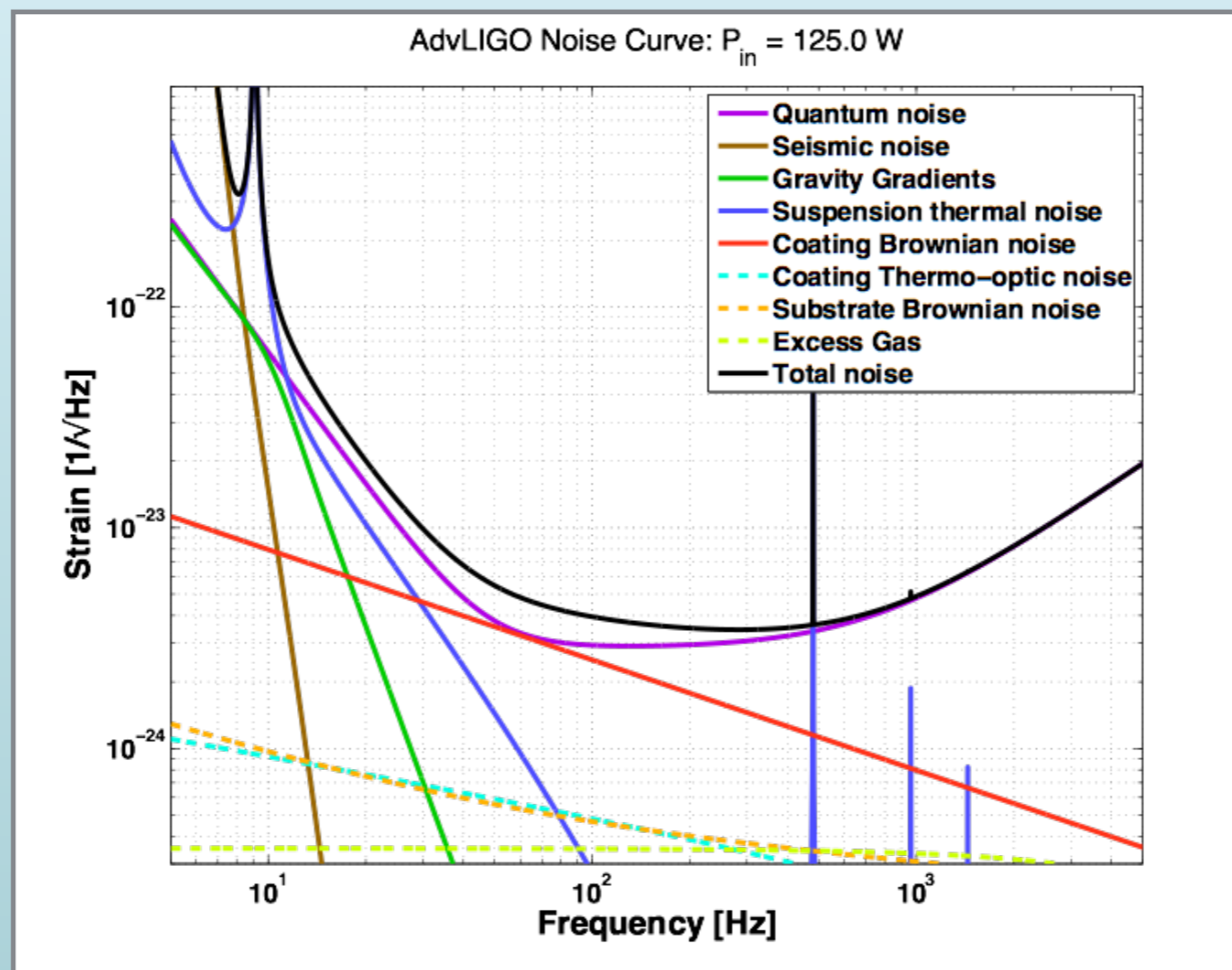
Advanced LIGO Reach



# Advanced LIGO Improvements

## Seismic Noise Wall:

$h < 10^{-22}$  for  $f > 10$  Hz



## Shot Noise:

Stored laser power  
to increase 25x

Noise approaches  
Quantum Limit  
across most of band

Limited by Thermal Noise  
in Test Mass Mirrors

$\approx 4 - 40$  Hz band

# Adv. LIGO Optical Layout

$$\lambda = 1.064 \mu\text{m}$$

$$\text{Lock sensitivity} < 10^{-10} \lambda$$

$$h_{\text{min}} \approx 2 \times 10^{-24} \Rightarrow \Delta l_{\text{min}} \approx 8 \times 10^{-21} \text{ m}$$

40 kg Fused silica test masses.  
Suspension attenuation  $> 10^{-12}$  above 10 Hz.

Active thermal compensation  
used to correct changes in mirror  
curvature due to laser heating.

Pre-Stabilized  
Laser

125 W

750 kW

Input  
Mode Cleaner

Power Recycling  
Mirror

Beamsplitter

Input Test Mass

Fabry-Perot Cavity

End Test Mass

Signal Recycling  
Mirror

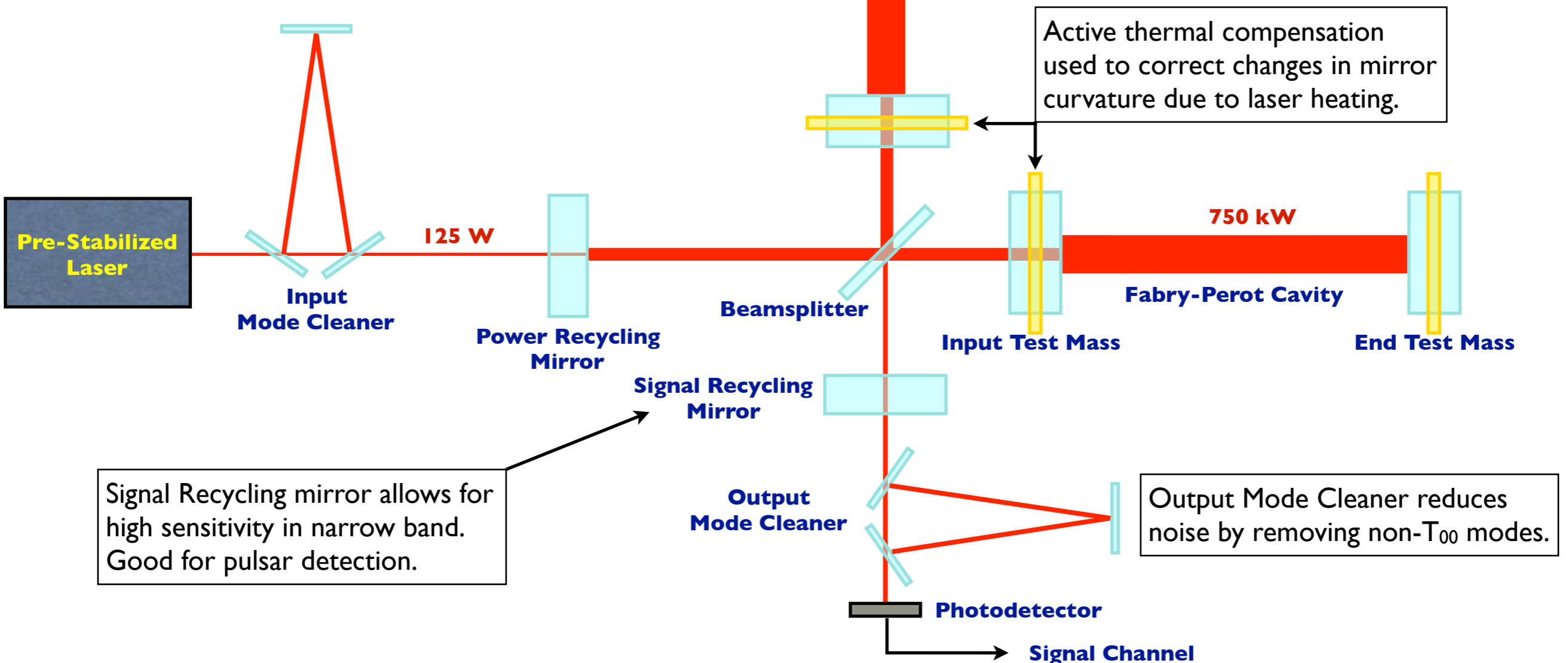
Output  
Mode Cleaner

Output Mode Cleaner reduces  
noise by removing non- $T_{00}$  modes.

Photodetector

Signal Channel

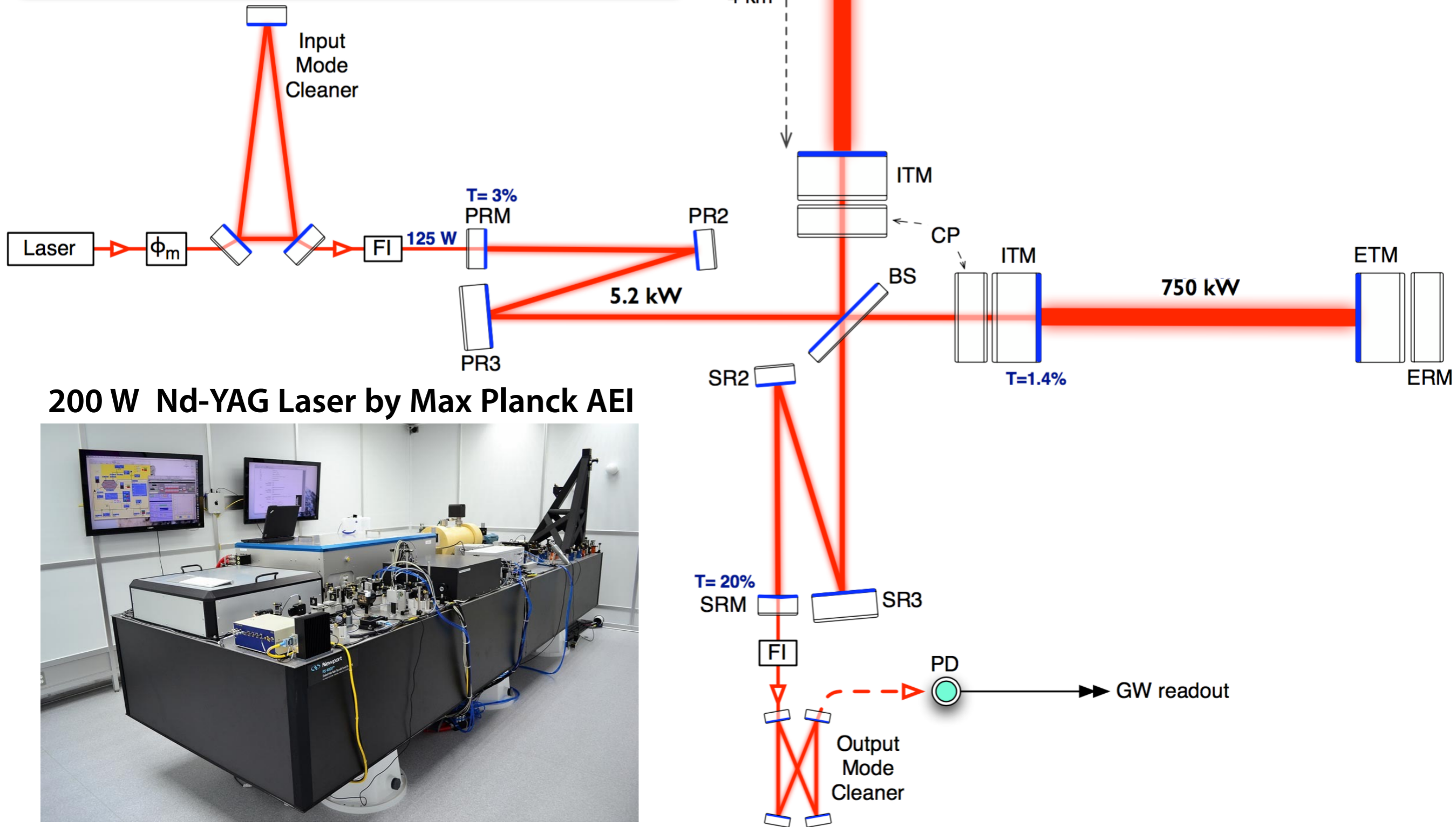
Signal Recycling mirror allows for  
high sensitivity in narrow band.  
Good for pulsar detection.



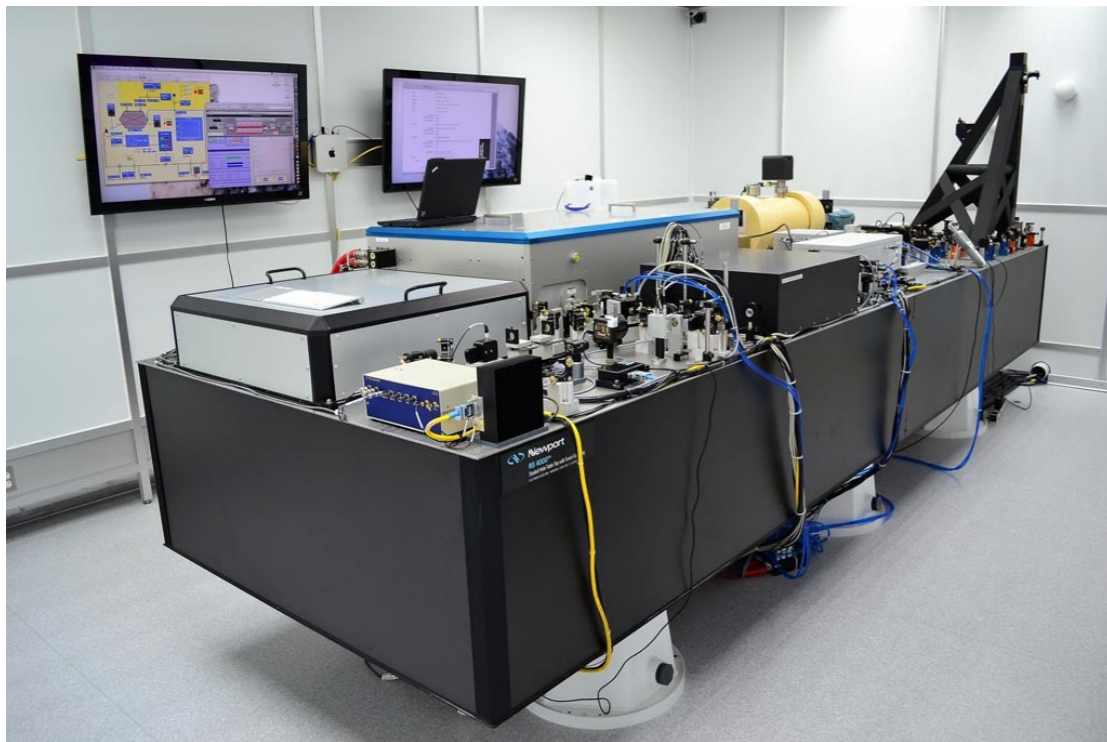
$$\lambda = 1.064 \mu\text{m}$$

Lock sensitivity  $< 10^{-10} \lambda$

$$h_{\text{min}} \approx 2 \times 10^{-24} \Rightarrow \Delta l_{\text{min}} \approx 8 \times 10^{-21} \text{ m}$$



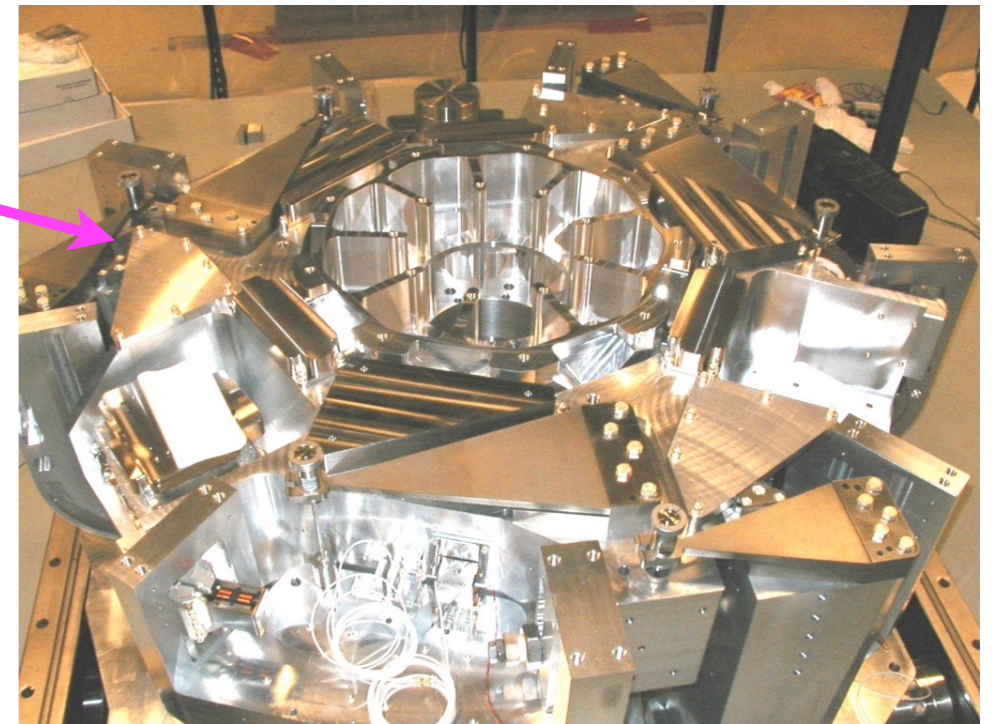
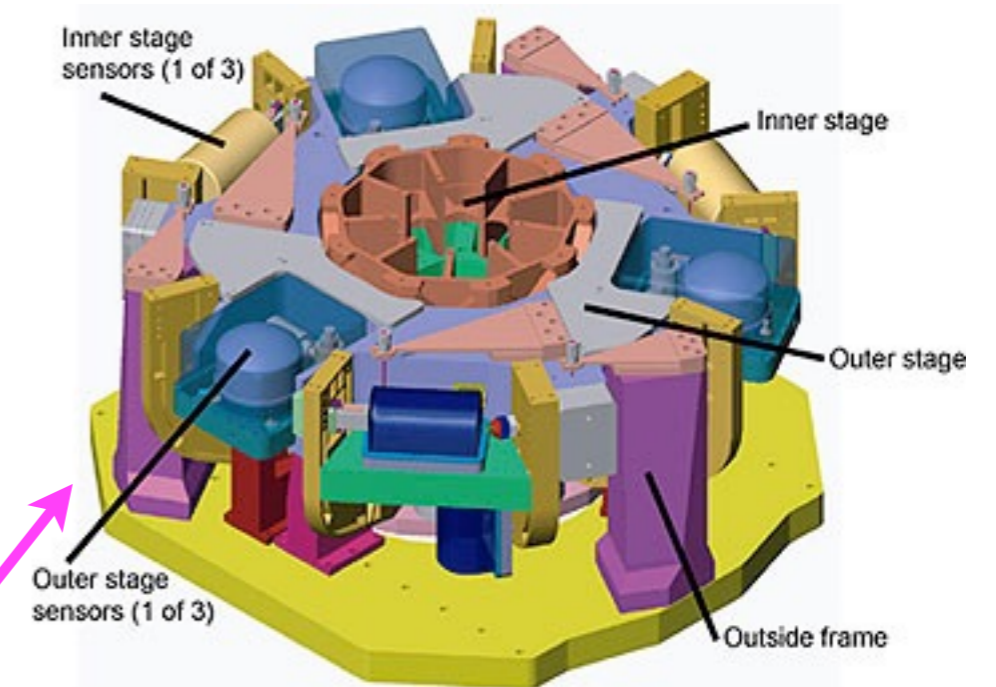
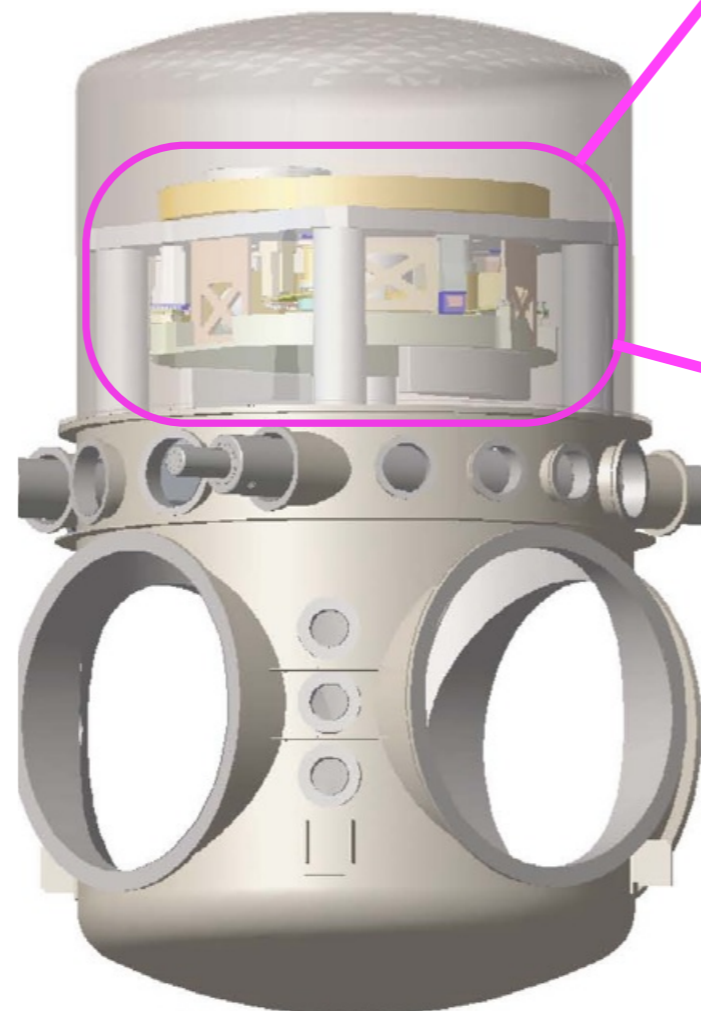
200 W Nd-YAG Laser by Max Planck AEI



# Adv. LIGO Seismic Isolation

- HEPI isolation of chamber supports
  - Overdetermined 6 DOF compensation in 0.1 – 10 Hz range
  - About 0.1 – 0.001 micron/s velocity over range
- Internal Chamber Isolation Stacks
  - Two isolation stages per stack
  - Passive isolation above 3 Hz. Active isolation below 30 Hz.
  - Supports Quad pendulum in BSC chambers
  - Supports Optical tables in HAM chambers

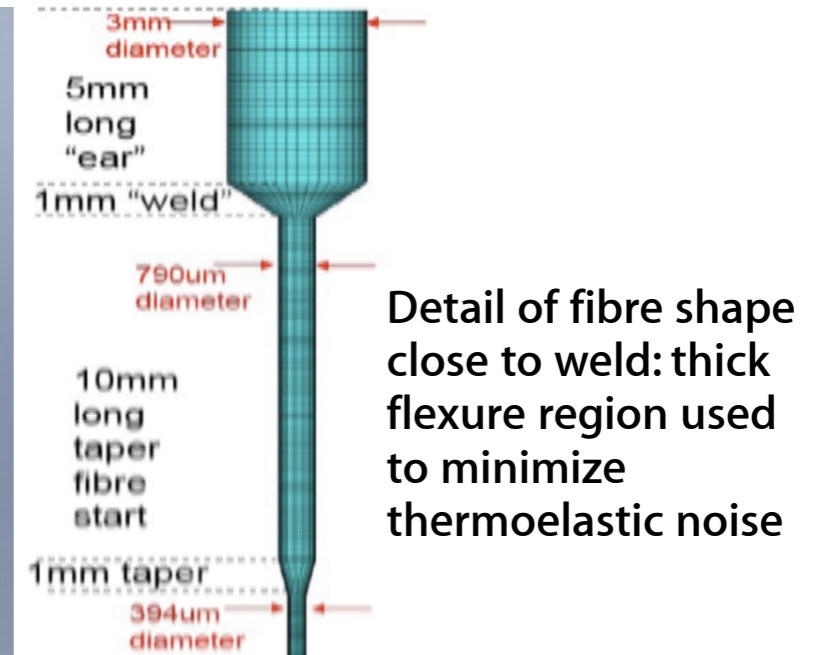
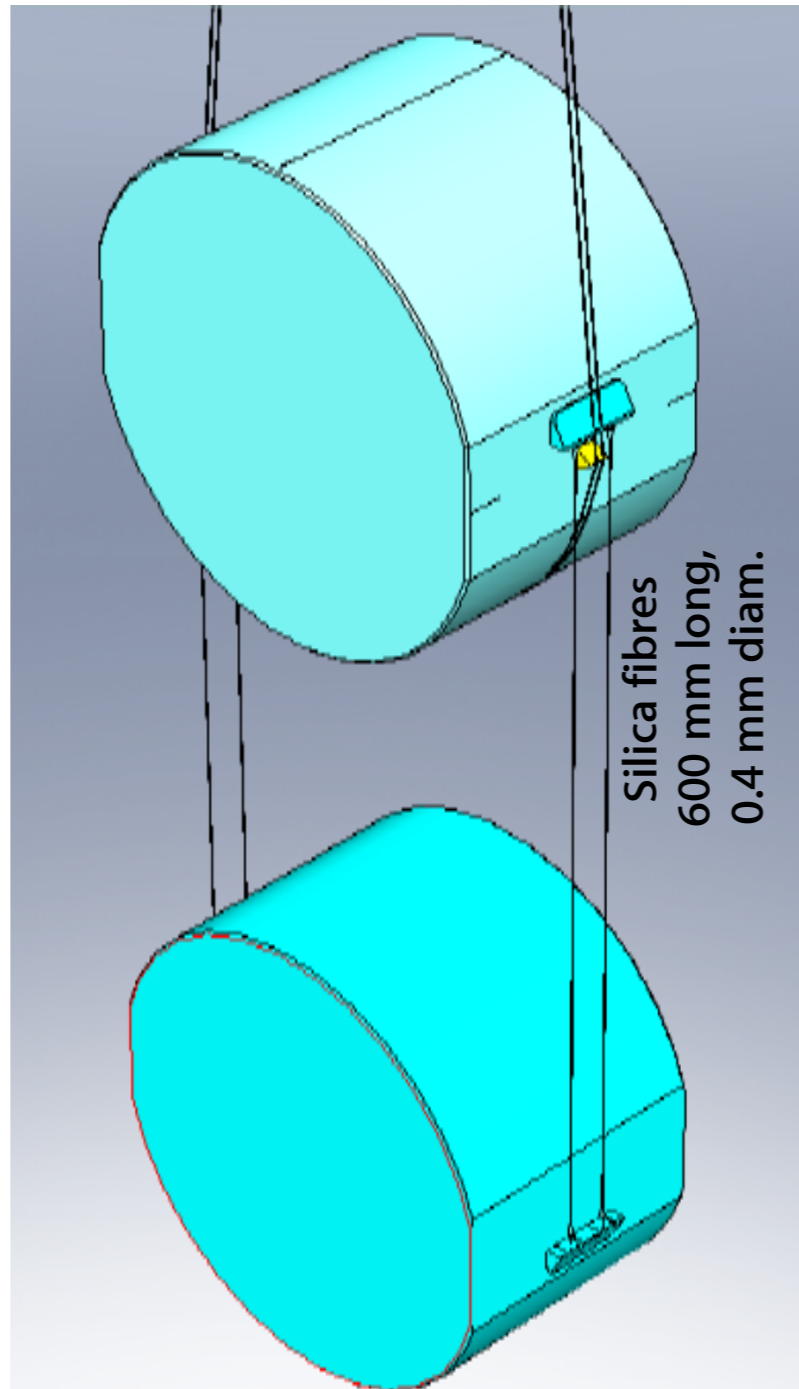
## BSC Chamber



# Advanced LIGO Suspensions

## Quad Pendulum Design

- Fused silica test masses will be supported by fused silica fibers.
  - Fibers welded to silica "ears" which are silicate bonded to the test mass.
  - Glasgow has developed a laser fiber drawing and welding apparatus.
- No Actuation on bottom test mass.
  - Actuation on upper test mass. Actuate against the reaction mass.
  - Marionette control of lower test mass
- Upper two pendula masses are Maragen Steel anti-springs and reaction masses.
- Prototype is now being assembled and tested at MIT in LASTI.



Detail of fibre shape close to weld: thick flexure region used to minimize thermoelastic noise



Mirror: 40 kg silica mass

Mirrors polished to sub-nm accuracy  
0.5 ppm absorption  
 $R > .99999$



Image Credit: LIGO Laboratory, MIT/Caltech

# LIGO Coating Thermal Noise History

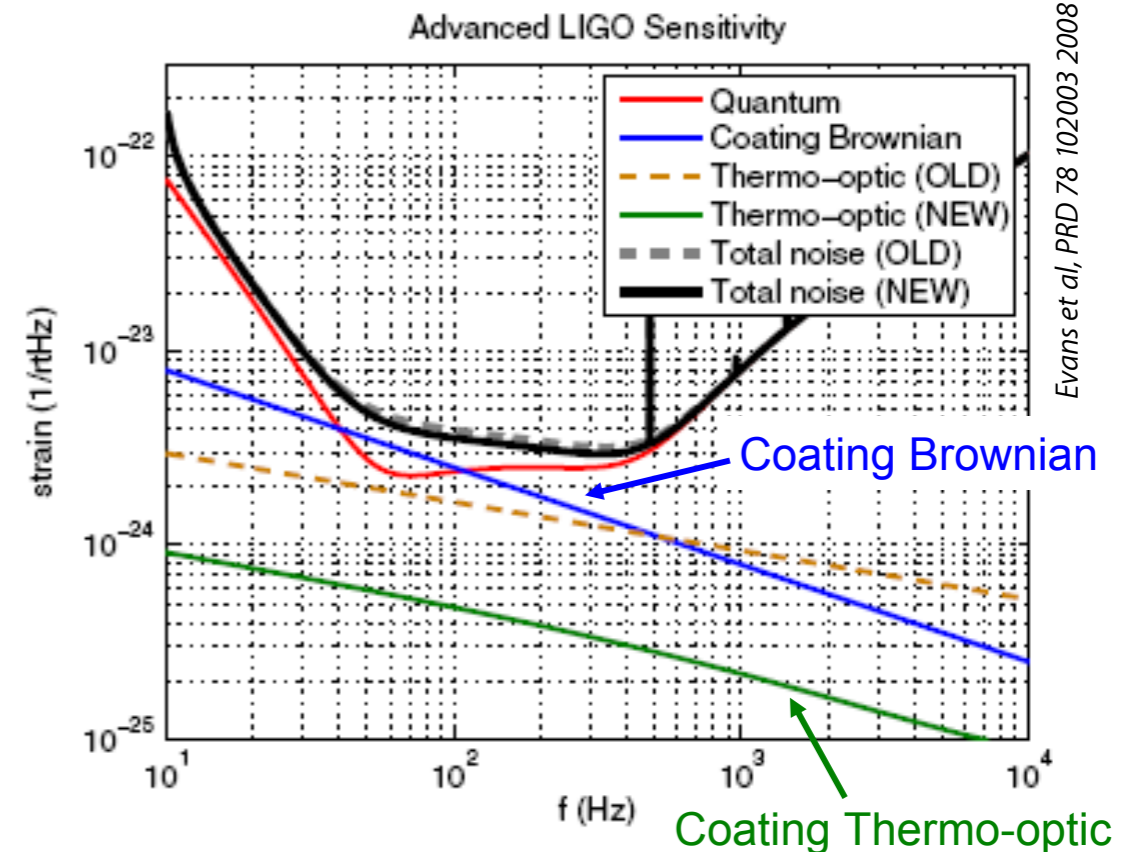
## The Problem

- Initial LIGO mirror coatings are alternating layers of tantala/silica
- Tantala has high mechanical loss (internal friction).
  - 4  $\mu\text{m}$  of tantala noisier than 15 cm silica.
- Dominant noise at most sensitive frequencies

## Current Solution

### Titania-doped tantala / Silica

- 40% lower loss
- Layer thicknesses optimized for low loss, equal reflectivity



## Ongoing Research

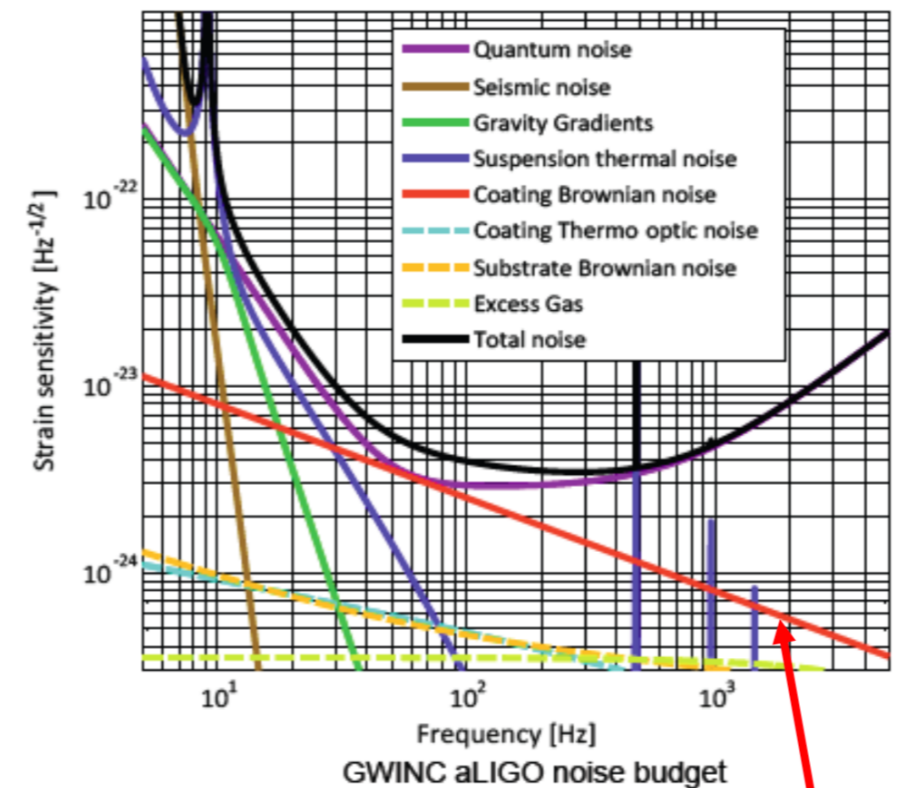
- Amorphous coatings:
  - Annealing / Heated-substrate
  - Dopants
  - Structural measurements
- Crystalline Coatings:
  - AlGaAs
  - AlGaP

# LIGO Coating Thermal Noise Future

- Reductions in coating thermal noise required
- Upgrades to aLIGO detectors
  - A+: room temperature, 1064nm (could we change wavelength?)
  - Voyager - 120 K, Si optics, 1550nm / 2μm (20K possibility?)
  - For reference, aLIGO ETM coating loss (2 +/- 0.1)E-4
    - loss of Ti:Ta2O5 = 2.4E-4
    - loss of SiO2 = 5E-5

$$S_x(f, T) \approx \frac{2k_B T}{\pi^2 f} \frac{d}{w^2 Y} \phi \left( \frac{Y'}{Y} + \frac{Y}{Y'} \right)$$

Temperature                      Coating thickness  
Laser beam radius                      Coating mechanical loss

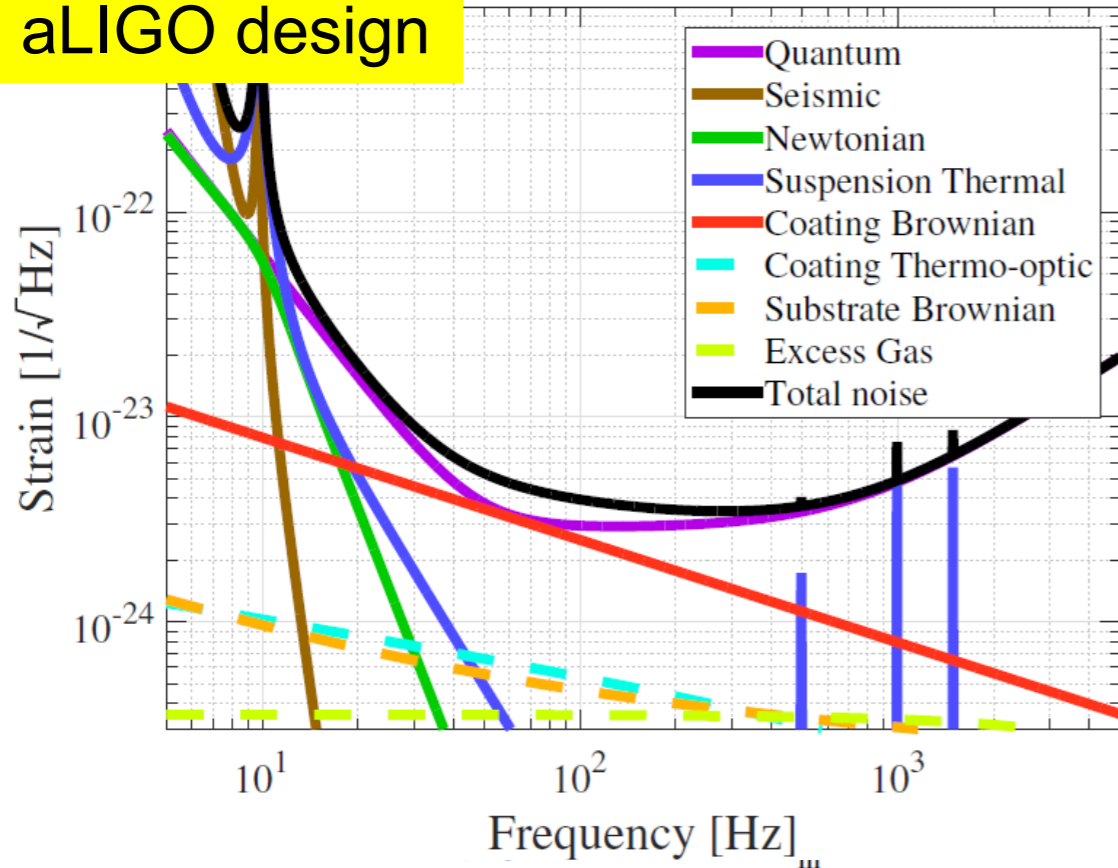


Coating thermal noise

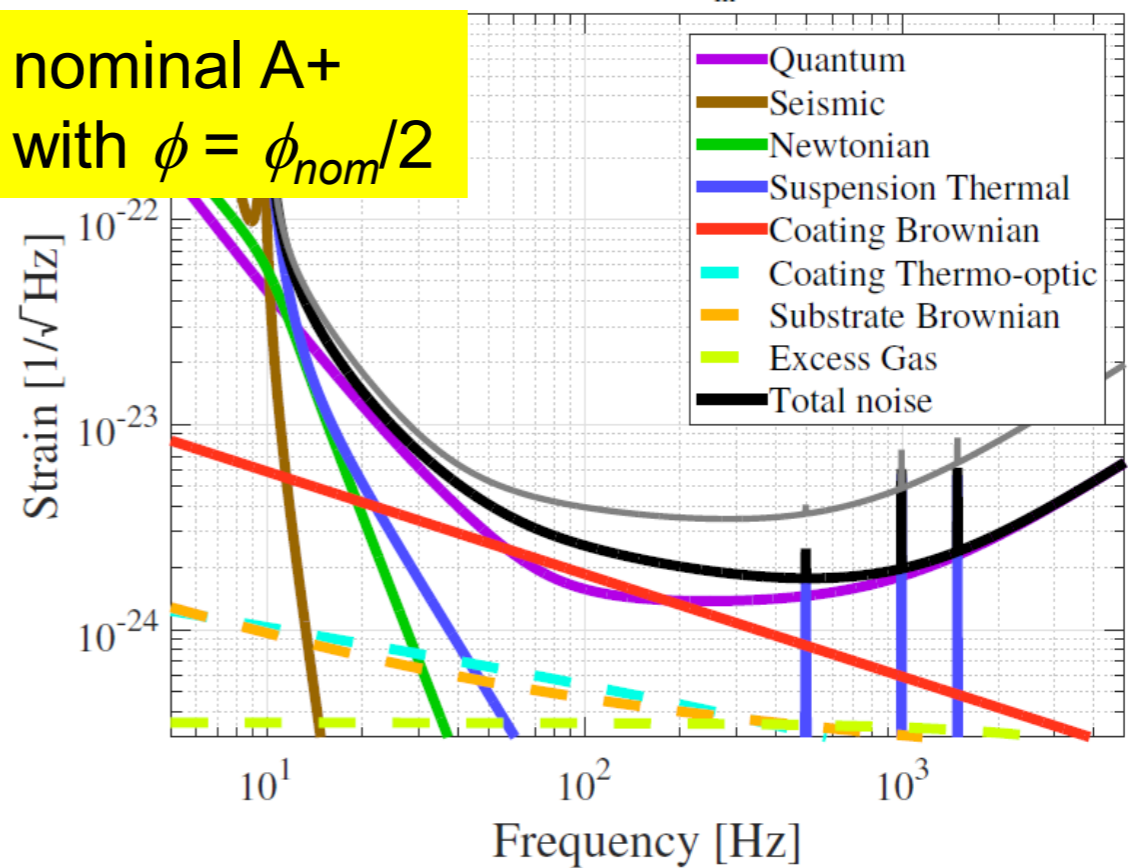


# Reduced Elastic Loss in LIGO Mid-Band

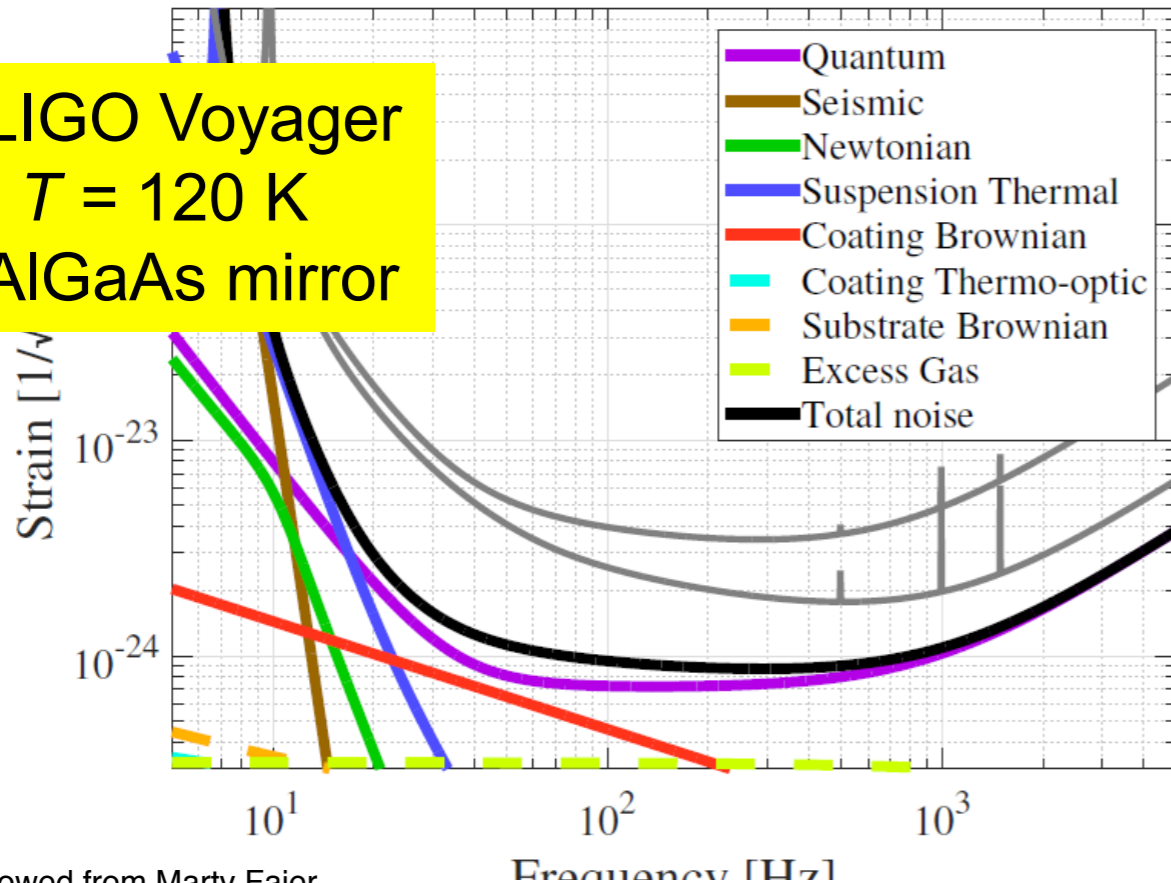
aLIGO design



nominal A+  
with  $\phi = \phi_{nom}/2$



LIGO Voyager  
 $T = 120$  K  
AlGaAs mirror



coating elastic loss  
coating thickness

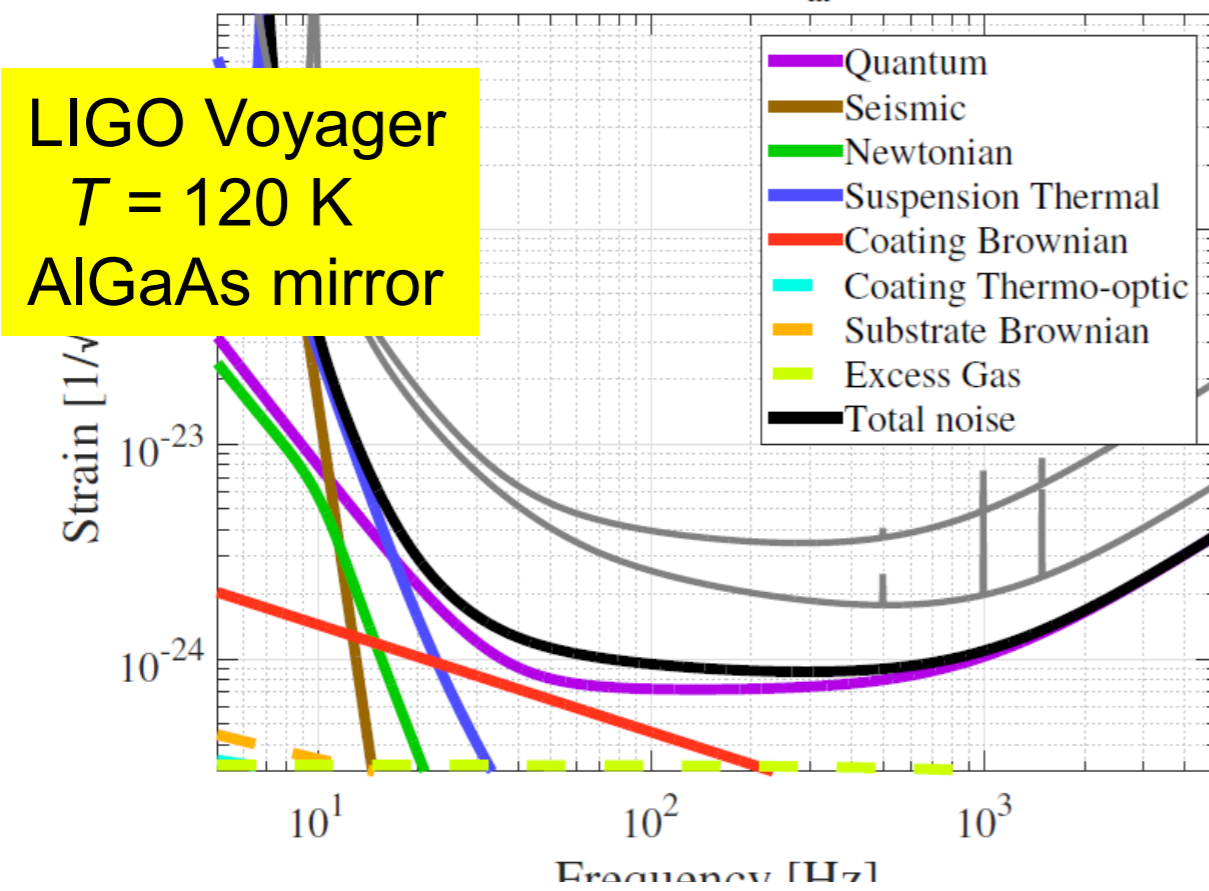
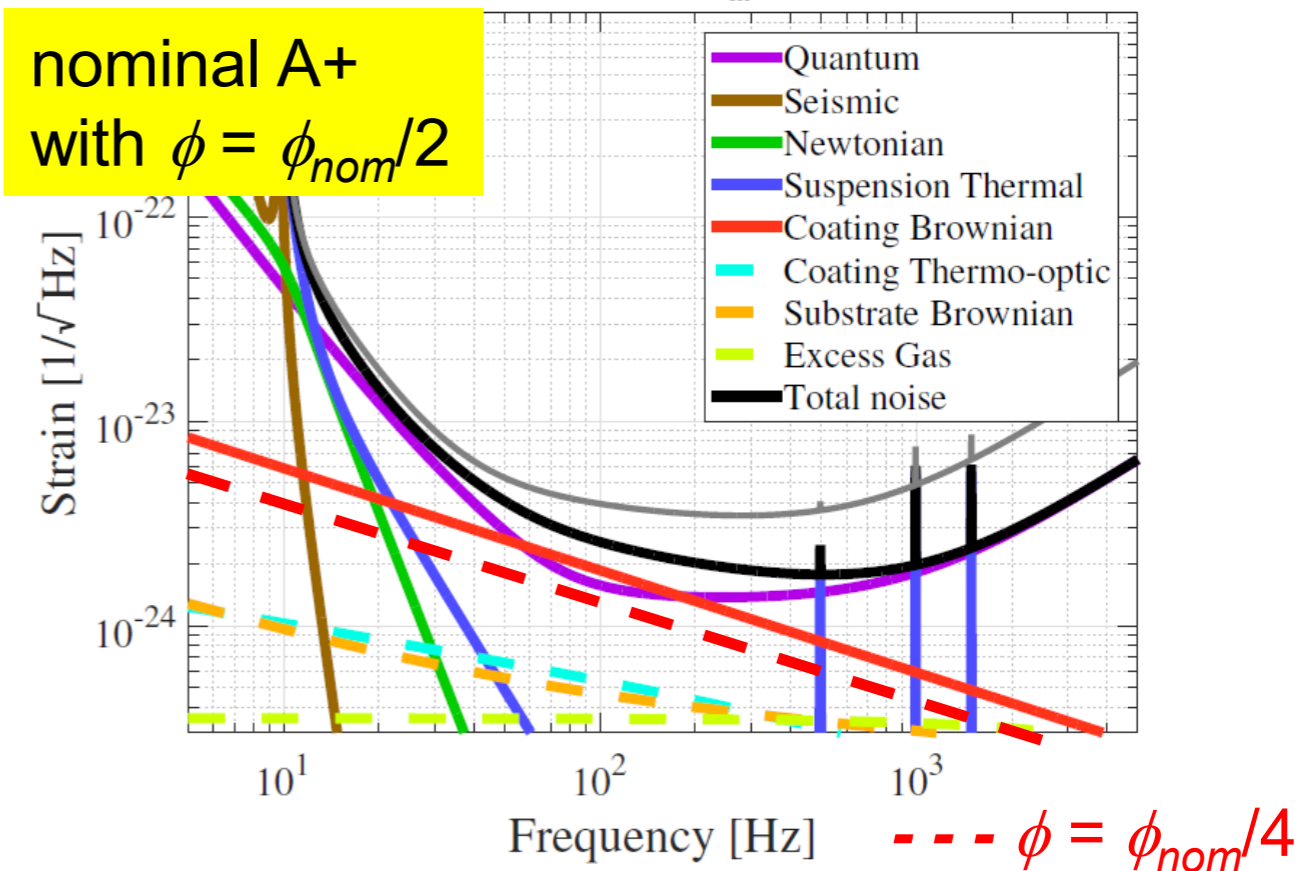
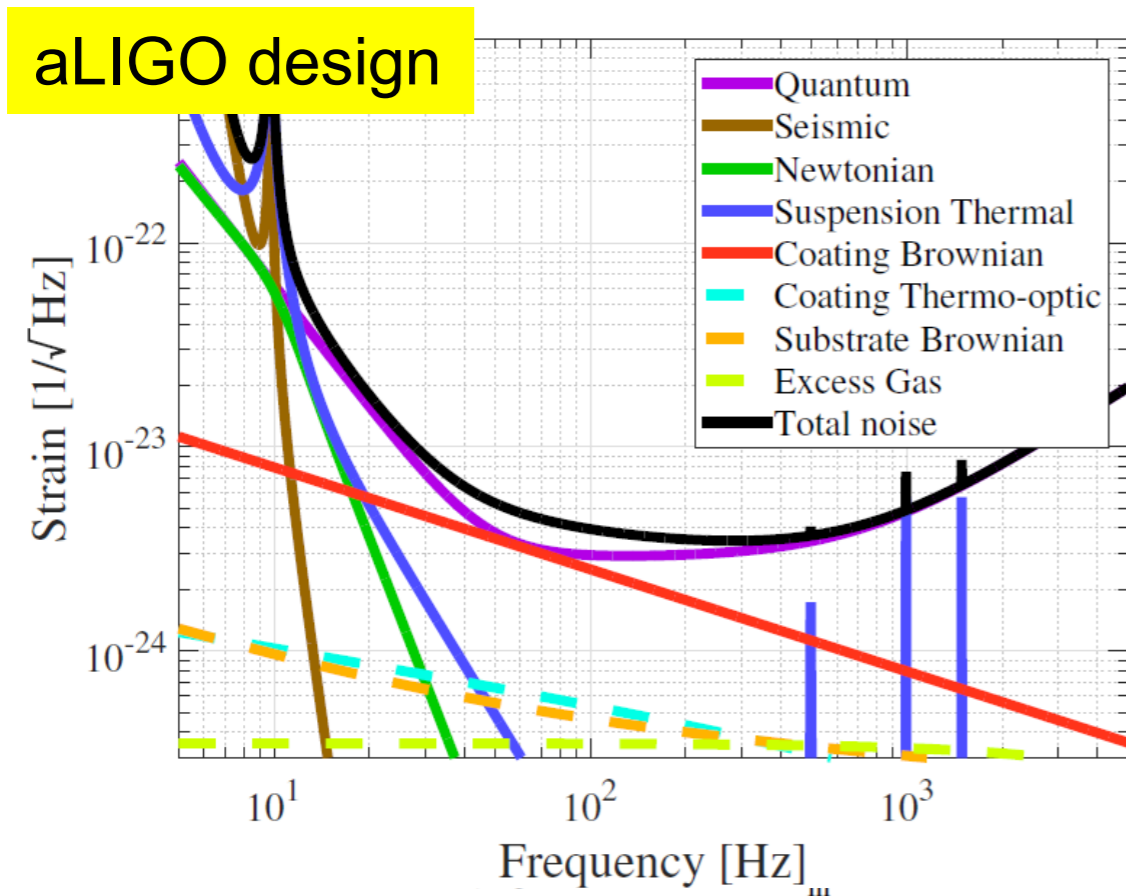
$$S_x(f, T) \approx \frac{2k_B T}{\pi^2 f} \frac{d}{w^2 Y} \phi \left( \frac{Y'}{Y} + \frac{Y}{Y'} \right)$$

beam radius

$$\begin{aligned} \phi_{\text{TiO}_2:\text{Ta}_2\text{O}_5} &= 2 \times 10^{-4} \\ \phi_{\text{SiO}_2} &= 4 \times 10^{-5} \\ \phi_{\text{AlGaAs}} &= 2.4 \times 10^{-5} \end{aligned}$$

Plots from LIGO-T15TBI-v1, I.S. White Paper

# Reduced Elastic Loss in LIGO Mid-Band



coating elastic loss  
coating thickness

$$S_x(f, T) \approx \frac{2k_B T}{\pi^2 f} \frac{d}{w^2 Y} \phi \left( \frac{Y'}{Y} + \frac{Y}{Y'} \right)$$

beam radius

$$\phi_{\text{TiO}_2:\text{Ta}_2\text{O}_5} = 2 \times 10^{-4}$$

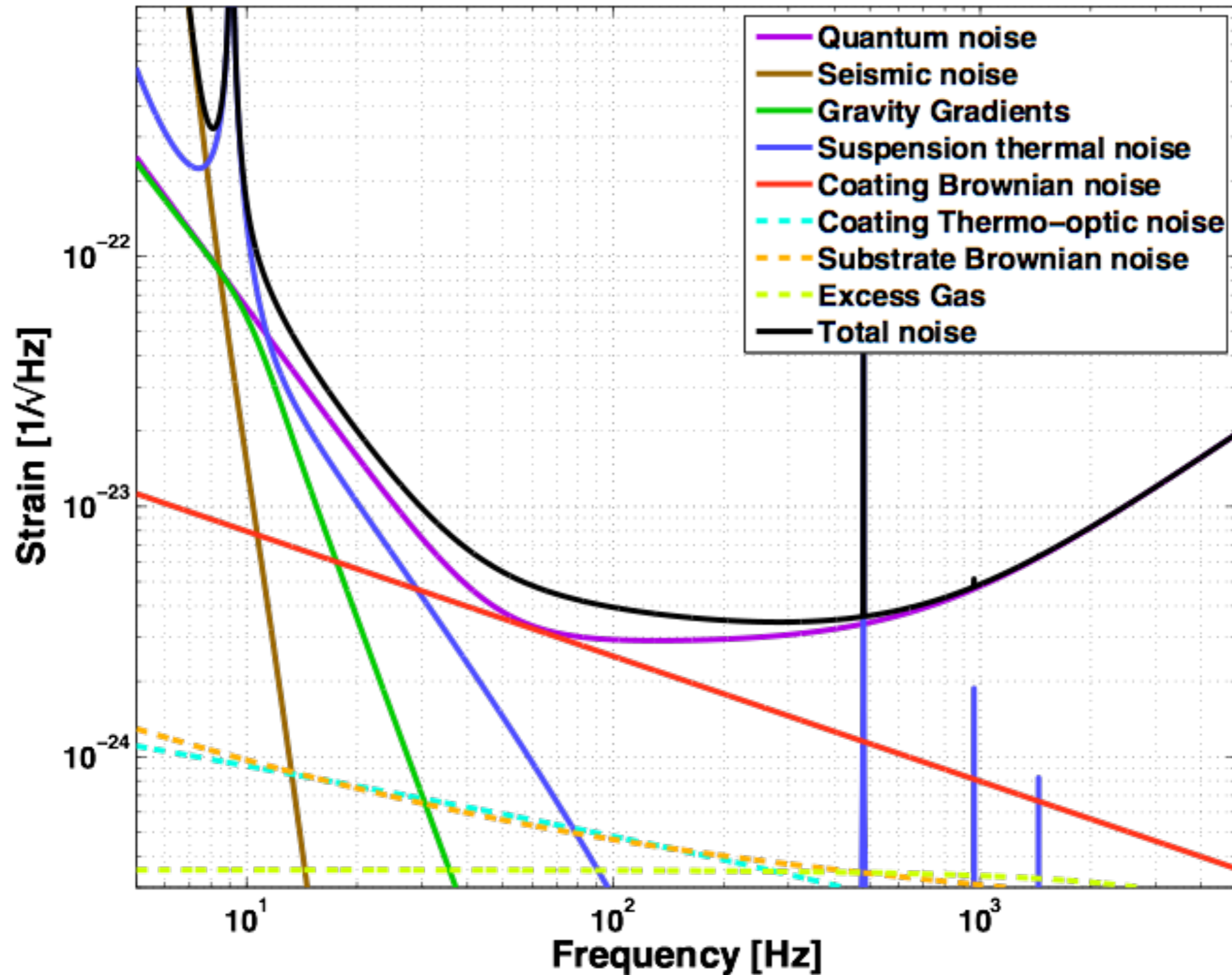
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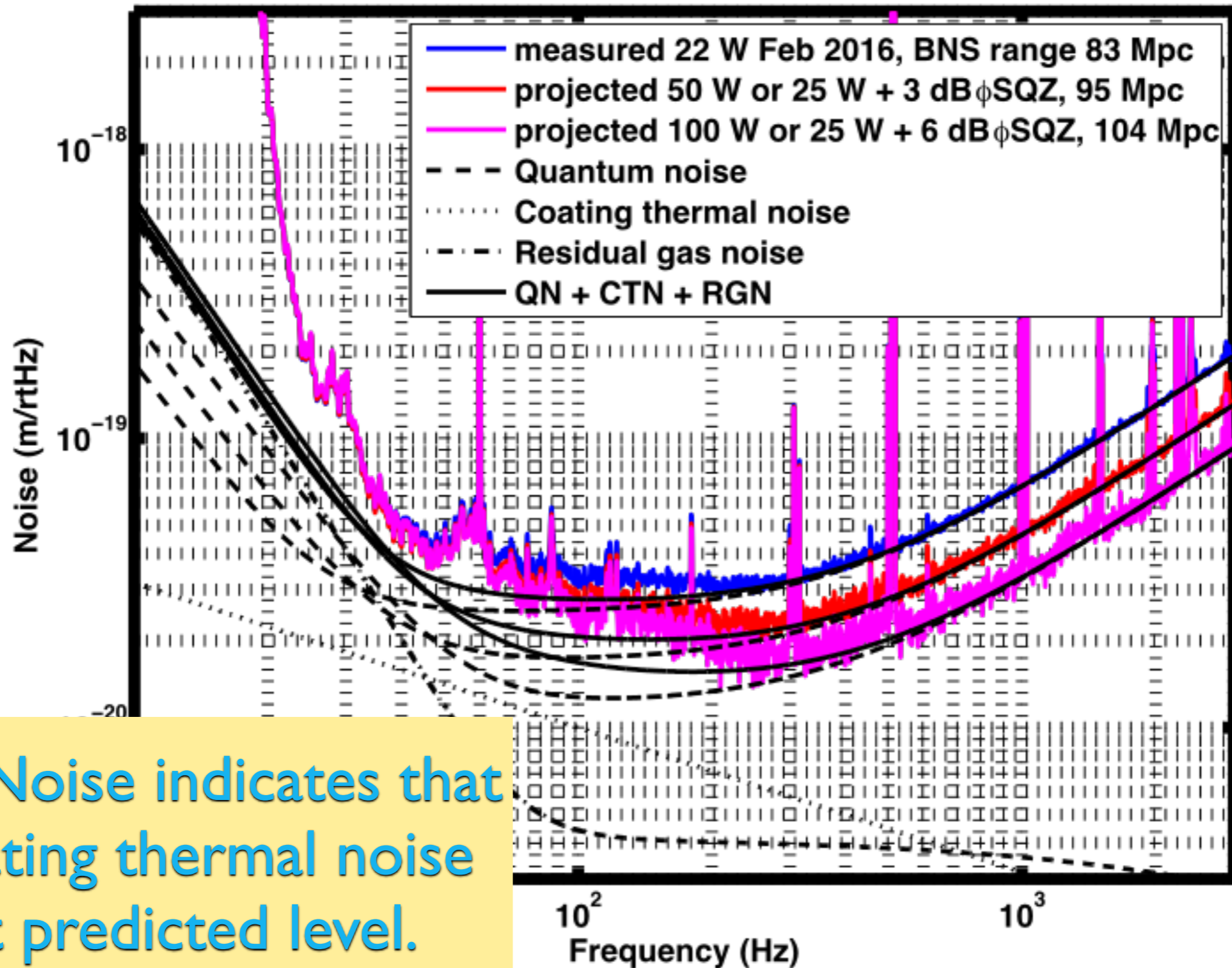
Plots from LIGO-T15TBI-v1, I.S. White Paper

# The Challenge: Coating Thermal Noise

AdvLIGO Noise Curve:  $P_{in} = 125.0$  W



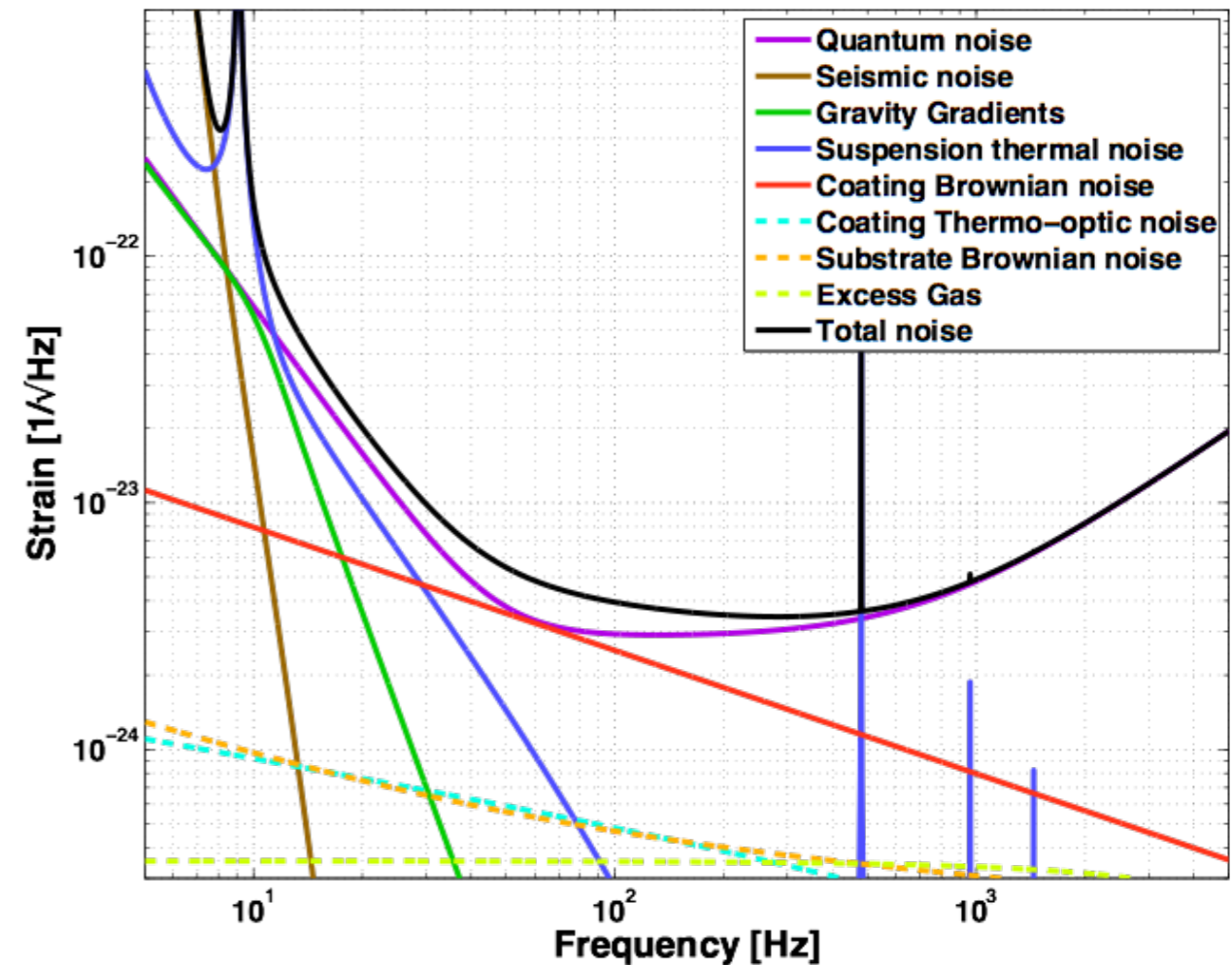
# The Challenge: Coating Thermal Noise



I/O Noise indicates that Coating thermal noise at predicted level.

# Thermal Noise

Thermally-activated motion of the mirror that couples into the interferometer signal.



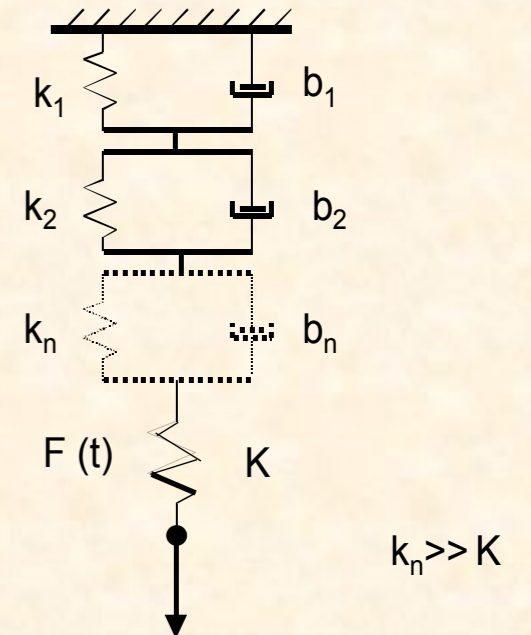
- Motion on resonance is the elastic response. Notch filtered.
- “Thermal Noise” is the off-resonance motion = inelastic response. Dissipation causes the off-resonant motion.
- Fluctuation-Dissipation Theory describes the spectrum of a dissipative system.

# Fluctuation-Dissipation Theorem

- Start with a linear systems (ie. damped H. O.)

$$F_{\text{ext}}(f) = m\ddot{x} + b\dot{x} + kx$$

- Define the impedance,  $Z$ , as  $F_{\text{ext}}(f) = Z v(f)$   
or the admittance,  $Y$ , as  $Y(f) \equiv Z^{-1}(f)$



- The Fluctuation-Dissipation Theorem states

$$F_{\text{Therm}}^2(f) = 4k_B T \Re(Z(f)) \quad x_{\text{Therm}}^2 = \frac{k_B T}{\pi^2 f^2} \Re(Y(f))$$

- Model material with a complex spring constant,

$$k(1 + i\phi(f)) \quad x^2(f) = \frac{4k_B T}{k} \frac{\phi}{2\pi f \left[ \left(1 - f^2/f_0^2\right)^2 + \phi^2 \right]}$$

# Dissipation: Double Well Potential

**Asymmetric Double Well Potential (ADWP):** Thermal excitation to the higher energy state and dissipation when the system relaxes. The relaxation time is assumed to obey an Arrhenius process

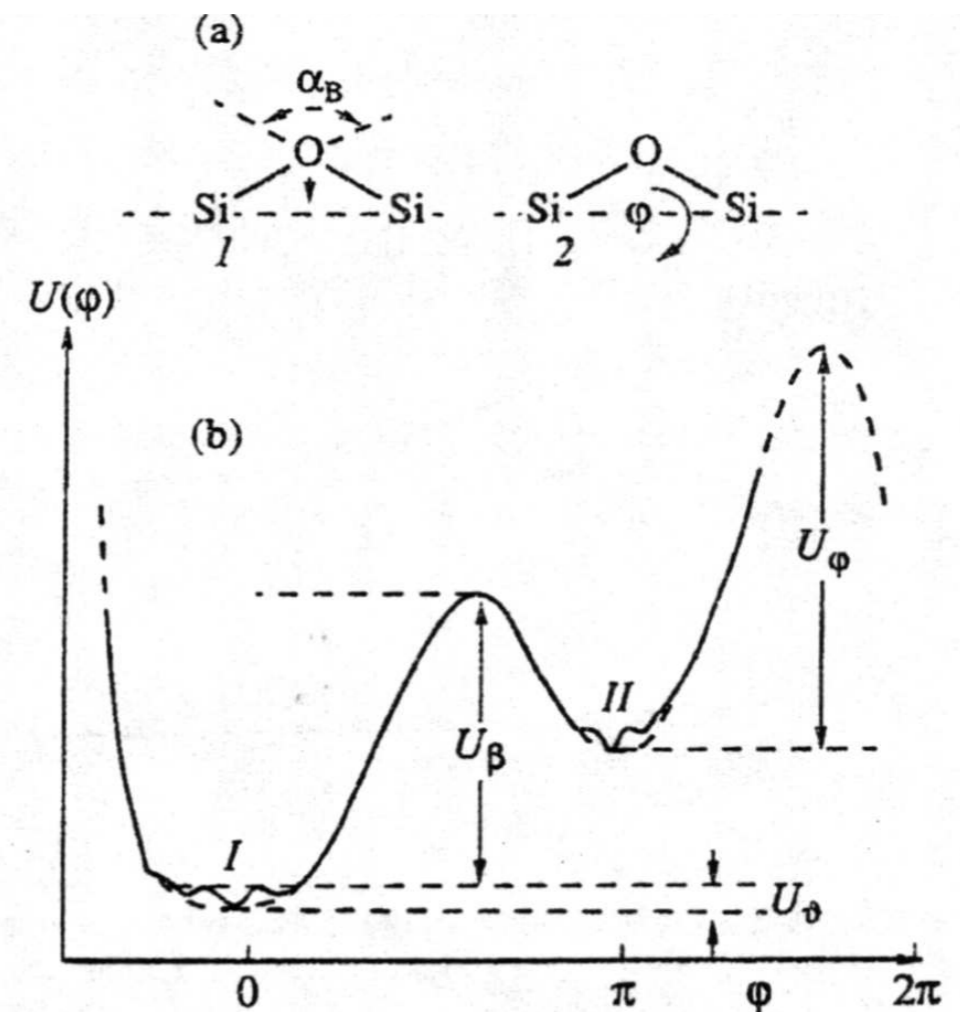
$$\tau = \tau_0 e^{U/kT}$$

where  $U$  is the potential barrier height (or Activation Energy)

- At the loss peak

$$\log \omega = -\log \tau_0 - U/kT$$

- Frequency dependence of the loss peak gives the activation energy,  $U$



**Fig. 7.** (a) Two types of Si-O-Si mobility: (I) variable bond angle  $\alpha_B$  (bending of the Si-O-Si unit), (2) rotation about the Si-Si axis; (b) potential energy vs. angle of rotation of the Si-O-Si unit: (I) ground state, (II) excited state.

# Dissipation: Debye Loss Model

Dissipation mechanism has a Relaxation time,  $\tau$ . Sample oscillation frequency is  $\omega$ .

Maximum loss peak when  $\omega\tau=1$  (Debye condition)

This gives a loss peak with the form

$$\phi = \phi_0 \frac{2\omega\tau}{1+(\omega\tau)^2}$$



# Dissipation in Amorphous Materials

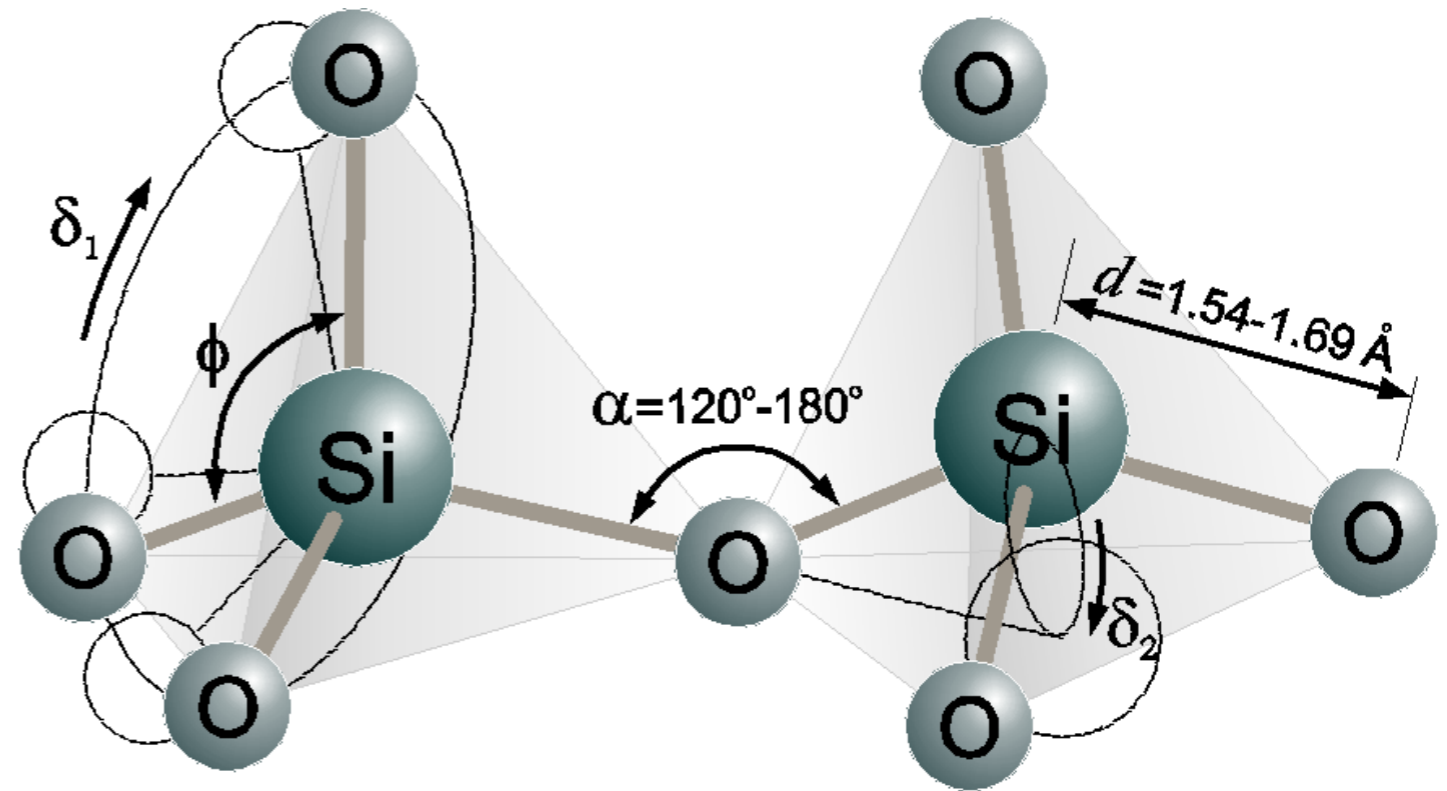
- For amorphous materials there is a distribution of barrier heights,  $g(U)$ .
- The total loss is

$$\phi \propto \int_0^{\infty} \frac{\omega \tau}{1 + (\omega \tau)^2} g(U) dU$$

- Assuming an exponential barrier height distribution,  $g(U) = \frac{1}{U_0} e^{-U/U_0}$  then

$$\phi \propto f^{kT/U_0}$$

# Silica Structure



SiO2 forms tetrahedral structure

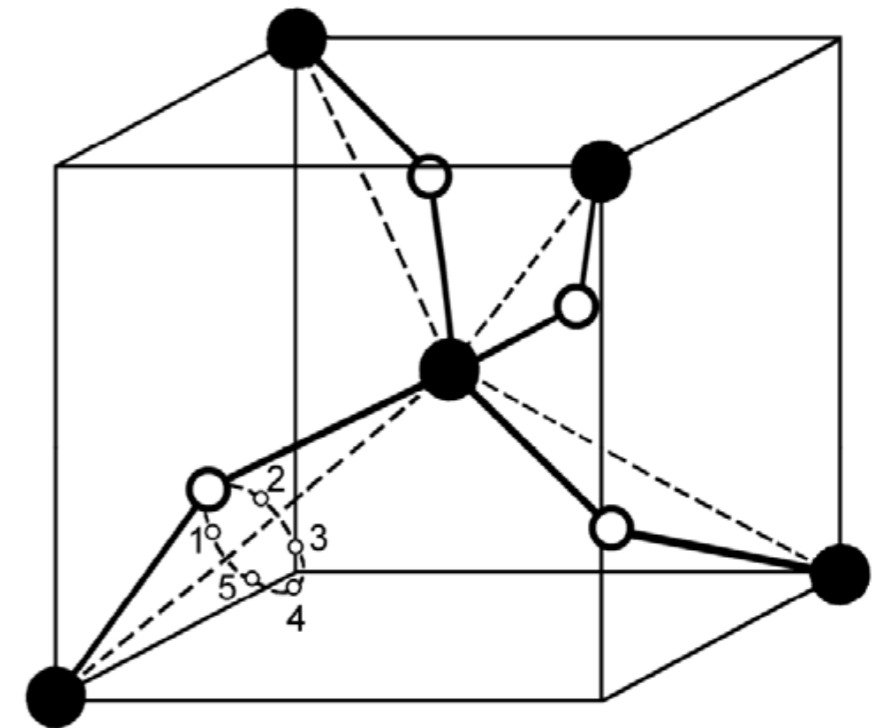
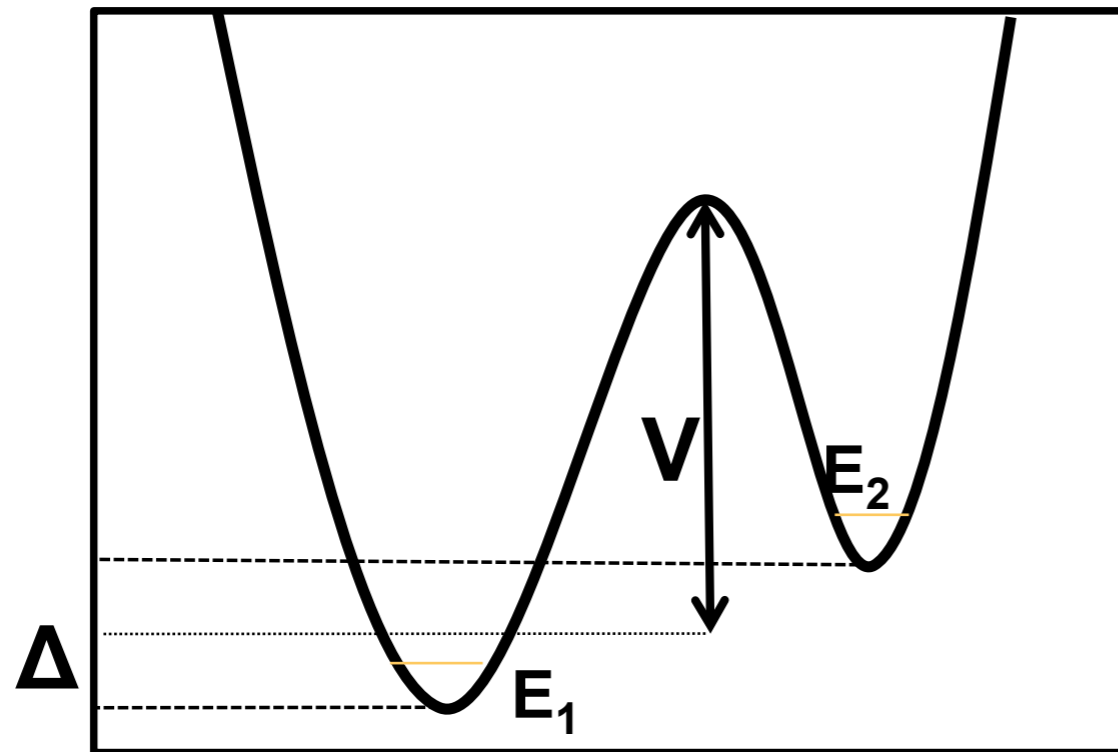
Tetrahedron bonds "fixed" at  $109^\circ$ . Si-O-Si bonds range from  $120-180^\circ$ .

Loss Mechanisms:

- Si-O-Si bond angle shift.
- Rotation about Si-O bond
- Breaking Si-O bond

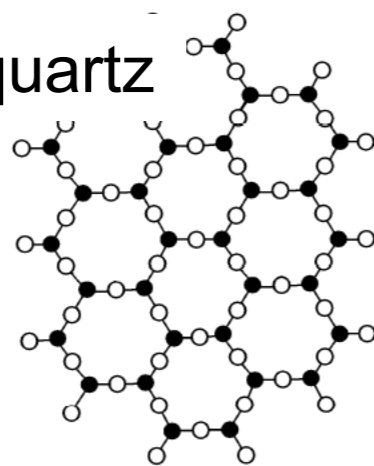
# Low-frequency losses in amorphous dielectrics

- Conventionally associated with low energy excitations (LEEs)
  - conceptualized as two-level systems (TLS)

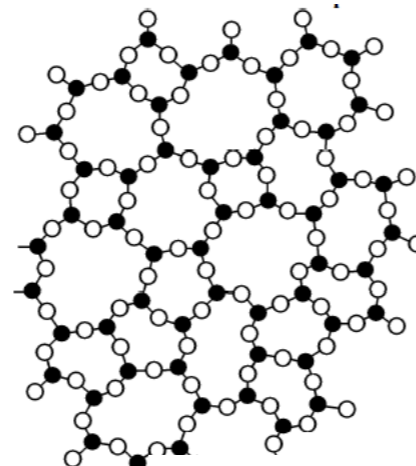


Oversimple picture: bond flopping

crystal quartz



A)



fused silica

(● = Si, ○ = O).

Distribution of TLS in silica  
due to disordered structure

figures from B.S. Lunin monograph

# Silica Loss Peaks

- Silica forms larger structures, chains, and rings with a distribution of sizes depending on impurity level and thermal processing.
- The  $\vartheta$  peak = shift in Si-O-Si bond angle. A kink or flexure of the silica chain.
- The  $\beta$  peak = rotation about Si-O bond. Torsional oscillation of section of silica chain.
- The  $\alpha$  peak = breaking Si-O bonds. Glass-liquid transition.

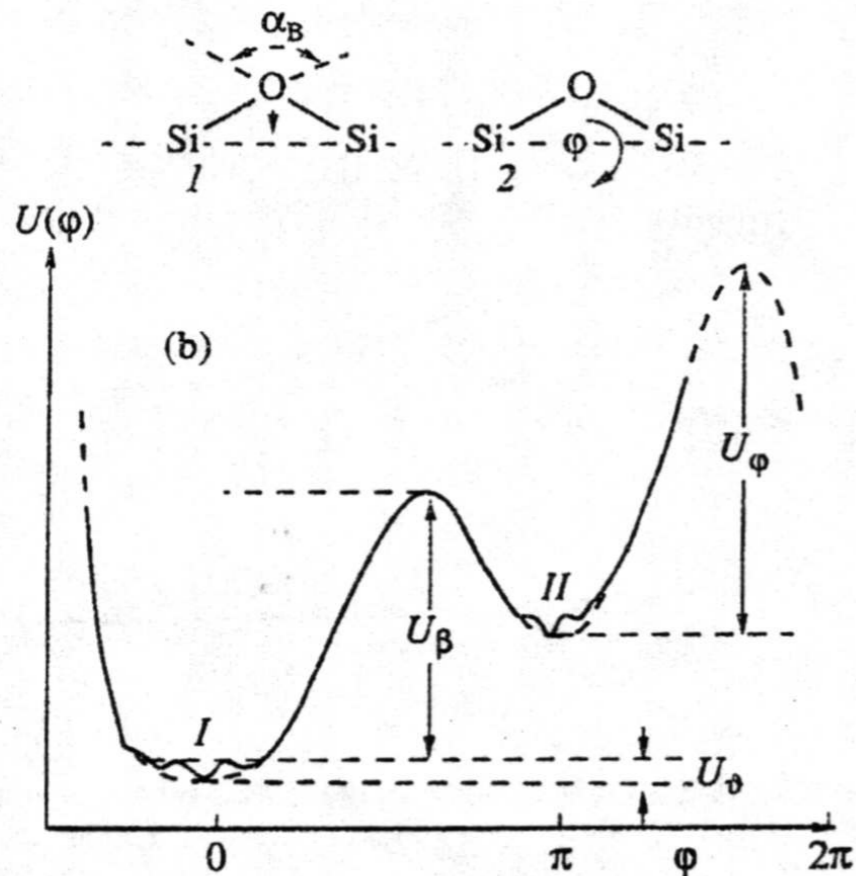


Fig. 7. (a) Two types of Si-O-Si mobility: (1) variable bond angle  $\alpha_B$  (bending of the Si-O-Si unit), (2) rotation about the Si-Si axis; (b) potential energy vs. angle of rotation of the Si-O-Si unit: (I) ground state, (II) excited state.

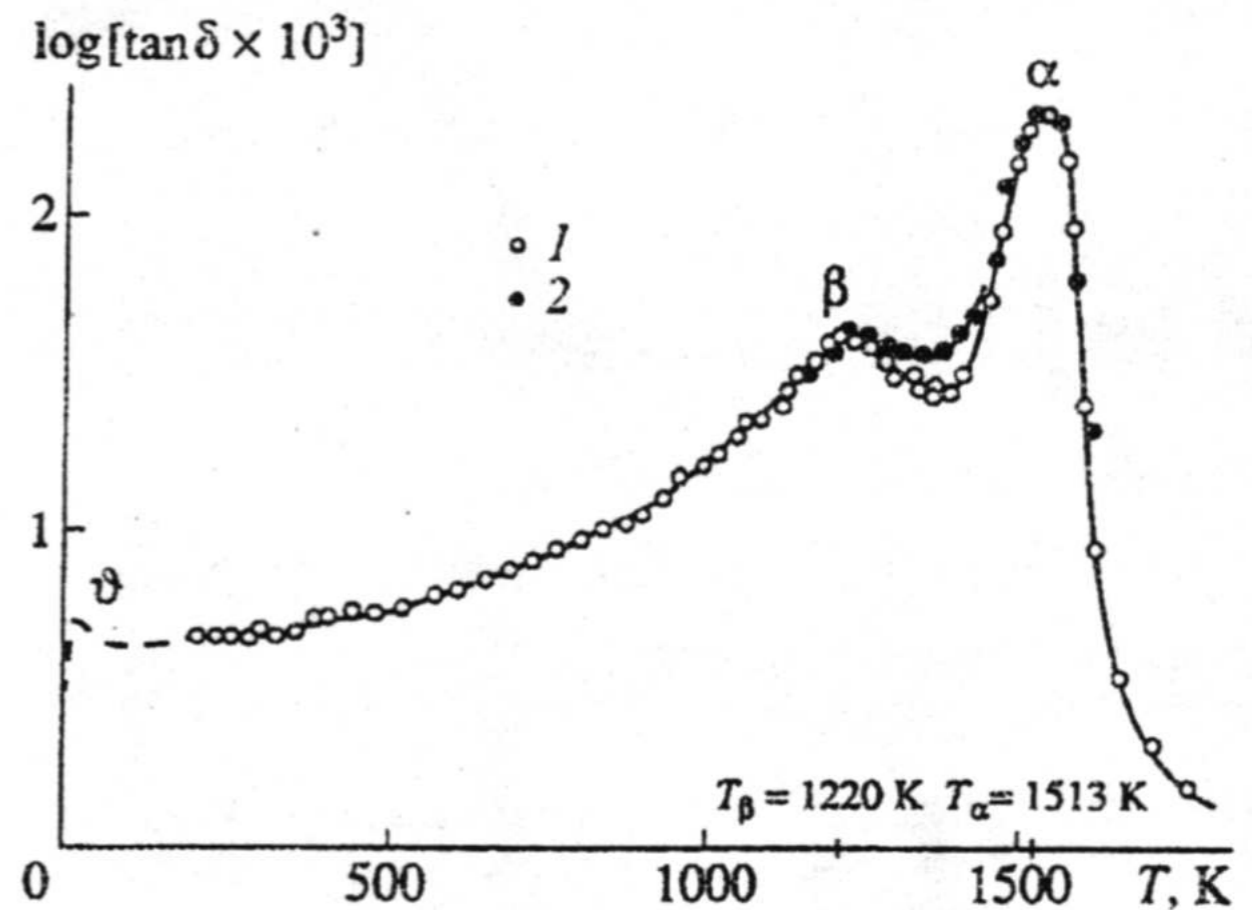


Fig. 2. Internal friction spectrum of vitreous silica (Kyshtym quartz) at  $\nu = 1$  Hz;  $\tan \delta$ —mechanical loss tangent; (1) present work, (2) earlier data [48].

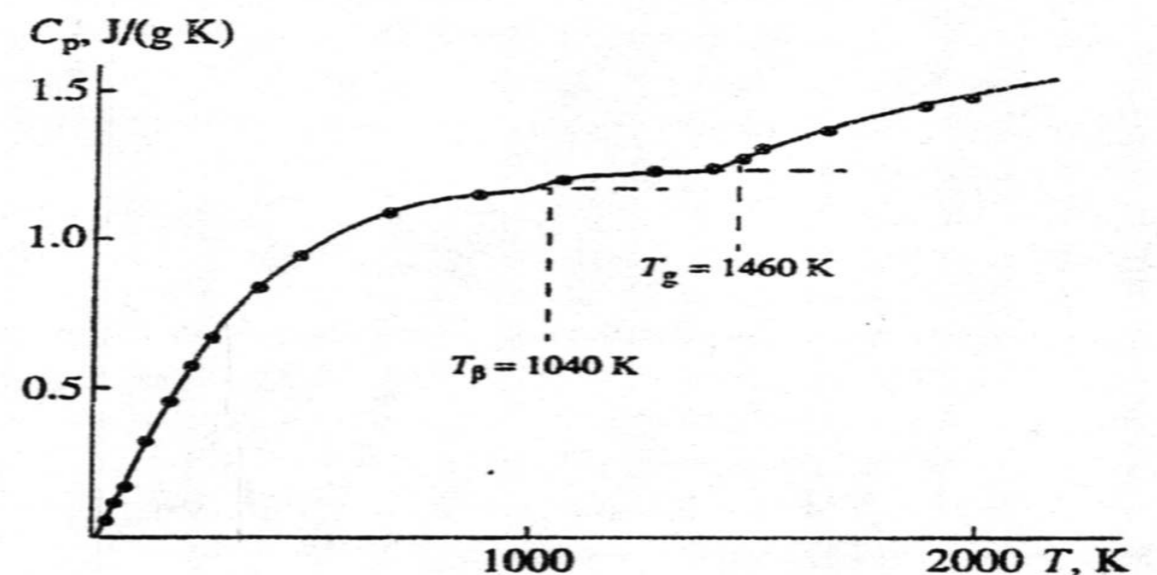
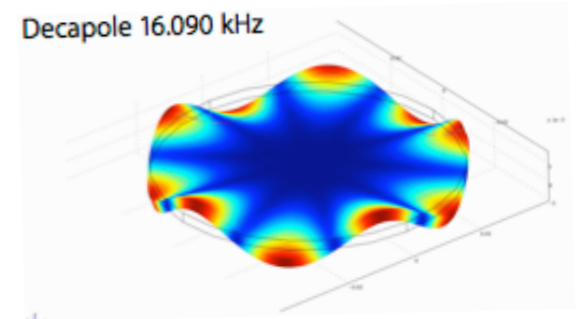
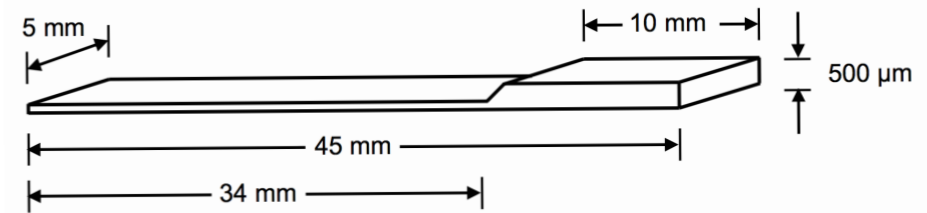


Fig. 3. Heat capacity of vitreous silica as a function of temperature [15].

# Methodologies

- Mechanical loss measurements

- Cryogenic Measurements on Cantilevers [Glasgow]
- Room temperature measurements on thin disks [HWS & AU]



- Structural measurements

- Bond length and element distribution: EXAFS; FEM; EELS, XRD, NMR [Stanford & Glasgow]
- Elastic modulus measurements [Caltech & Glasgow]

- Direct Thermal Noise Measurements

- Optical Cavities [Caltech & Florida]
- Thermo-optic measurements [ERAU & Whitman]

- Theoretical Models [Florida]

- Young's Modulus and loss approaching observed values.

# Crystalline Coatings

- AlGaAs
  - Low mechanical loss  $\approx 2 \times 10^{-5}$  in optical cavity measurements. [Cole, et al., *Nature Photonics*, vol. 7, pp. 644–650, Aug. 2013]
  - Size limitations:
    - 1.6 cm  $\rightarrow$  15 cm in 3 years,
    - Move to aLIGO test mass size, at least 5-10 years (G.Cole)
  - Adhesion flaws:
    - Repeated measurements of 1.6 cm coatings. Lowest loss  $\approx 1.2 \times 10^{-4}$
    - G. Cole believes the problem is fixed
    - New samples due this summer of a full-reflection stack
- AlGaP
  - Can be grown directly on silicon substrate
  - Stanford work (led by A. Lin) developed methods to minimize defects. Research suspended as A. Lin graduated.
  - Research in Glasgow continues in cooperation with local company

# AlGaAs Sample

6.83 micron thick, 1.63 cm diameter

Areas of poor adhesion?



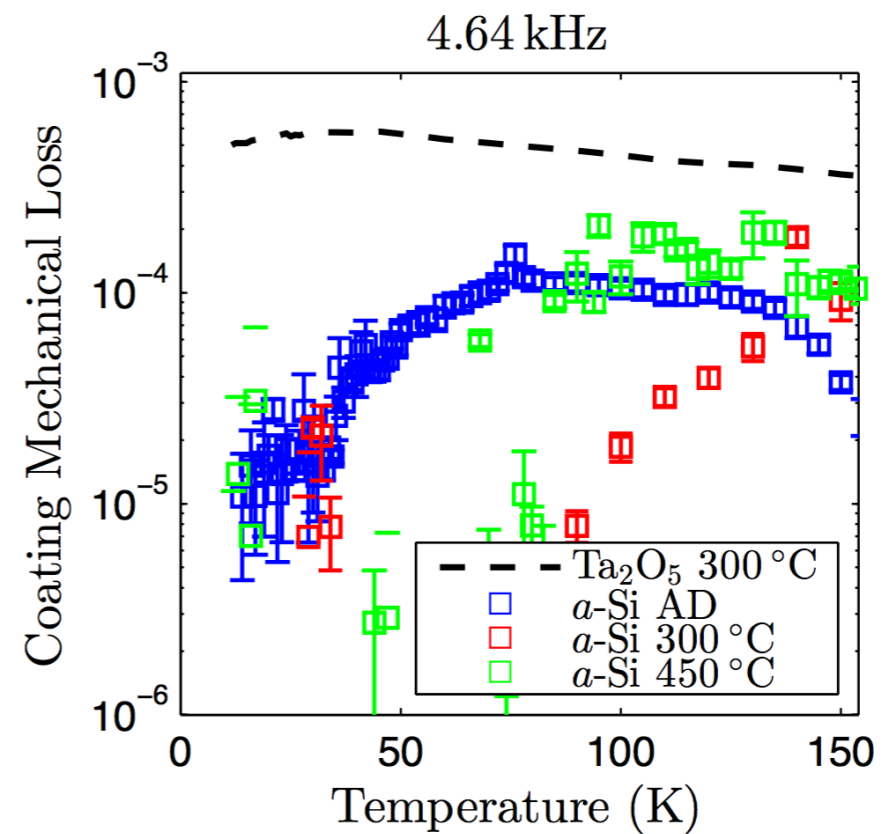
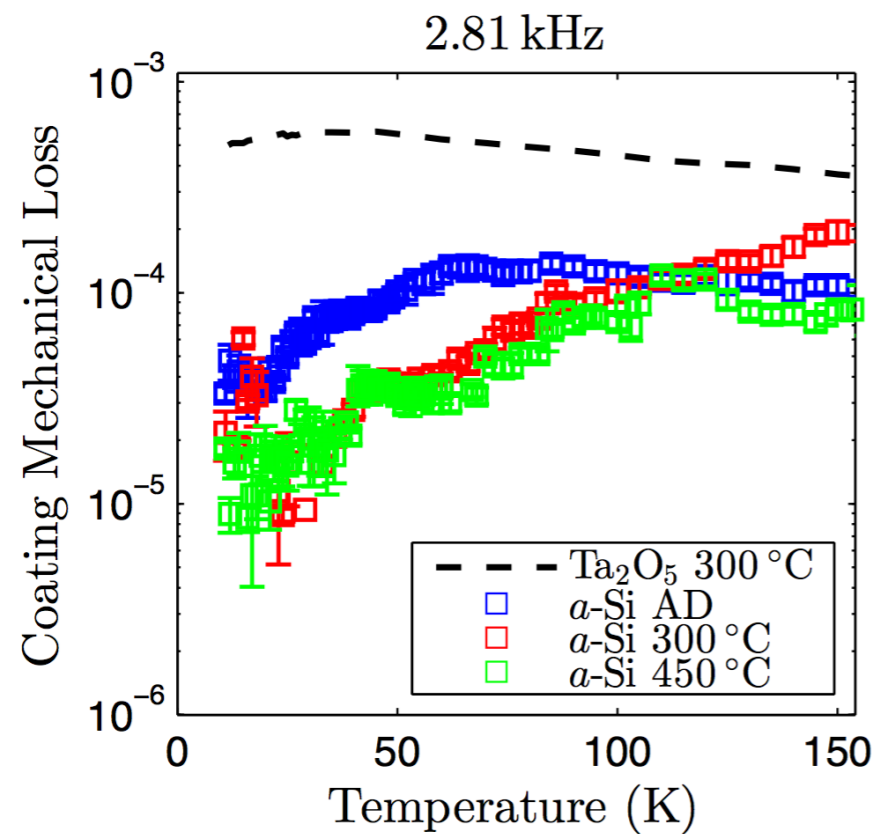
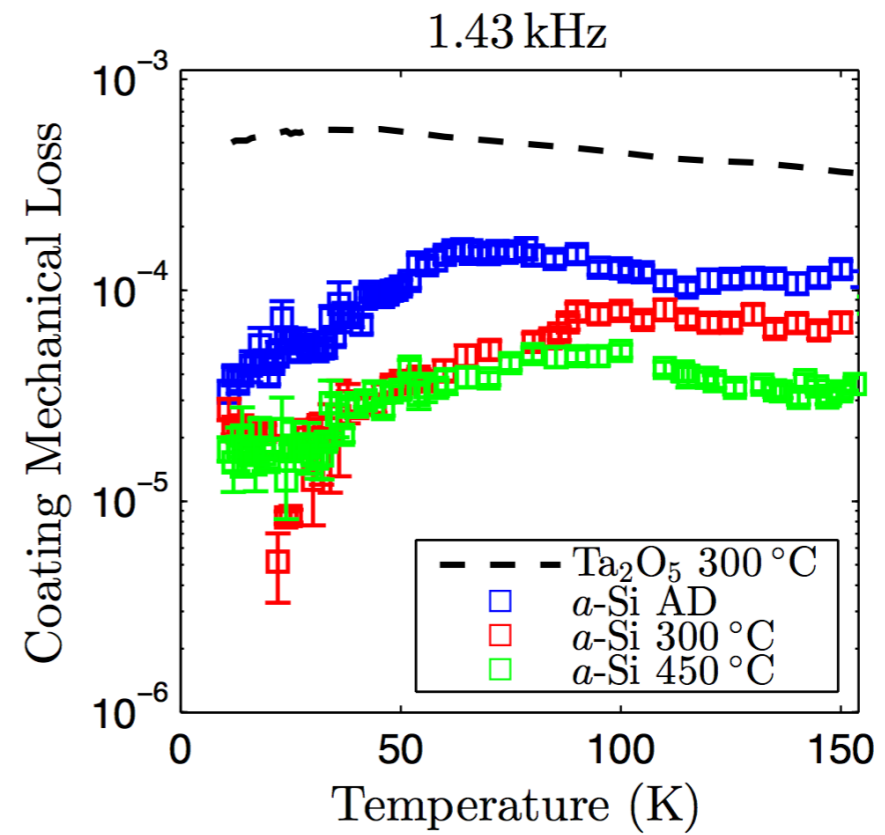
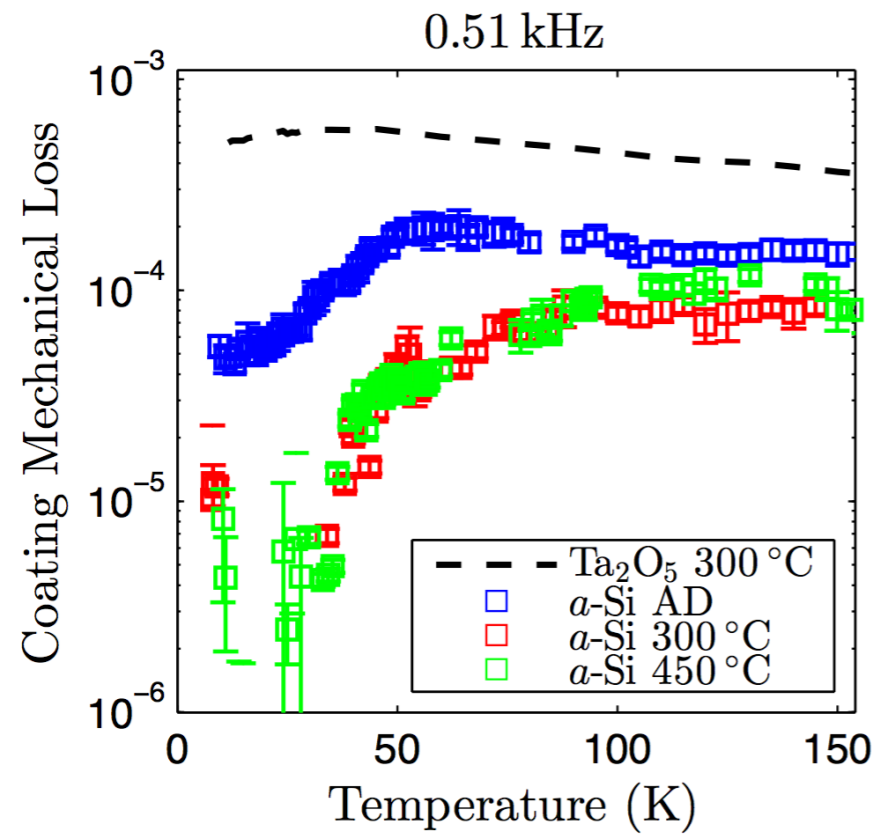
# a-Silicon Coatings

- Future (Voyager, ET) to Silicon Test mass and 1.5  $\mu\text{m}$
- a-Silicon coating applied via IBS should have good optical properties
- Hot-wire CVD infused H (1 at.%) in Silicon coating yields low mechanical loss ( $\leq 10^{-6}$ ) [Liu, et al. PRL 78, 4418 (1997)].
- Research led by Peter Murray (Glasgow) [next slide]
  - Loss at cryogenic temperatures at few  $10^{-5}$  rising to  $10^{-4}$  at 300 K.
- New results from Liu [PRL 113, 025503 (2014)] for a-Silicon loss using EBD on heated substrate



# a-SILICON COATING LOSS

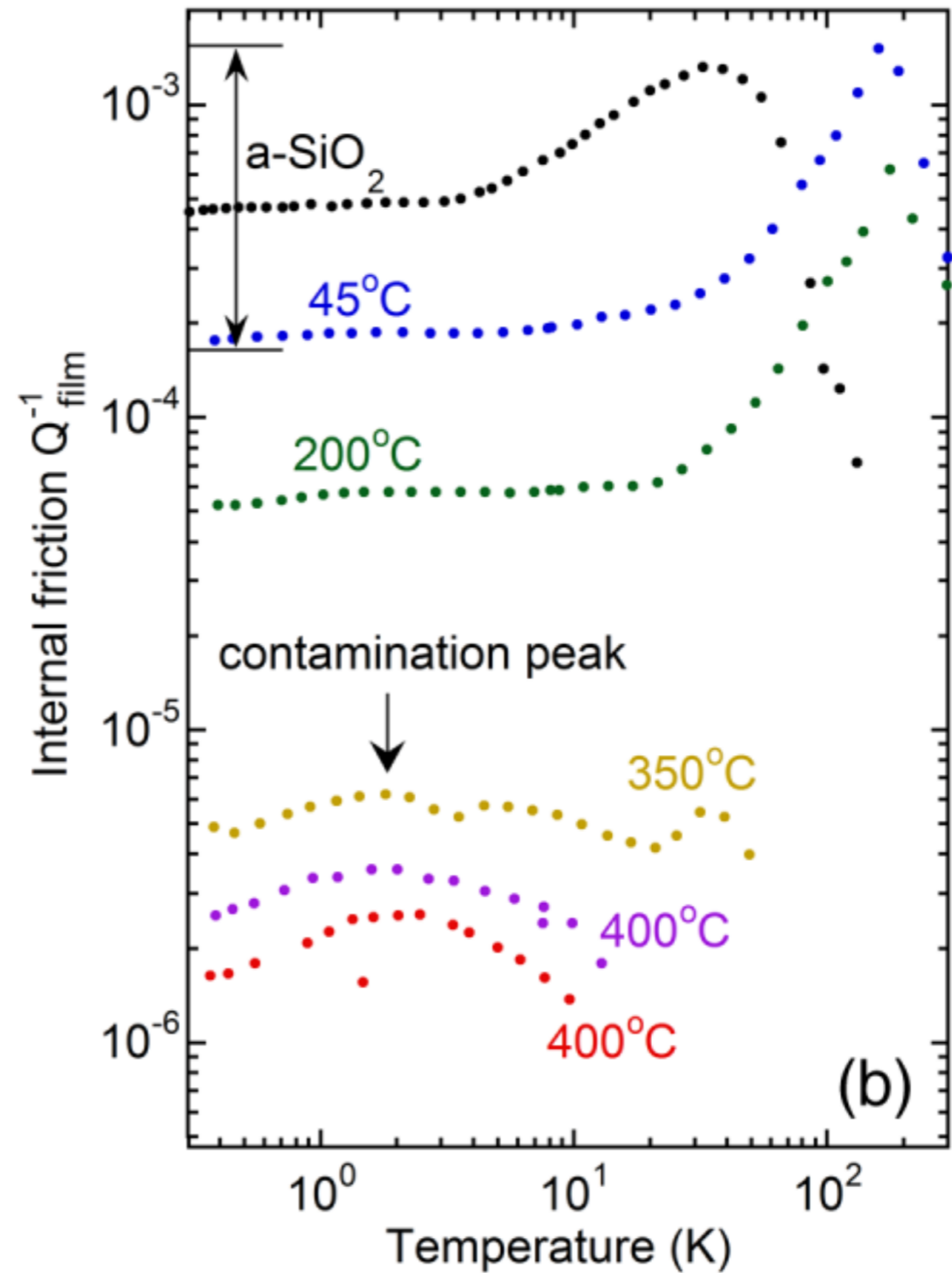
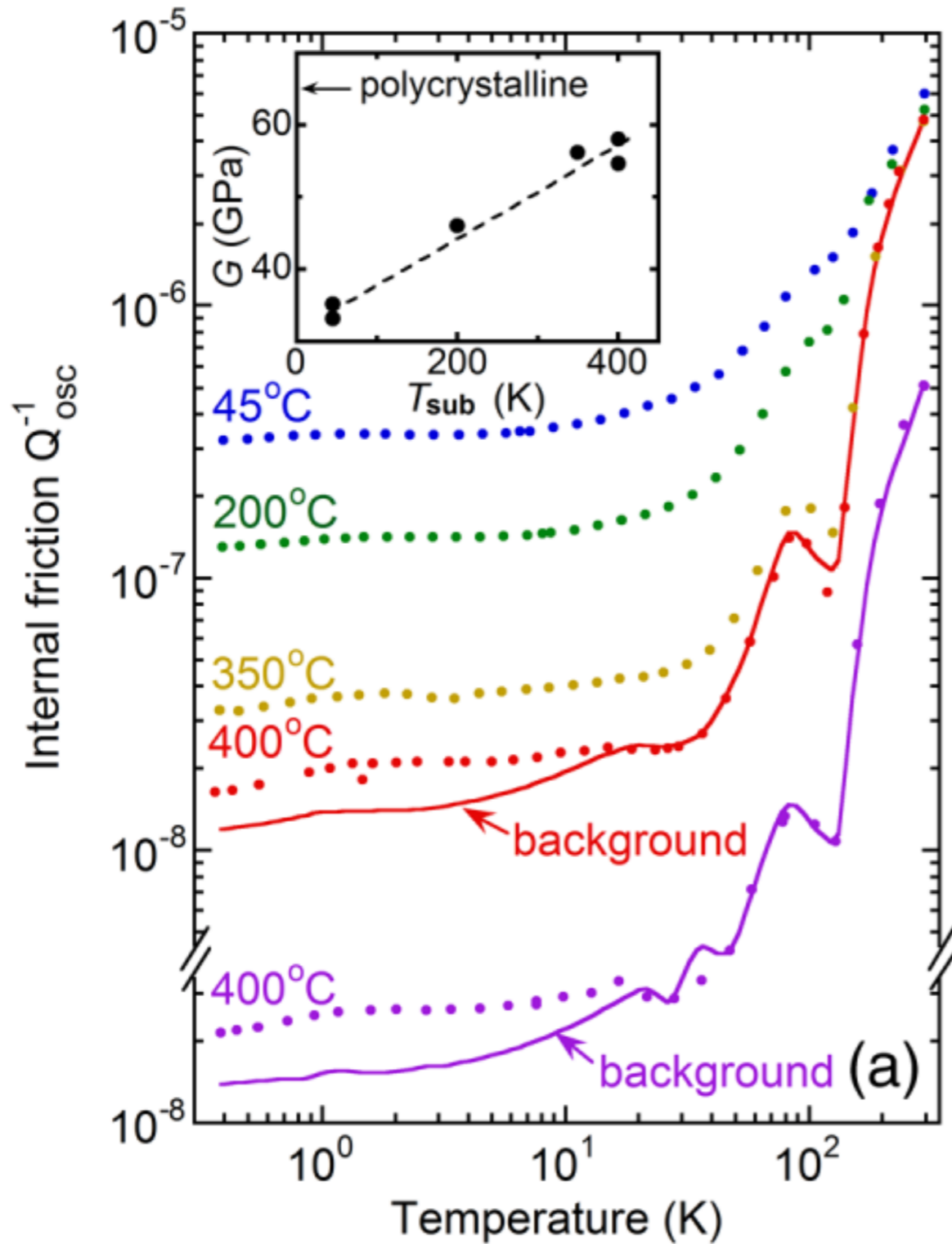
P. MURRAY (GLASGOW), et alia



# a-SILICON COATING LOSS

XIAO LIU, et alia (2014)

Heated Substrate: e-beam deposition

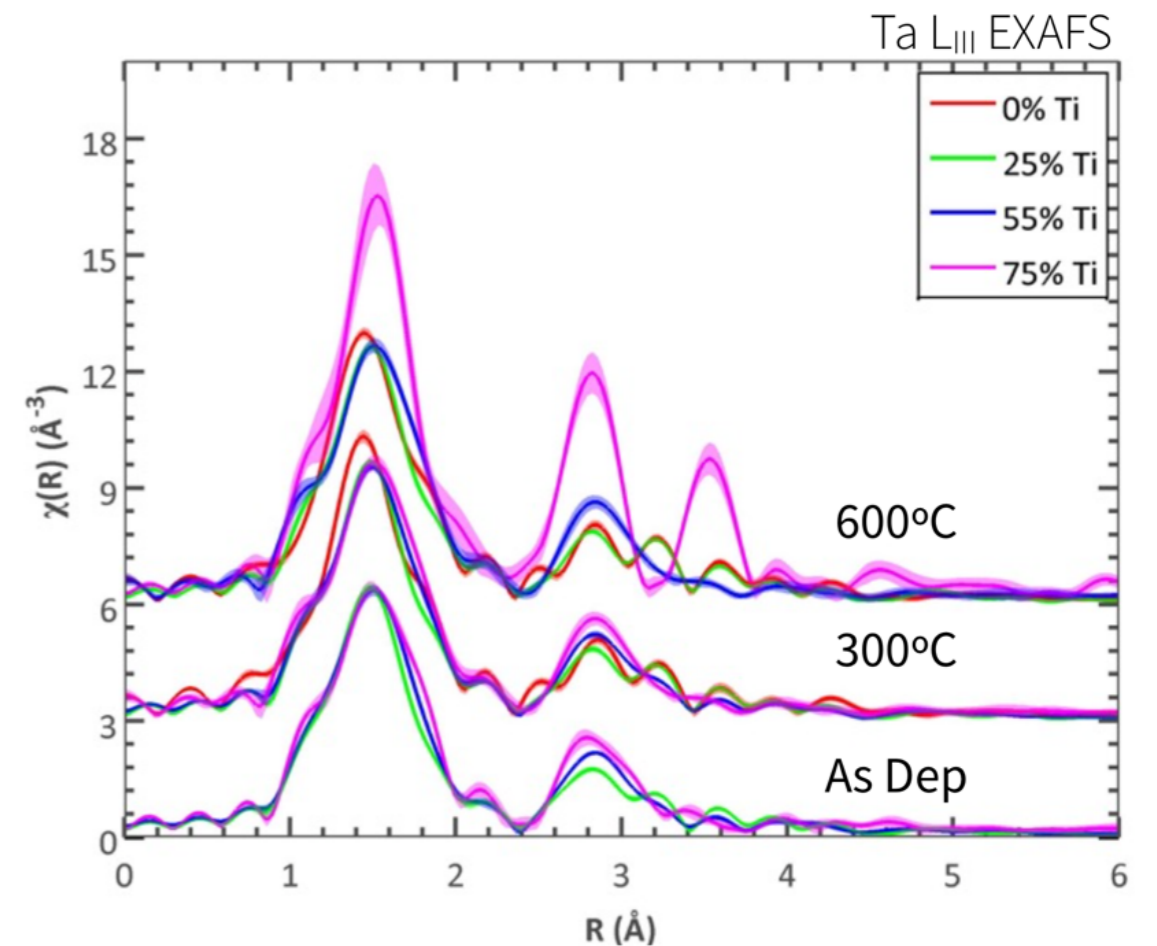
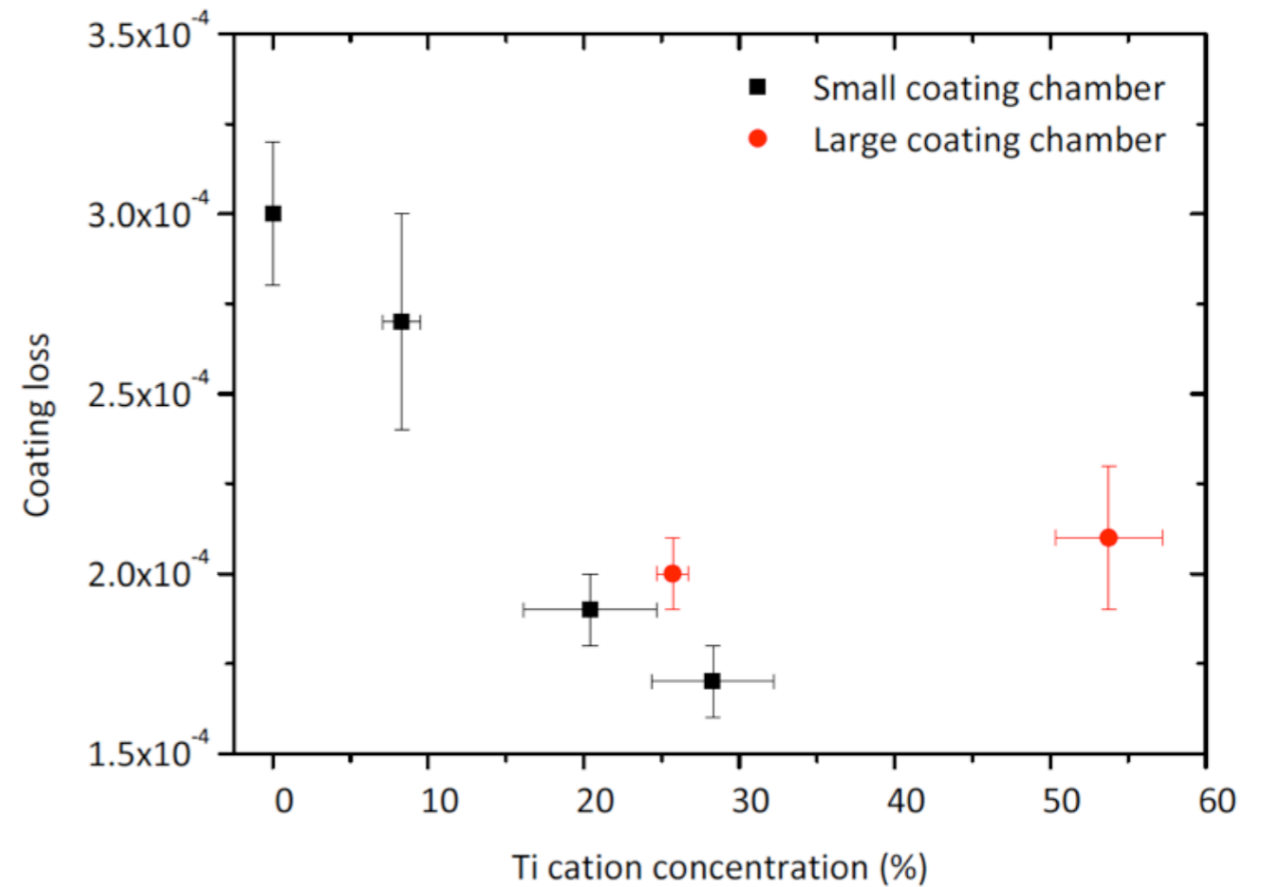


# a-Silicon Coatings

- From Liu [PRL 113, 025503 (2014)] *“A densely packed and near perfect tetrahedrally bonded amorphous system can be physically constructed without requiring H by growing a-Si at a higher T, which we suggest was the dominant reason for removing the TLSs in both a-Si and a-Si:H. ... Recent theoretical work suggests that amorphous solids consist of local regions of enhanced bond angle regularity embedded in a more disordered matrix with TLSs forming at the interfaces between those regions”*

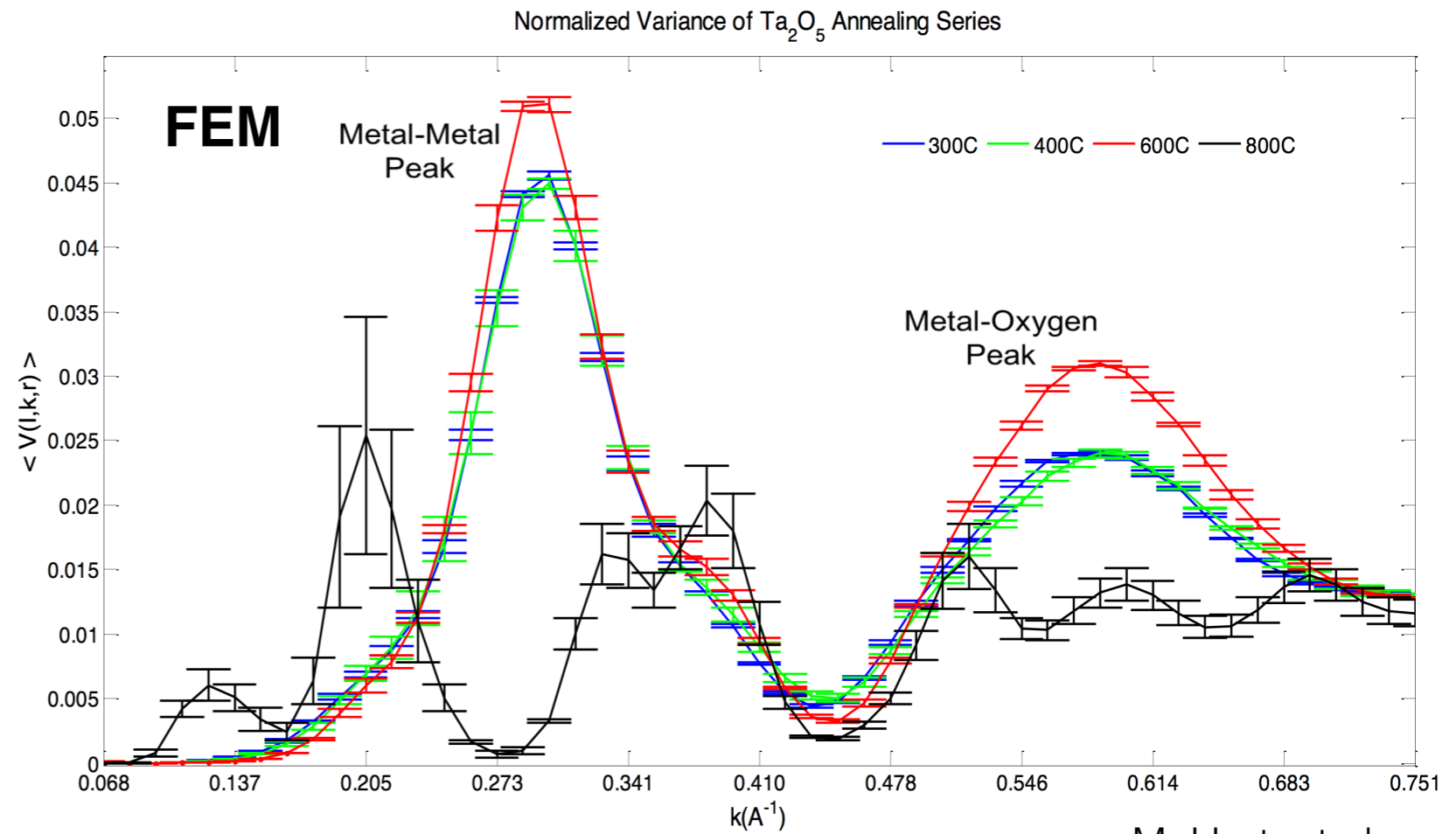
# Amorphous Coatings

- Ti-doped Ta
  - Mechanical loss reduced 40% as Ti doped to 25%.
  - Doping also used to stabilize a coating against thermal damage.
- Annealing
  - Increased thermal stress can damage the coating or induce crystallization.
  - Annealing increases order
  - Reduces density variations,
  - Can correct O<sub>2</sub> deficiency,
  - Increases bandgap.

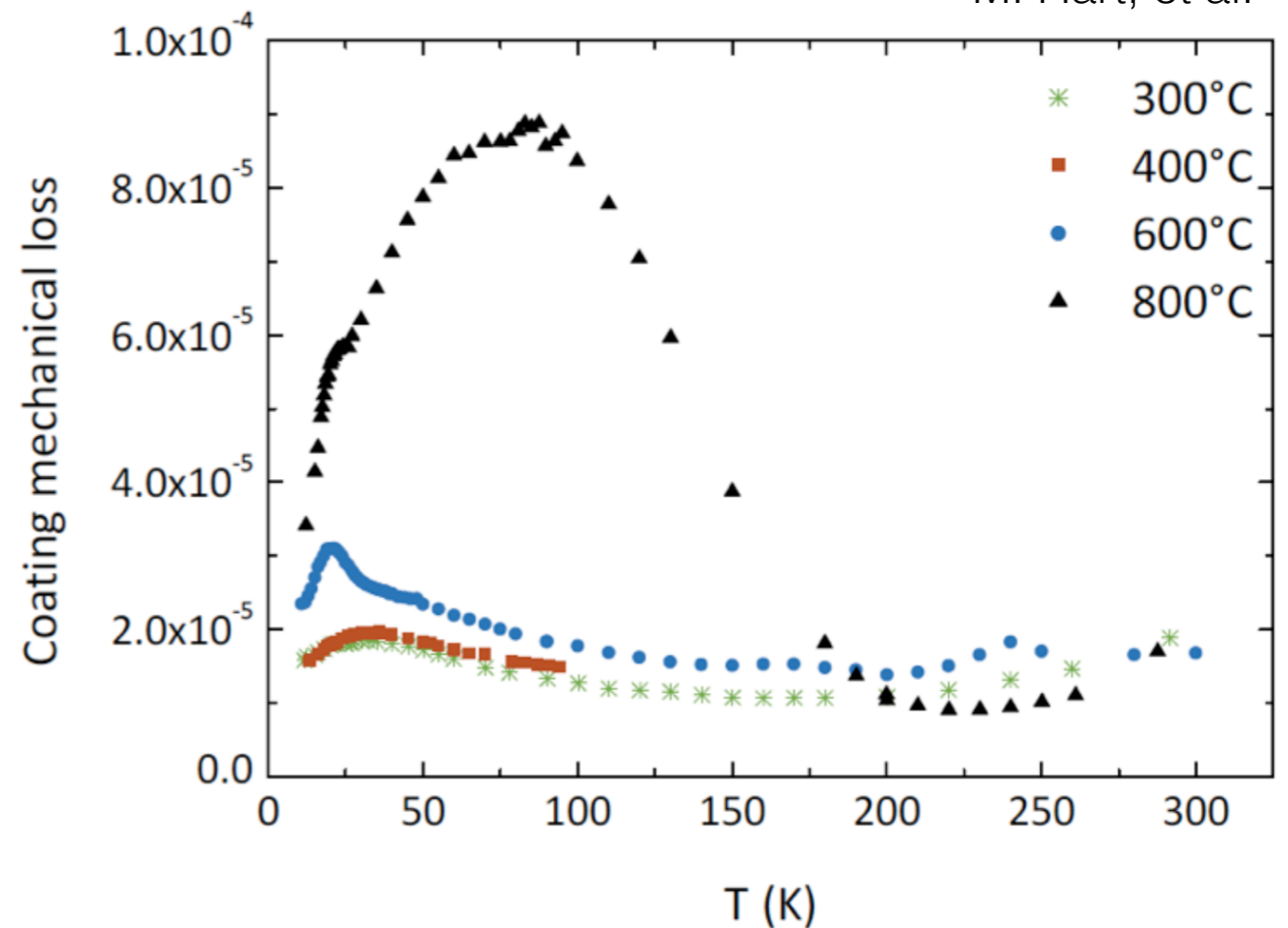


# Amorphous Coatings

- Annealing Ta
  - Bond distribution narrows
  - Cryogenic Loss Peak narrows.
  - Crystallization (800 C) destroys that order and raises the mechanical loss.



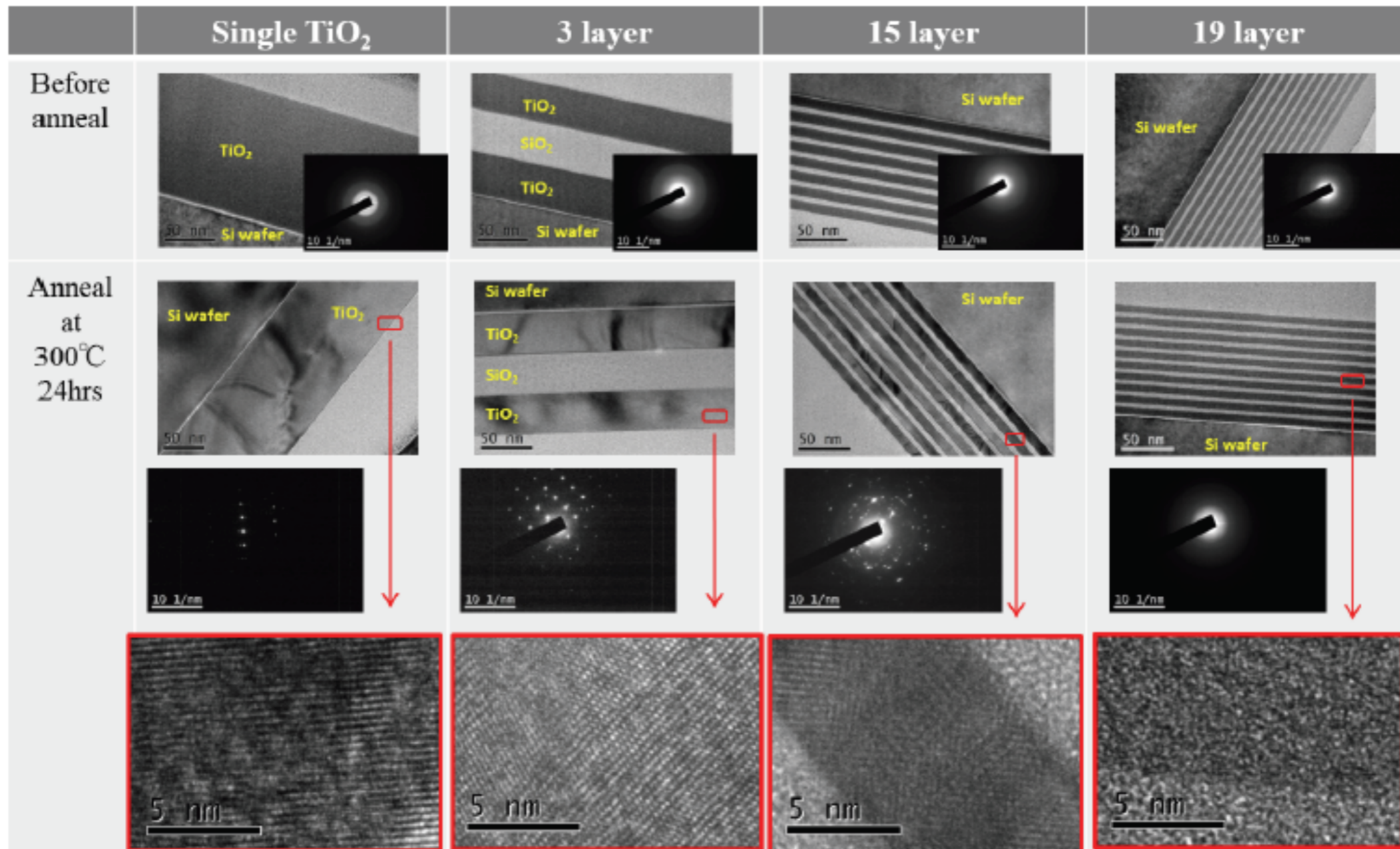
M. Hart, et al.



# Amorphous Coatings

- Developing a better coating.
  - Low loss dielectric, transparent at 1064 nm.
- Low loss, High-K Dielectric (semiconductor industry)
  - Studies narrowed to Stabilized Hafnia and Zirconia.
  - Stabilization: Silica-doped Hafnia, Silica-doped Zirconia, Zirconia-doped Zirconia
  - Stabilized Hafnia is currently used. (Not sure why.)
- High fluence, 1064 nm laser, minimizing damage.
  - Laser-induced damage studies focus on Hafnia & Zirconia
  - Annealed stabilized Hafnia has higher LIDT

# TEM Before/After Annealing



[S. Chao et al., LIGO-G1300921]

➔ **TEM shows that no significant cross-interface diffusion occurs during annealing**

New Sannio Coating facility to move beyond few nm layer limit to  $\leq 1$  nm.  
However the loss limit for Stabilized Titania may be  $\approx 10^{-4}$ .

# Prospects for Better Coatings

- **A+** ( $\approx 5$  years) upgrade to Advanced LIGO
  - AlGaAs not ready (probably). ... see Voyager
  - IBS high index coatings
    - Hafnia-Silica:
      - Loss, with annealing, higher than aLIGO
      - Requires better high temperature stability (?)
    - Zirconia-Silica, Zirconia-Tantala:
      - Stable to 800 C. Loss reduced strongly with annealing but substrate/coating loss must be isolated.
      - Absorption (10 ppm) too high. (Garilynn says CSIRO reduced absorption with annealing soak of days or weeks.)
    - Titania-silica nanolayers:
      - Development timeline for the new coating facility
      - Gains of stabilized Titanium probably not enough.



# Prospects for Better Coatings

- **Voyager** ( $\approx$  10-15 years) New Cryogenic Facility
  - AlGaAs coating size should be ready. Scattering acceptable??
  - AlGaP: ??
  - a-Silicon:
    - Optical quality should be acceptable
    - Can heated substrate coating or post-coating annealing be used to bring loss down to  $10^{-6}$  scale?
- **LIGO Next Generation & ET:** It seems clear that coatings will be part of the design challenges for future generations.
- Materials research is slow but critical. Do we need a better organized, better funded US effort for materials/thermal noise?

