

# Coating Research at Sannio - Status

*Giuseppe Castaldi, Vincenzo Galdi  
Vincenzo Pierro, Innocenzo M. Pinto,  
Maria Principe*



LSC-VIRGO Meeting, Hannover, 22-25 October 2007



# Outlook



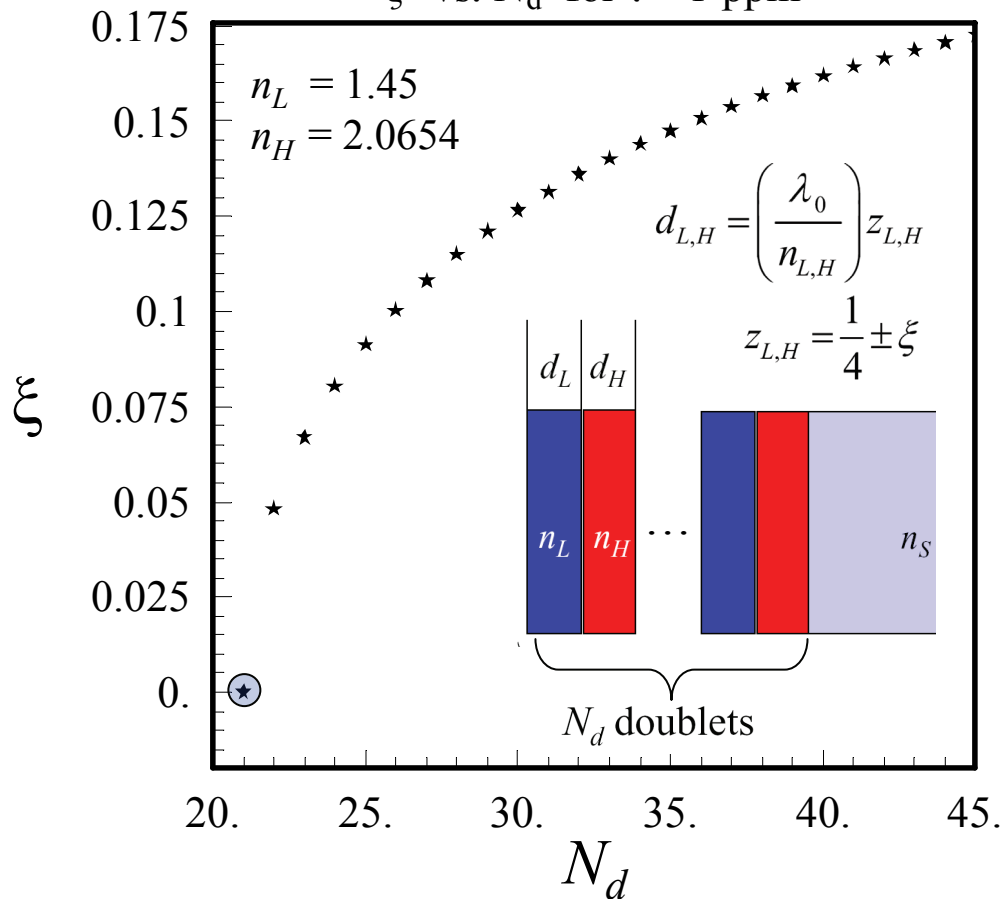
- 
- Status of Coating Optimization
    - Full Noise Budget – Doped Tantalum
    - Plain Tantalum Prototypes
    - Ongoing Work
  - Beam Profile Optimization
    - Absolute/Realistic Bounds - Margins

Work done in cooperation with J. Agresti, R. De Salvo, E. Black (Caltech) within the LSC-OWG and Coating Groups.

---

**LSC-VIRGO Meeting, Hannover, 22-25 October 2007**

$\xi$  vs.  $N_d$  for  $\tau \approx 1$  ppm



For

$$n_L = 1.45$$

$$n_H = 2.0654$$

the QWL ( $\xi=0$ ) design which goes closest to the 1ppm Adv LIGO design goal has

$$N_D = 21$$

$$\tau = 0.9727 \text{ ppm}$$

# LIGO Coating Brownian Noise



$$S_{\Delta x}^{(B)}(f) = \frac{\sqrt{2}k_B T}{\pi^{3/2} f} \frac{(1 - \nu_s^2)}{r_0 E_s} \phi_c, \quad \phi_c = N_d(b_L z_L + b_H z_H)$$

Boltzmann  $k_B$ , Poisson ratio  $\nu_s$ , Coating loss angle  $\phi_c$ , Beam spot radius  $r_0$ , Young modulus  $E_s$

$$b_{L,H} \approx \frac{\lambda_0}{\sqrt{2\pi} r_0} \frac{\phi_{L,H}}{n_{L,H}} \left( \frac{E_{L,H}}{E_s} + \frac{E_s}{E_{L,H}} \right) \quad \nu_{L,H} \ll 1$$

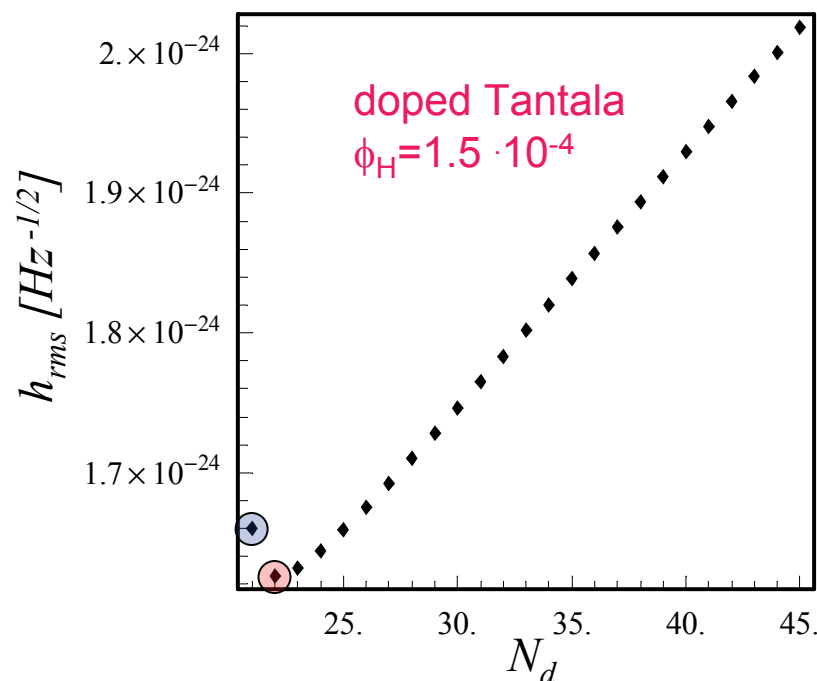
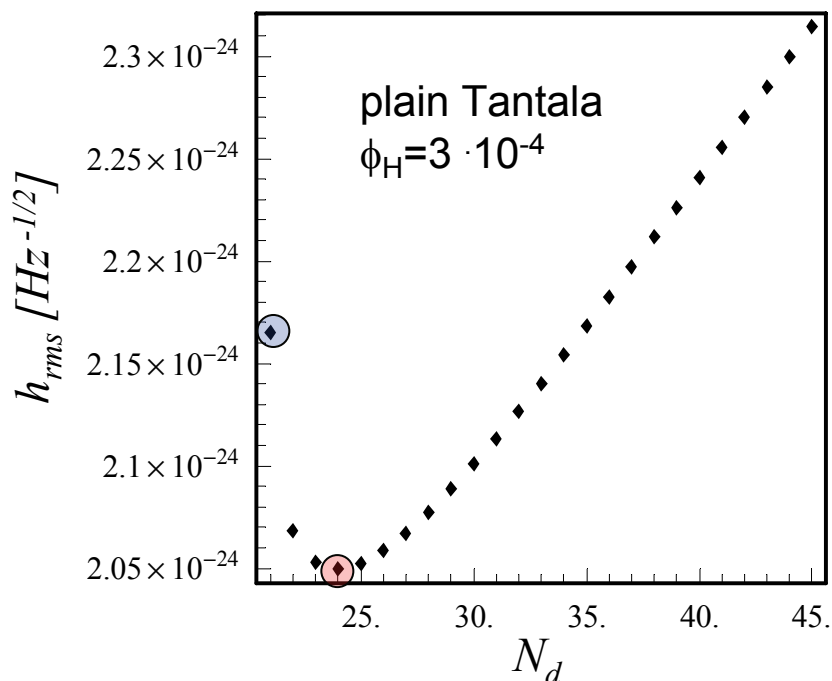
coating materials loss angles  $\phi_{L,H}$

$$b_H/b_L = 5.149 \quad [\text{Tantala (plain) - Silica coatings}]$$

LSC-VIRGO Meeting, Hannover, 22-25 October 2007

Brownian Noise Only.  $\tau = 0.9727\text{ppm}$ ,  $f = 100\text{Hz}$

● QWL coating ● Optimized coating



Effective fluctuations of the test-mass (coated mirror) front - face position with respect to the mirror center of mass may occur as an effect of

- Thermal expansion of the coating layers (thermoelastic effect),

$$\Delta x^{(TE)} = \alpha_{eff} d_{tot} \Delta T$$

effective coating expansion coeff.      coating thickness

- Thermal variations of the refraction indexes  $n_{H,L}$  of the coating materials (thermorefractive effect),

$$\Delta x^{(TR)} = \beta_{eff} \lambda_0 \Delta T$$

thermorefractive coefficient      optical wavelength (vacuum)

Power spectral density (PSD) :

Wiener-Khinchin th.

$$S_{\Delta x}(f) = \mathcal{F}_{\tau \rightarrow f} \langle \Delta x(t) \Delta x(t + \tau) \rangle_t = \left( \frac{\Delta x}{\Delta T} \right)^2 \mathcal{F}_{\tau \rightarrow f} \langle \Delta T(t) \Delta T(t + \tau) \rangle_t =$$

$$= \left( \frac{\Delta x}{\Delta T} \right)^2 S_{\Delta T}(f)$$

PSD of T - fluctuations in the coating

$$S_{\Delta T}(f) = S_{\Delta T}^{(\Theta)}(f) + S_{\Delta T}^{(\Phi)}(f)$$

Intrinsic fluctuations of thermodynamic origin

add in-coherently

Photo-thermal fluctuations arising from laser shot noise through optical absorption

$$S_{\Delta T}^{(\Theta)}(f) = \frac{k_B T^2}{\pi^{3/2} r_0^2 \sqrt{f \kappa_s C_s \rho_s}}$$

[V. Braginsky, Phys. Lett A264 (1999) 1]

single photon energy  
power abs. in coating

mass density  
specific heat capacity  
thermal conductivity } of substrate

$$S_{\Delta T}^{(\Phi)}(f) = \frac{P_{abs} E_\lambda}{4\pi^3 r_0^4 \kappa_s \rho_s C_s f}$$

[S. Rao, PhD Thesis, Caltech, 2003, etd-05092003-153759]

$$E_\lambda \cong 1.867 \cdot 10^{-19} J @ \lambda = 1064 nm$$

$$P_{abs} = 0.4 W \text{ for Adv LIGO}$$

(a different formula for  $S_{\Delta T}^{(\Phi)}$   
applies for sapphire substrates)

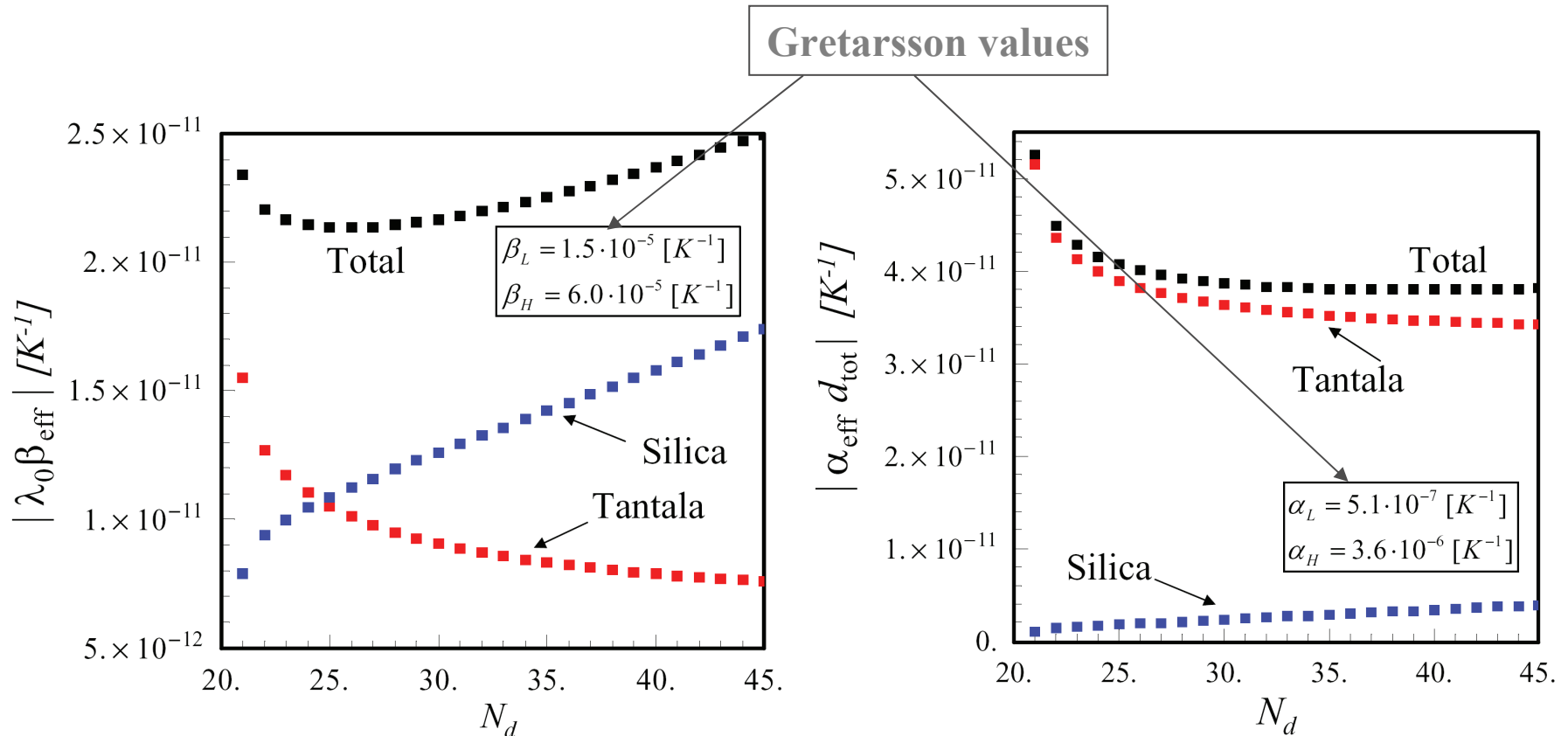


## Total Coating Noise PSD

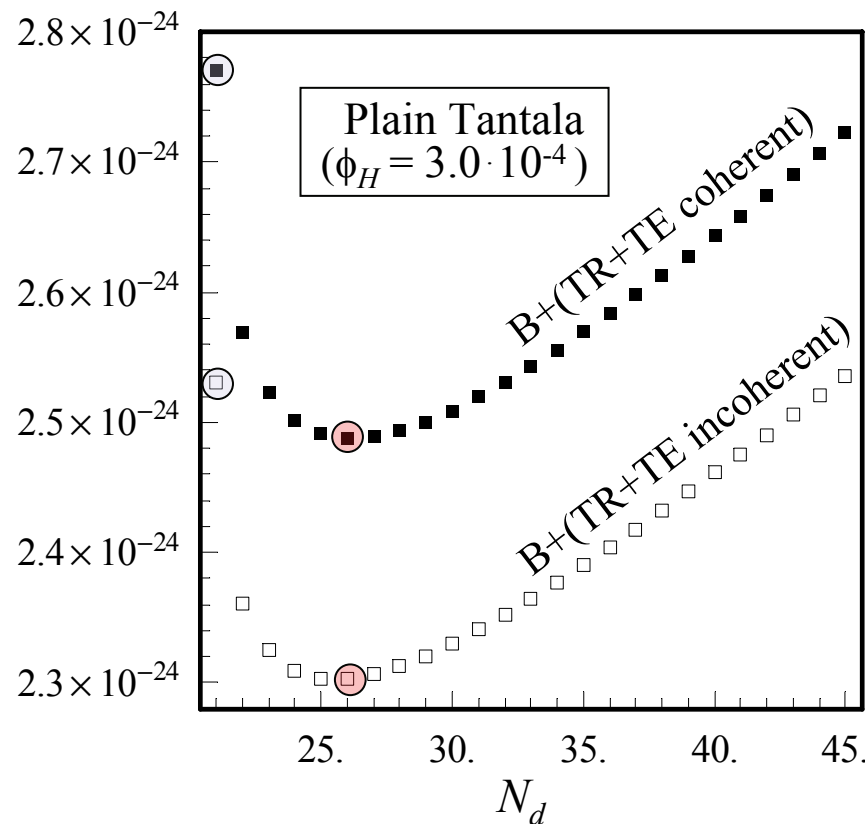
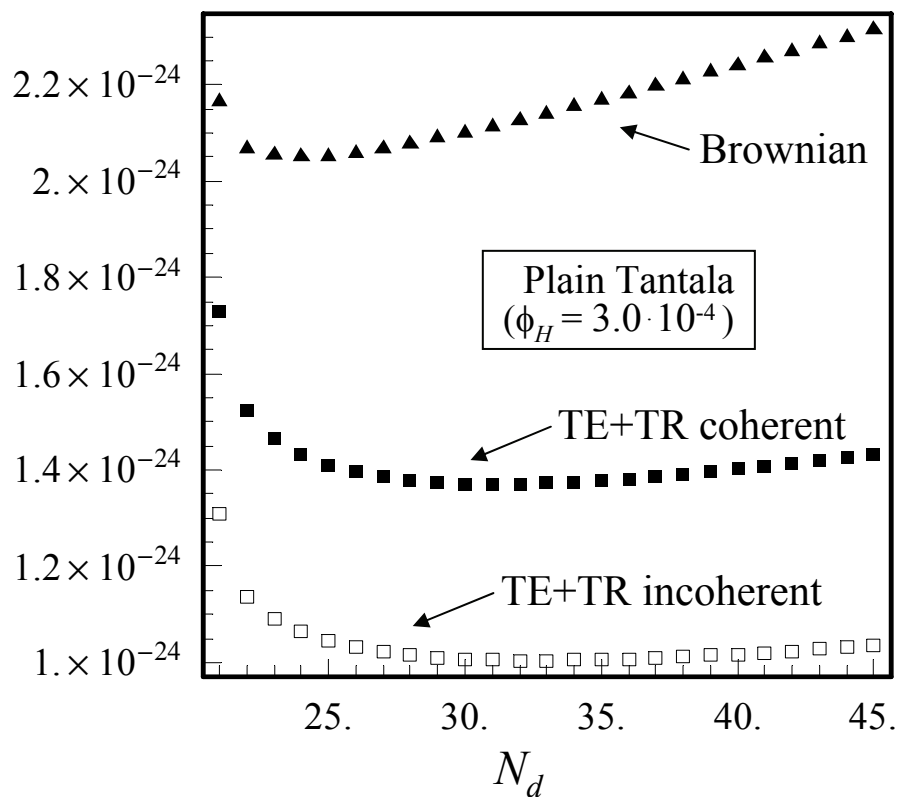
$$S_{\Delta x}^{(tot)}(f) = S_{\Delta x}^{(B)}(f) + \left( \frac{\Delta x^{(TE)}}{\Delta T} + \frac{\Delta x^{(TR)}}{\Delta T} \right)^2 S_{\Delta T}(f)$$

The thermal - driven elastic and refractive fluctuations *should add coherently*. Indeed, the temperature in the coating does *not* fluctuate

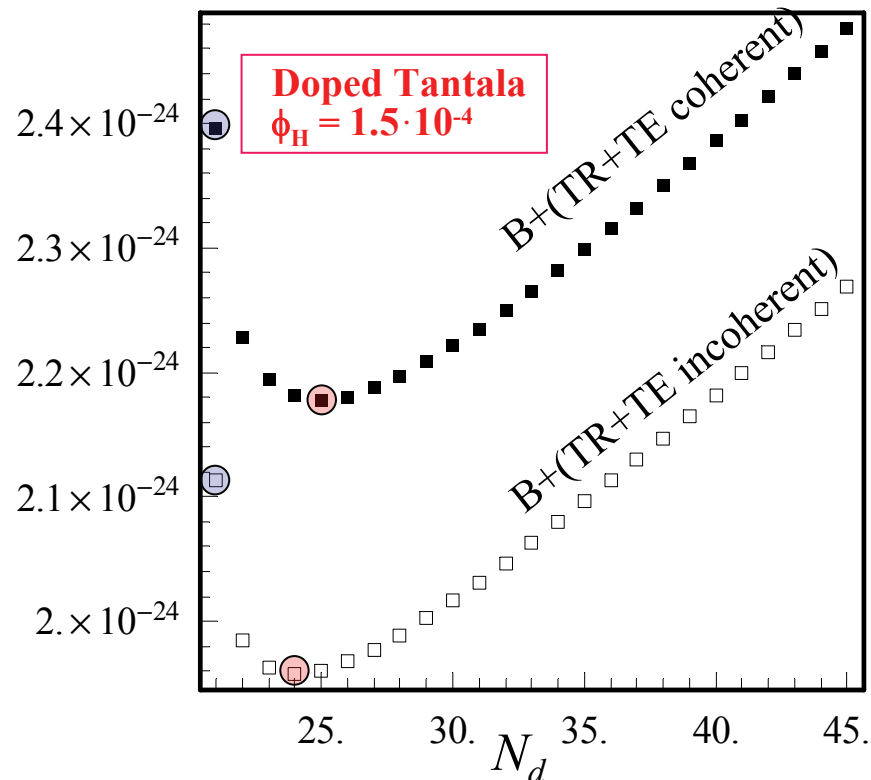
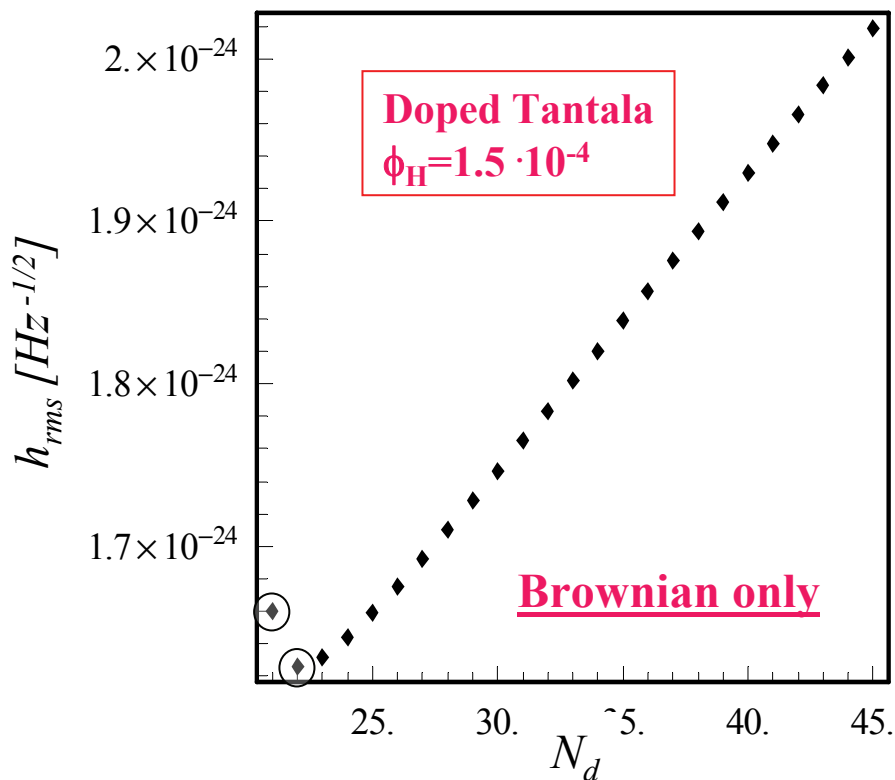
- on the space-scale (thickness) of the coating,
- on the time scales whereby the field in the coating builds up.



$$\tau = 0.9727 \text{ ppm}, f = 100 \text{ Hz}$$

$$h_{rms} [\text{Hz}^{-1/2}]$$


$$\tau = 0.9727\text{ppm}, f = 100\text{Hz}$$





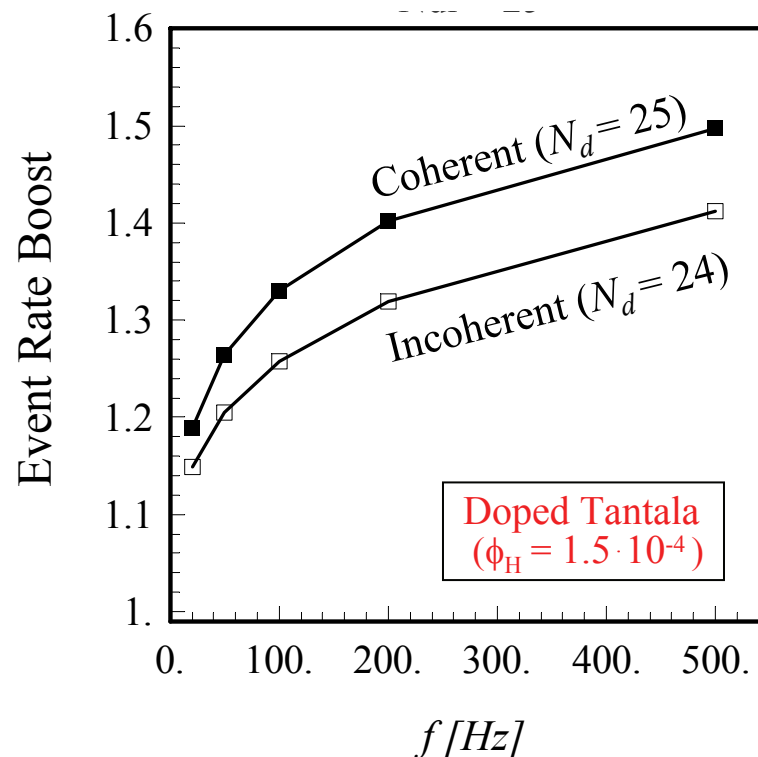
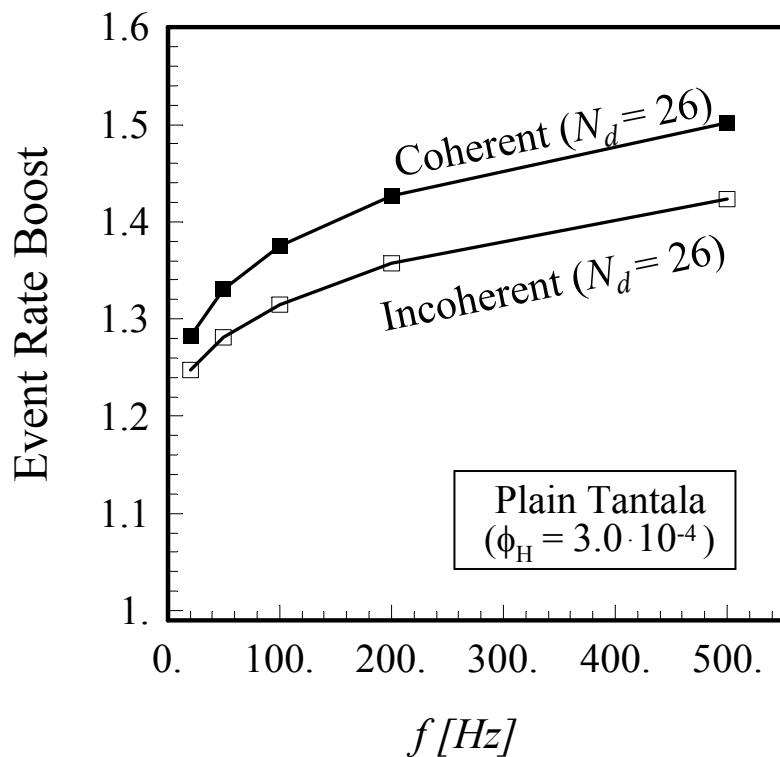
# Event Rate Boost (Isotropic/Homogeneous Source Distribution)



(Total Noise Budget))

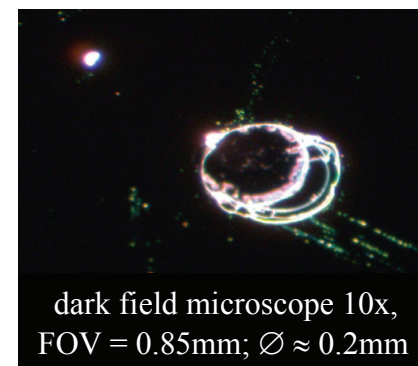
$\tau = 0.9727 \text{ ppm}$	ER boost @100Hz
Plain Tantala, QWL	1
Plain Tantala, OPT	1.38
Doped Tantala, QWL	1.54
Doped Tantala, OPT	2.05

LSC-VIRGO Meeting, Hannover, 22-25 October 2007



- Coating thickness optimization *almost mandatory* to minimize coating noise when using doped Tantalum, yielding a *substantial increase* ( $> 30\%$  @ 100Hz) in the expected event rate, as compared to QWL design.
- Optimal design *almost the same for both* the incoherent and the coherent thermo-optic noise formula.
- Among all proposed coating noise reduction techniques (new materials, cryogenic mirrors, flat-top beams) thickness optimization is the *cheapest option*
- Coating thickness optimization is *effective* in reducing the total coating noise even when using the controversial Inci's values for  $\alpha_H, \beta_H$ .

- Plain Tantalum optimized coatings for testing at TNI designed Nov. 2006;
- Delivery of prototypes delayed by (Ar) bubbling problem at LMA, causing high-scattering and non adhesion. Same problem also affected (though to a lesser extent) the  $\lambda/4$  LASTI mirror prototypes made at LMA;
- Bubbling problem eventually fixed using different (lower T) annealing schedule; prototypes tested at LMA within specs; delivered to Caltech July 2007;
- TNI re-installed in a new location; TNI re-alignment completed; a few problems fixed; testing will begin soon (see Eric Black's talk);
- $\text{SiO}_2$  and  $\text{Ta}_2\text{O}_5$  and loss angles used in design obtained from (cantilever) samples made using a *different* annealing schedule. This may affect the final result.





- 
- **MATHEMATICA** code for (stacked-doublet, tweaked end-layers) coating optimization including full noise budget completed (May 2007);
  - **Doped-Tantala** prototyping for testing at TNI scheduled. Reliable measurements of thermoelastic and thermorefractive coeffs of proposed doped-Tantala formulas needed for design (plain Tantala results used so far);
  - **Porting of code to BENCH** (Matlab) scheduled (TBD asap);
  - **Three technical papers completed** (coating optimization; extension of Braginsky's formula for the thermorefractive coefficient to non-QWL coatings; analytic model for loss angle measurements on multi-layer clamped diving-board samples) **will be posted on the LSC web soon**;
  - **More general design options** (dual wavelength operation, using more than two refracting materials) under investigation.

---

**LSC-VIRGO Meeting, Hannover, 22-25 October 2007**



# Beam Optimization Margins



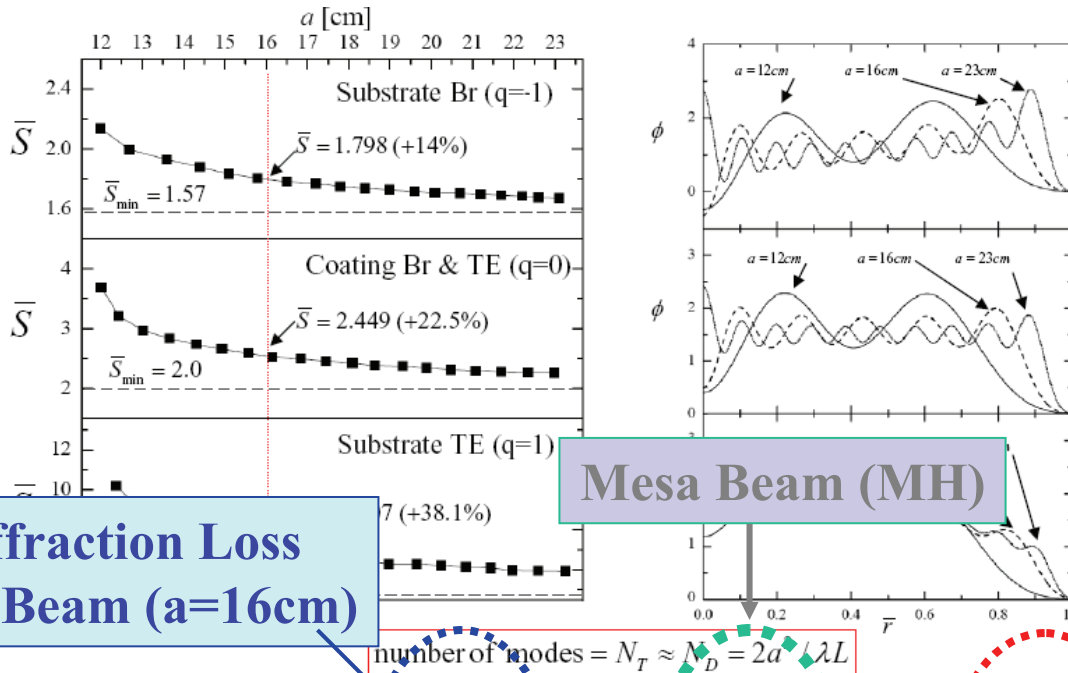
- Main results:

- Absolute (variational closed-form) lower bounds for coating & substrate noises under the (unphysical) 0-diffraction loss approximation;
  - Shannon dimension of the space of all diffraction-loss admissible fields no greater than  $a^2/L\lambda$  (arm cavity Fresnel number),
  - Within the current Adv-LIGO baseline design ( $a=16cm$ ), one could do better than mexican-hat by a factor  $\sim 2.6$  (in terms of coating noise) while satisfying the 1ppm diffraction loss constraint.
- Consistent with independent results by Bondarescu & Chen on optimized mirror-shapes (Caltech, PhD thesis, 2007)
  - Full paper (LIGO P-070066-01-Z) to appear on PRD (review process completed).

---

**LSC-VIRGO Meeting, Hannover, 22-25 October 2007**

LIGO-GXXXXXX-00-Z



1ppm diffraction Loss compliant Beam (a=16cm)

Mesa Beam (MH)

Gaussian Beam

	$\bar{S}_{SLP} / \bar{S}_{min}$	$\bar{S}_{MB} / \bar{S}_{min}$	$\bar{S}_{GB} / \bar{S}_{min}$
Substrate (Br)	1.145	2.044	2.97
Coating (Br+TE)	1.225	3.227	6.92
Substrate (TE)	1.381	4.455	13.66

$a = 16\text{cm}$  ( $N_D = 14$ );  $\mathcal{L}_T = 1\text{ppm}$ ;  $w_{MB} \propto (N_D)^{-1/2}$  (minimum spreading)

LIGO-P-00066-01-Z