
Transient Thermal Lensing and Thermoelastic Abberations of Mirrors

The formulation is limited to right circular cylindrical mirrors with homogeneous surface or in-depth absorption:

Patrice Hello and Jean-Yves Vinet, "Analytical models of thermal aberrations in massive mirrors heated by high power laser beams", J. Phys. France, 51, (1990), 1267-1282

"Analytical models of transient thermoelastic deformations of mirrors heated by high power cw laser beams", J. Phys. France, 51, (1990), 2243-2261.

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Version History

Version 1.0 Initial

Version 2.0 restructured to have all inputs at beginning of the notebook and made transient calculations inactive for now.

Version 2.1 (a) changed the Zernike expansion to have the optical zone radius, b , be an input variable so that the Zernikes can be calculated for a nominal optical zone radius b and for the optic radius, (b) calculated the errors associated with the Zernike expansion

Version 2.2 Corrected "t" references to "tau" in the transcendental solutions for u_r and v_r . Implemented Zernike fit comparison to calculated surface/wavefront more uniformly in the notebooks (was only used in some and in only parts of the notebook.) Discovered and corrected error in the transcendental solutions u_r and v_r as used in the "analytic_ITM" notebook. Moved the calculation of s_p and c_p to after calculation of u_r and v_r . Corrected the calculation of the OPD at the center from the Zernike decomposition (changed from a sum of absolute amplitudes to an alternating signed summation).

Version 2.3 Applied to LIGO Optical Contamination Facility (OCF). See T970212

Preliminaries & Setup

Initialization

In[1]:=

```
Off[General::"spell"]  
Off[General::"spell1"]
```

Input Parameters

Optical Contamination Cavity Optic

a = optic radius, m
 h = optic thickness, m
 k = optic thermal conductivity, W/m/K
 w = Gaussian beam waist, m
 P = incident power, W
 T_x = ambient temperature, K

ϵ = coating absorptivity
 α = in-depth absorption, 1/m

Note: If the coating absorptivity == 1 or the in-depth absorption == $1/h$, then the incident power == absorbed power.

ρ = mass density, kg/m³
 c = specific heat, J/kg/K
 $dndT$ = change in refraction index with temperature, 1/K
 b = radius(?) for Zernike decomposition, m
 λ = first Lamé coefficient, J/m³
 μ = second Lamé coefficient, J/m³

In[3]:=

```

a = 0.0254 / 2 ;
h = 0.0064 ;
k = 1.38 ;
w = 0.0004 ;
P = 1 ;
Tx = 293 ;
  
```

required for surface heating:

In[9]:=

```

eps = 1 ;
  
```

required for in-depth heating:

In[10]:=

```

alpha =  $\frac{1}{h}$  ;
  
```

required for transient analysis:

```
In[11]:= ro = 2202 ;
c = 745 ;
```

required for thermal lensing:

```
In[13]:= dndT =  $\frac{11.8}{10^6}$  ;
```

required for Zernike decomposition:

```
In[14]:= b = 0.05 ;
```

required for thermoelastic analysis:

```
In[15]:= lambda =  $15.6 \times 10^9$  ;
mu =  $31.3 \times 10^9$  ;
```

```
In[17]:= ni =  $5.91 \times 10^4$  ;
```

Constants

```
In[18]:= s = UnitConvert[Quantity["StefanBoltzmannConstant"],
"Watts"/"Kelvins"^4/"Meters"^2][[1]]
```

```
Out[18]=  $5.6704 \times 10^{-8}$ 
```

Derived Parameters

tau = reduced radiation constant

tc= thermal time constant (for the entire optic), sec

```
In[19]:= tau =  $\frac{4 s T x^3 a}{k}$  ;
```

In[20]:=
$$tc = \frac{ro\ ca^2}{k}$$

Out[20]= 191.735

In[21]:=
$$\frac{tc}{3600}$$

Out[21]= 0.0532598

Axisymmetric Zernikes

In[22]:=
$$z2q[q_, r_] := \sum_{i=0}^q \frac{(-1)^i (2q-i)! r^{2q-2i}}{i! (q-i)! (q-i)!};$$

Surface Absorption

Steady-State Thermal Field Solution

1st Transcendental Equation Solution

Equation Plot

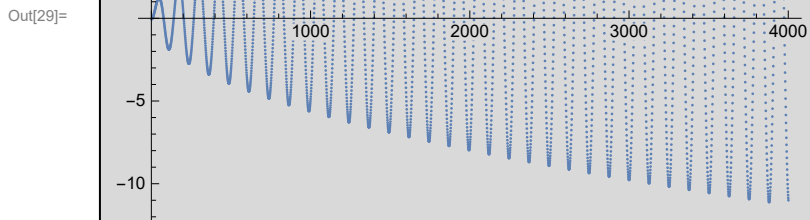
Finds roots of the equation $u J_1(u) = c J_0(u)$. The user defines the constant, c , the root search interval, du , and the upper limit of u , $upmax$.

```
In[23]:= du = 0.05;
upmax = 200;
c = tau;
```

```
In[26]:= n =  $\frac{upmax}{du} + 1$ ;
up = Table[i du, {i, n}];
```

```
Out[26]= 4001.
```

```
In[28]:= e = N[up BesselJ[1, up] - c BesselJ[0, up]];
ListPlot[e]
```



Solution Method:

If the parameters are unchanged, then one can skip the calculation of the transcendental equation solution and go directly to the list of roots below.

```
In[30]:= es = Rest[e RotateRight[e]];
esw = Select[es, #1 < 0 &];
npos = Dimensions[esw][[1]];
pos = Table[0, {npos}];
Do[pos[[i]] = Position[es, esw[[i]], {i, 1, npos}];
pos = Flatten[pos];
nu = Dimensions[pos][[1]];
```

```
In[37]:= ur = Table[0, {nu}];
Do[umin = up[[pos[[i]]];
  umax = up[[pos[[i]] + 1];
  ustart =  $\frac{umax + umin}{2}$ ;
  ur[[i]] = u /. FindRoot[u BesselJ[1, u] == c BesselJ[0, u],
    {u, ustart, umin, umax}, AccuracyGoal -> 7, PrecisionGoal -> 7], {i, 1, nu}];
```

```
In[39]:= ur
```

```
Out[39]:= {0.321937, 3.84538, 7.02307, 10.1786, 13.3276, 16.4738, 19.6185, 22.7624,
25.9057, 29.0486, 32.1913, 35.3338, 38.4761, 41.6184, 44.7605, 47.9026,
51.0446, 54.1865, 57.3284, 60.4703, 63.6122, 66.754, 69.8958, 73.0376,
76.1794, 79.3211, 82.4629, 85.6046, 88.7464, 91.8881, 95.0298, 98.1715,
101.313, 104.455, 107.597, 110.738, 113.88, 117.022, 120.163, 123.305,
126.447, 129.588, 132.73, 135.872, 139.013, 142.155, 145.296, 148.438,
151.58, 154.721, 157.863, 161.005, 164.146, 167.288, 170.43, 173.571,
176.713, 179.854, 182.996, 186.138, 189.279, 192.421, 195.562, 198.704}
```

```
In[40]:= N[(ur - RotateRight[ur]) / Pi]
```

```
Out[40]:= {-63.147, 1.12155, 1.01149, 1.00445, 1.00236, 1.00146, 1.00099, 1.00072,
1.00055, 1.00043, 1.00034, 1.00028, 1.00024, 1.0002, 1.00017, 1.00015,
1.00013, 1.00012, 1.0001, 1.00009, 1.00008, 1.00008, 1.00007, 1.00006,
1.00006, 1.00005, 1.00005, 1.00005, 1.00004, 1.00004, 1.00004, 1.00003,
1.00003, 1.00003, 1.00003, 1.00003, 1.00002, 1.00002, 1.00002,
1.00002, 1.00002, 1.00002, 1.00002, 1.00002, 1.00002, 1.00001,
1.00001, 1.00001, 1.00001, 1.00001, 1.00001, 1.00001, 1.00001,
1.00001, 1.00001, 1.00001, 1.00001, 1.00001, 1.00001, 1.00001}
```

```
In[41]:= mmax = Dimensions[ur][[1]];
zetam = ur;
```

Roots:

If the parameters are unchanged, then skip calculation above and evaluate this list of roots.

```
mmax = ;
zetam = { };
```

Coefficients of the Dini Series Representation of the Incident Radiation

```
In[43]:= zetam2 = zetam2;
gamma =  $\frac{\text{zetam } h}{2 a}$ ;
```

```
In[45]:= pm =  $\left( P \text{ zetam2 } \text{Exp}\left[-\frac{w^2 \text{ zetam2}}{8 a^2}\right] \right) / \left( \pi a^2 \left( (\text{zetam2} + \text{tau}^2) \text{ BesselJ}[0, \text{zetam}]^2 \right) \right);$ 
```

Steady-State Temperature Distribution for Radiant Surface Heating

```
In[46]:= T[r_, z_] :=  $\sum_{m=1}^{mmax} \left( (\text{pm}[m] a) \text{Exp}\left[-\frac{h \text{ zetam}[m]}{2 a}\right] \left( (\text{zetam}[m] - \text{tau}) \text{Exp}\left[-\frac{\text{zetam}[m] (h - z)}{a}\right] + \right. \right.$ 
 $\left. (\text{zetam}[m] + \text{tau}) \text{Exp}\left[-\frac{\text{zetam}[m] z}{a}\right] \right) \text{BesselJ}\left[0, \frac{\text{zetam}[m] r}{a}\right] /$ 
 $\left( k \left( (\text{zetam}[m] + \text{tau})^2 - (\text{zetam}[m] - \text{tau})^2 \text{Exp}\left[-\frac{2 \text{ zetam}[m] h}{a}\right] \right) \right);$ 
```

```
In[47]:= temp = Table[T[i, j], {i, 0, a,  $\frac{a}{50}$ }, {j, - $\frac{h}{2}$ ,  $\frac{h}{2}$ ,  $\frac{h}{25}$ }];
```

```
In[48]:= Max[temp]
```

```
Out[48]= 821.837
```

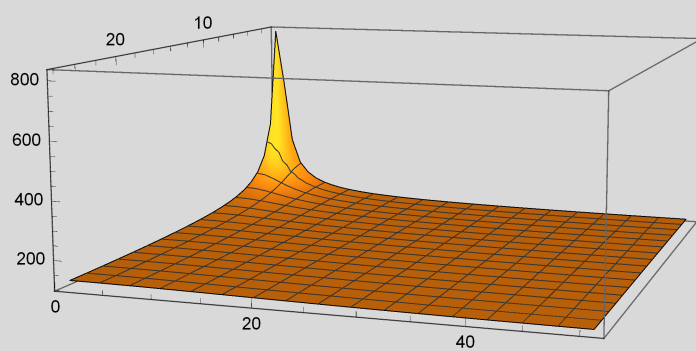
```
In[49]:= Min[temp]
```

```
Out[49]= 110.39
```

In[50]=

```
ListPlot3D[temp, PlotRange -> All,
  MeshRange -> {{-h/2, h/2}, {0, a}}, ViewPoint -> {3, 1, .5}]
```

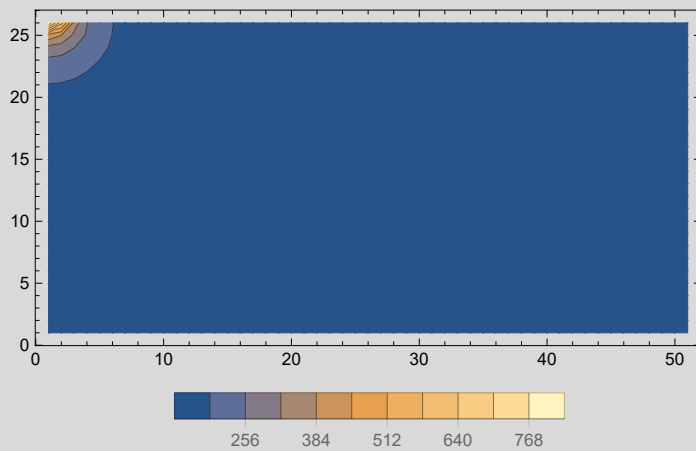
Out[50]=



In[51]=

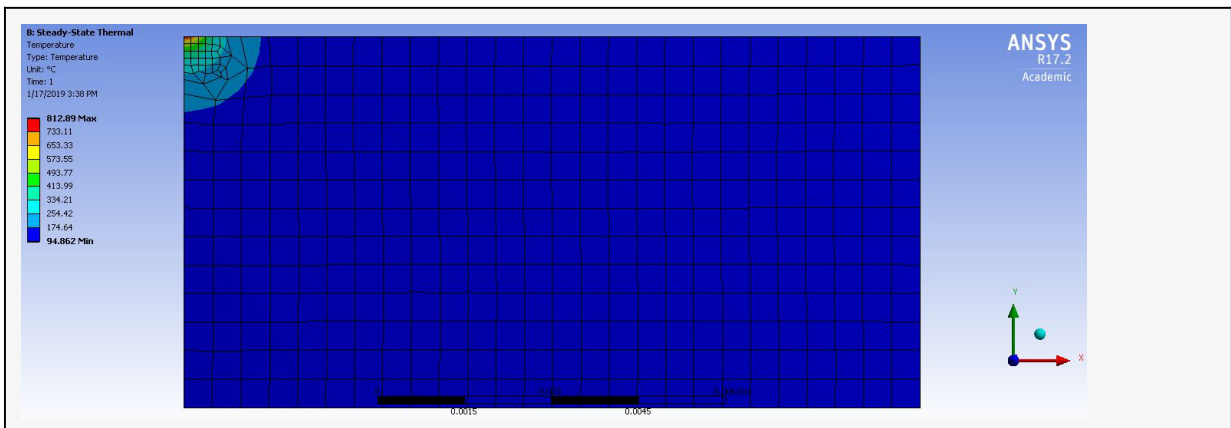
```
ListContourPlot[Reverse[Transpose[temp]], PlotRange -> All,
  Contours -> 10, AspectRatio -> h/a, PlotLegends -> Automatic]
```

Out[51]=



Compare to ANSYS nonlinear, steady - state thermal reponse for the OCF mirror with 1 Watt absorbed power :

max temperature = 813 C



Transient Thermal Field Solution

2nd Transcendental Equation Solution

Solution Method:

Finds roots of the equation $u = t \operatorname{Cot}[u h/(2 a)]$. The user defines the constant, t , the root search interval, du , and the upper limit of u , $upmax$.

```
In[52]:=
dui = 0.0001;
duj =  $\frac{2 a \pi}{h}$ ;
upmax = 120;
offset = 0;
```

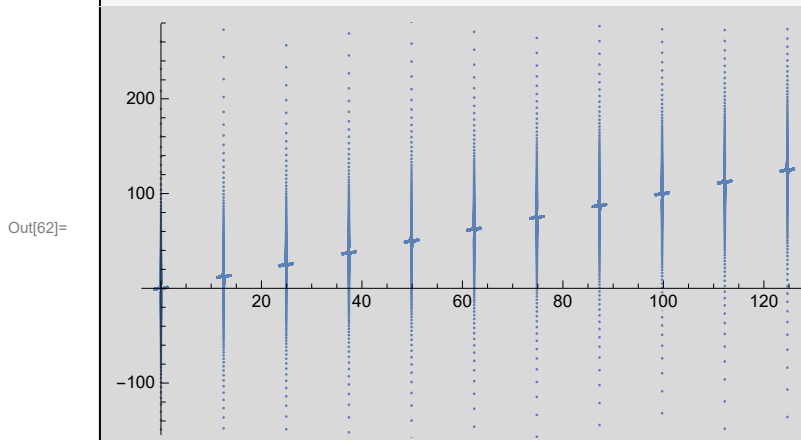
```
In[56]:=
nj = Floor[ $\frac{upmax}{duj} + 1$ ];
ni = Floor[ $\frac{duj}{10 dui} + 1$ ];
up = Flatten[Table[i dui + j duj + offset, {j, 0, nj}, {i, -ni, ni}]];
```

```
In[59]:=
Dimensions[up]
```

```
Out[59]=
{274 329}
```

```
In[60]:=
e = N[up - tau Cot[ $\frac{up h}{2 a}$ ]];
n = Dimensions[e][[1]];
```

```
In[62]:=
ListPlot[Transpose[Partition[Join[up, e], n]]]
```



```
In[63]:= es = Rest[e RotateRight[e]];
esw = Select[es, #1 < 0 &];
esw = Transpose[Partition[esw, 2]][[1]];
npos = Dimensions[esw][[1]];
pos = Table[0, {npos}];
Do[pos[[i]] = Position[es, esw[[i]], {i, 1, npos}];
pos = Flatten[pos];
nu = Dimensions[pos][[1]];
```

Less::nord : Invalid comparison with ComplexInfinity attempted. >>

Less::nord : Invalid comparison with ComplexInfinity attempted. >>

```
In[71]:= pos
```

```
Out[71]:= {7915, 17 024, 37 409, 62 348, 87 287, 112 226,
137 164, 162 104, 187 043, 211 982, 236 921, 261 859}
```

```
In[72]:= npos
```

```
Out[72]:= 11
```

```
In[73]:= ur = Table[0, {nu}];
Do[umin = up[pos[[i]]];
umax = up[pos[[i]] + 1];
ustart =  $\frac{umax + umin}{2}$ ;
ur[[i]] = u /. FindRoot[u == tau Cot[ $\frac{u h}{2 a}$ ], {u, ustart, umin, umax},
MaxIterations -> 100, DampingFactor -> 0.8], {i, 1, nu}];
```

FindRoot::reged : The point {12.4683} is at the edge of the search region
{12.4682, 12.4683} in coordinate 1 and the computed search direction points outside the region. >>

FindRoot::reged : The point {24.9365} is at the edge of the search region
{24.9364, 24.9365} in coordinate 1 and the computed search direction points outside the region. >>

FindRoot::reged : The point {37.4047} is at the edge of the search region
{37.4046, 37.4047} in coordinate 1 and the computed search direction points outside the region. >>

General::stop : Further output of FindRoot::reged will be suppressed during this calculation. >>

```
In[75]:= ur
```

```
Out[75]:= {-0.455481, 0.455481, 12.4683, 24.9365, 37.4047,
49.8729, 62.3409, 74.8093, 87.2775, 99.7457, 112.214, 124.682}
```

```
In[76]:= N[
$$\frac{\mathbf{ur} - \text{RotateRight}[\mathbf{ur}]}{d\mathbf{u}j}$$
]
```

```
Out[76]:= {-10.0365, 0.0730628, 0.963477, 1.,  
1., 1., 0.999984, 1.00002, 1., 1., 1., 0.999984}
```

```
In[77]:=  $\mathbf{ur2} = \mathbf{ur}^2;$ 
```

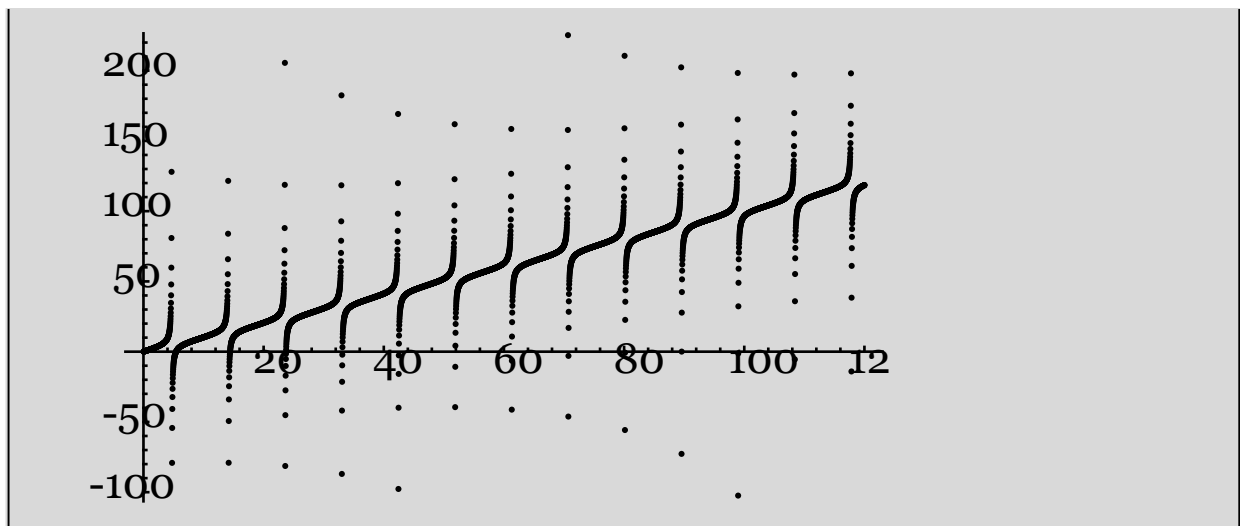
Roots:

```
 $\mathbf{imax} = ;$   
 $\mathbf{ur} = \{ \};$   
 $\mathbf{ur2} = \mathbf{ur}^2;$ 
```

3rd Transcendental Equation Solution

Finds roots of the equation $u = -t \tan[v h/(2 a)]$. The user defines the constant, t , the root search interval, du , and the upper limit of v , $vpmax$.

Equation Plot:



Solution Method:

```
In[78]:= dvi = 0.002;
dvj =  $\frac{2 a \pi}{h}$ ;
vpmax = 120;
offset =  $\frac{dvj}{2}$ ;
```

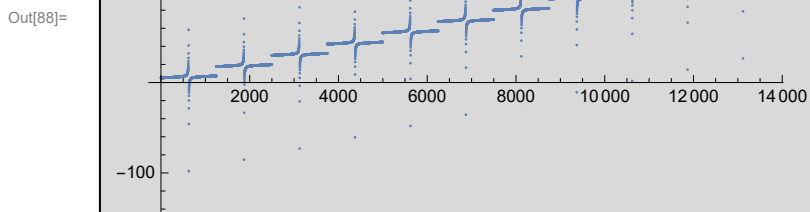
```
In[82]:= nj = Floor[ $\frac{vpmax}{dvj} + 1$ ];
ni = Floor[ $\frac{dvj}{10 dvi} + 1$ ];
vp = Flatten[Table[i dvi + j dvj + offset, {j, 0, nj}, {i, -ni, ni}]];
```

```
In[85]:= Dimensions[vp]
```

```
Out[85]= {13 739}
```

```
In[86]:= e = N[vp + tau Tan[ $\frac{vp h}{2 a}$ ]];
n = Dimensions[e][[1]];
```

```
In[88]:= ListPlot[e]
```



```
In[89]:= es = Rest[e RotateRight[e]];
esw = Select[es, #1 < 0 &];
esw = Transpose[Partition[Drop[esw, 1], 2]][[1]];
npos = Dimensions[esw][[1]];
pos = Table[0, {npos}];
Do[pos[[i]] = Position[es, esw[[i]]], {i, 1, npos}];
pos = Flatten[pos];
nu = Dimensions[pos][[1]];
```

```
In[97]:= npos
```

```
Out[97]:= 10
```

```
In[98]:= vr = Table[0, {nu}];
Do[vmin = vp[[pos[[i]]];
vmax = vp[[pos[[i]] + 1];
vstart =  $\frac{vmax + vmin}{2}$ ;
vr[[i]] = v /. FindRoot[v == -tau Tan[ $\frac{v h}{2 a}$ ], {v, vstart, vmin, vmax},
DampingFactor -> 0.8, MaxIterations -> 100], {i, 1, nu}];
```

```
In[100]:= vr
```

```
Out[100]:= {6.26735, 18.7134, 31.1772, 43.6435,
56.1106, 68.5781, 81.0458, 93.5137, 105.982, 118.45}
```

```
In[101]:= N[ $\frac{vr - RotateRight[vr]}{dvj}$ ]
```

```
Out[101]:= {-8.99747, 0.998227, 0.999643, 0.999847,
0.999915, 0.999946, 0.999963, 0.999973, 0.999979, 0.999983}
```

```
In[109]:= imax = Dimensions[vr][[1]];
vr2 = vr^2;
```

Roots:

```
imax = ;
vr = {};
vr2 = vr^2;
```

Coefficients

```
In[103]:=
sp = 1 -  $\frac{a \operatorname{Sin}\left[\frac{vr h}{a}\right]}{vr h}$ ;
cp = 1 +  $\frac{a \operatorname{Sin}\left[\frac{ur h}{a}\right]}{ur h}$ ;
```

Transient Temperature Distribution for Radiant Surface Heating

```
In[112]:=
T[time_, r_, z_] :=
 $\frac{1}{k h} \left( 2 a^2 \sum_{i=1}^{imax} \sum_{m=1}^{mmax} \left( pm[[m]] \left( 1 - \operatorname{Exp}\left[-\left(\operatorname{time} k \left( ur[[i]]^2 + \operatorname{zetam2}[[m]] \right)\right] / \left( ro c a^2 \right) \right] \right) \right. \right.$ 
 $\left. \left. \operatorname{Cos}\left[\frac{ur[[i]] h}{2 a}\right] \operatorname{Cos}\left[\frac{ur[[i]] z}{a}\right] \operatorname{BesselJ}\left[0, \frac{\operatorname{zetam}[[m]] r}{a}\right] \right) / \right.$ 
 $\left. \left( \left( ur[[i]]^2 + \operatorname{zetam2}[[m]] \right) cp[[i]] \right) - \frac{1}{k h} \left( 2 a^2 \right. \right.$ 
 $\left. \left. \sum_{i=1}^{imax} \sum_{m=1}^{mmax} \left( pm[[m]] \left( 1 - \operatorname{Exp}\left[-\left(\operatorname{time} k \left( vr[[i]]^2 + \operatorname{zetam2}[[m]] \right)\right] / \left( ro c a^2 \right) \right] \right) \operatorname{Sin}\left[\frac{vr[[i]] h}{2 a}\right] \right. \right. \right.$ 
 $\left. \left. \left. \operatorname{Sin}\left[\frac{vr[[i]] z}{a}\right] \operatorname{BesselJ}\left[0, \frac{\operatorname{zetam}[[m]] r}{a}\right] \right) / \left( \left( vr[[i]]^2 + \operatorname{zetam2}[[m]] \right) sp[[i]] \right) \right)$ 
```

```
In[113]:=
N[T[tc, 0, - $\frac{h}{2}$ ]]
```

```
Out[113]=
823.501
```

Evolution of the temperature at the center on the surface:

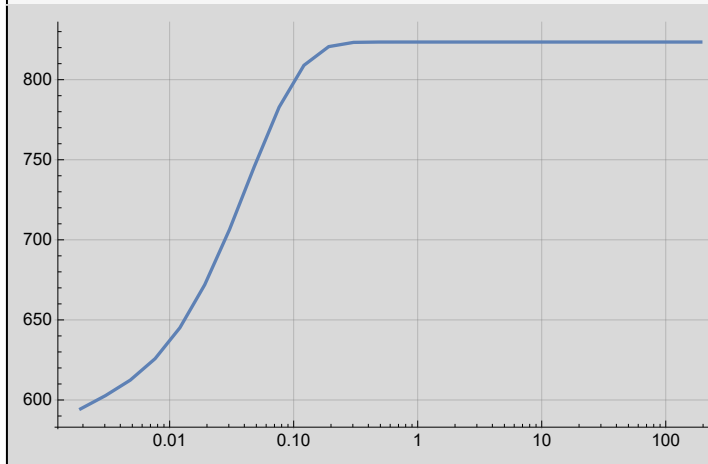
```
In[114]:=
ns = 5;
nd = 5;
nt = nd ns + 1;
to =  $\frac{1}{10^5}$ ;
fact =  $10^{1/ns}$ ;
N[ts = Table[to facti-1, {i, nt}];]
N[temper = Table[T[tc ts[[j]], 0, - $\frac{h}{2}$ ], {j, nt}];]
N[Max[temper]]
```

```
Out[121]=
823.501
```

In[183]:=

```
ListLogLinearPlot[Transpose[Partition[Join[tc ts, temper], nt]],
  Joined → True, GridLines → Automatic, PlotStyle → Thickness[0.005]]
```

Out[183]=



Diametrical cuts of the surface coating temperature field at various times:

In[170]:=

```
radDiv = 43;
rad = Table[i a / radDiv, {i, 0, radDiv}];
N[tempern = Table[T[tc / 100, rad[[i]], -h/2], {i, 1, radDiv + 1}];]
N[temper2 = Table[T[tc / 1000, rad[[i]], -h/2], {i, 1, radDiv + 1}];]
N[temper3 = Table[T[tc / 10 000, rad[[i]], -h/2], {i, 1, radDiv + 1}];]
N[temper4 = Table[T[tc / 100 000, rad[[i]], -h/2], {i, 1, radDiv + 1}];]
```

In[182]:=

```
tc / 100
```

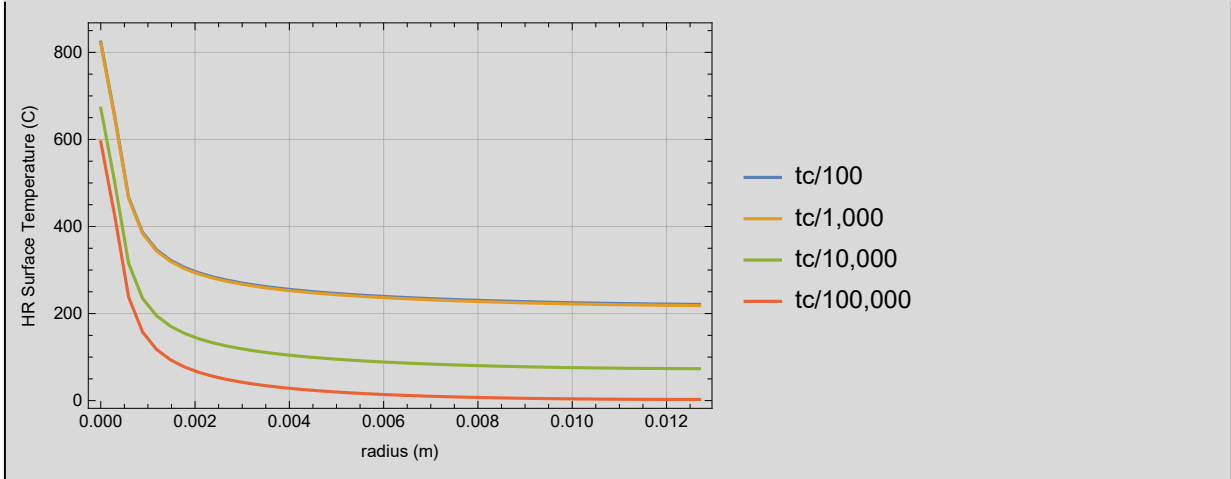
Out[182]=

```
1.91735
```

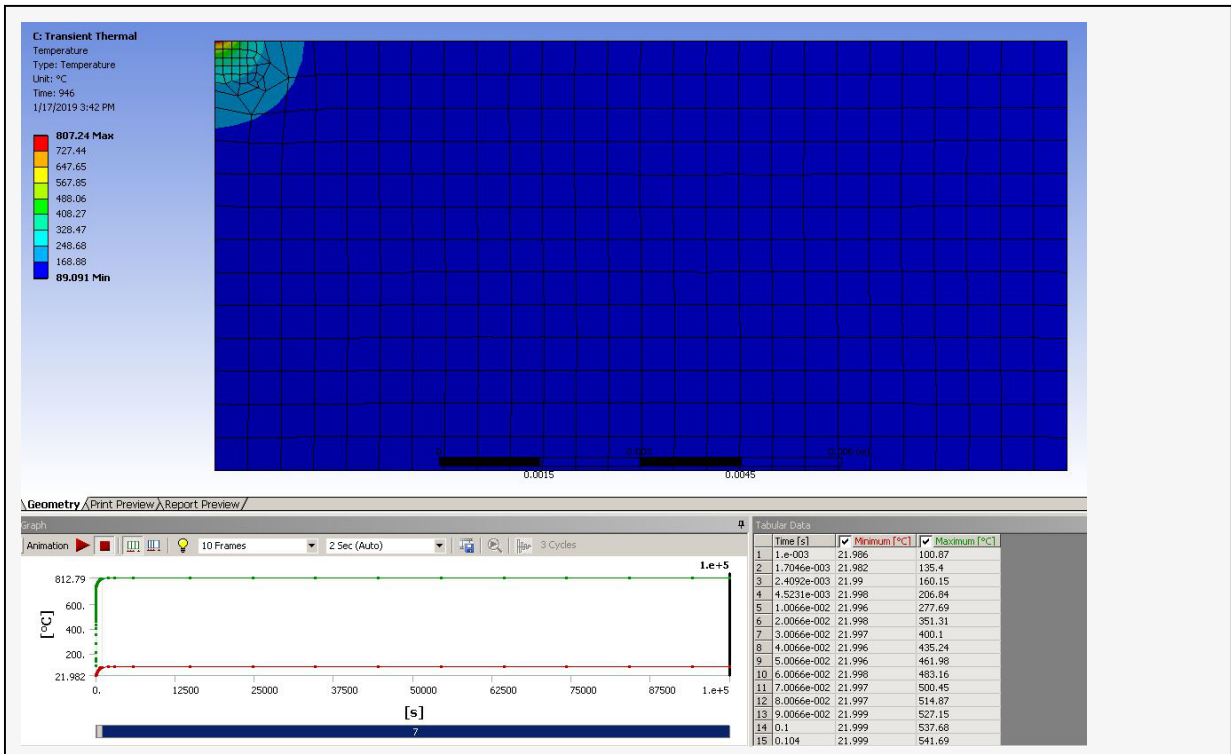
In[180]=

```
ListPlot[{Transpose[Partition[Join[rad, tempern], radDiv + 1]],
  Transpose[Partition[Join[rad, temper2], radDiv + 1]],
  Transpose[Partition[Join[rad, temper3], radDiv + 1]],
  Transpose[Partition[Join[rad, temper4], radDiv + 1]]},
  Joined -> True, GridLines -> Automatic,
  PlotRange -> All, PlotStyle -> Thickness[0.005],
  PlotLegends -> {"tc/100", "tc/1,000", "tc/10,000", "tc/100,000"},
  Frame -> True, FrameLabel -> {"radius (m)", "HR Surface Temperature (C)"}]
```

Out[180]=



Compares reasonably well with an ANSYS nonlinear, transient thermal response for the OCF mirror with 1 Watt absorbed power :



Warning : The balance of this notebook has not been debugged for the OCF mirror reponse.

Wavefront Aberrations due to Index Gradients

Formulation:

Steady-State Aberrations:

Transient Aberrations:

Thermoelastic Analysis

Steady-State Wavefront Aberrations due to Surface Distortion:

Transient Wavefront Aberrations due to Surface Distortion

Bulk Absorption

Comparisons