

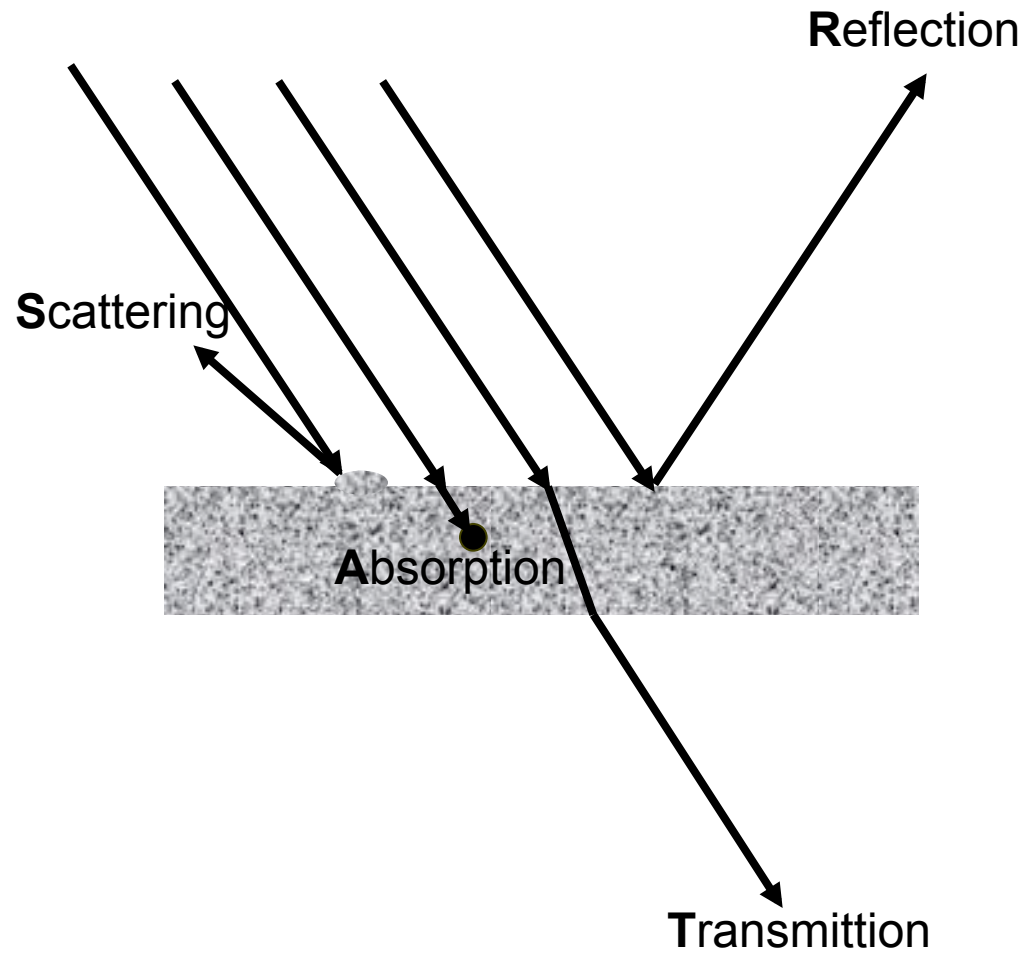
Developing the $\text{TiO}_2/\text{SiO}_2$ mixed films for low optical loss dielectric mirror --- A retrospect

Prof. Shiuh Chao

Institute of Photonics Technologies

National Tsing Hua University

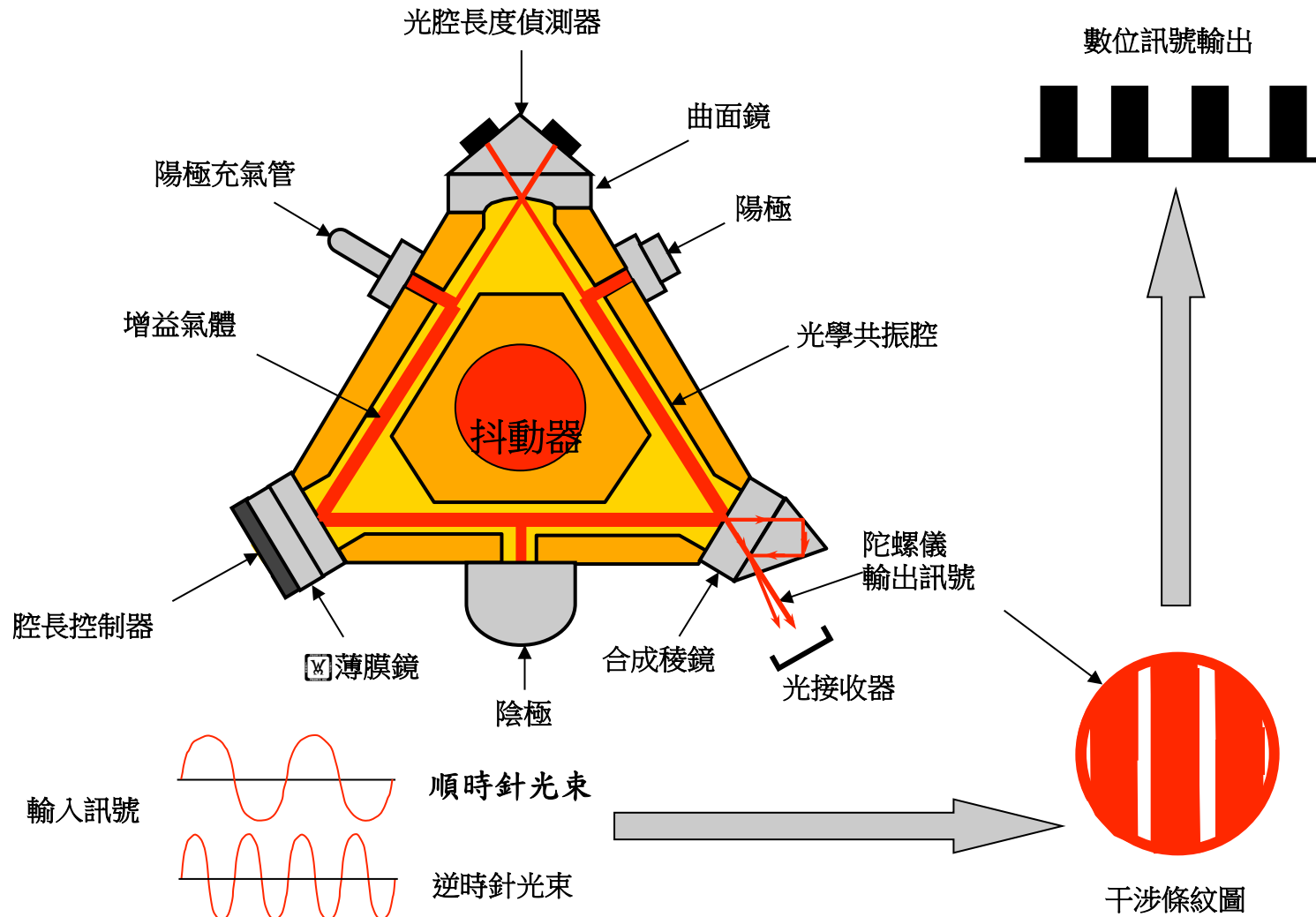
Taiwan, R.O.C.



$$1=R+T+S+A$$

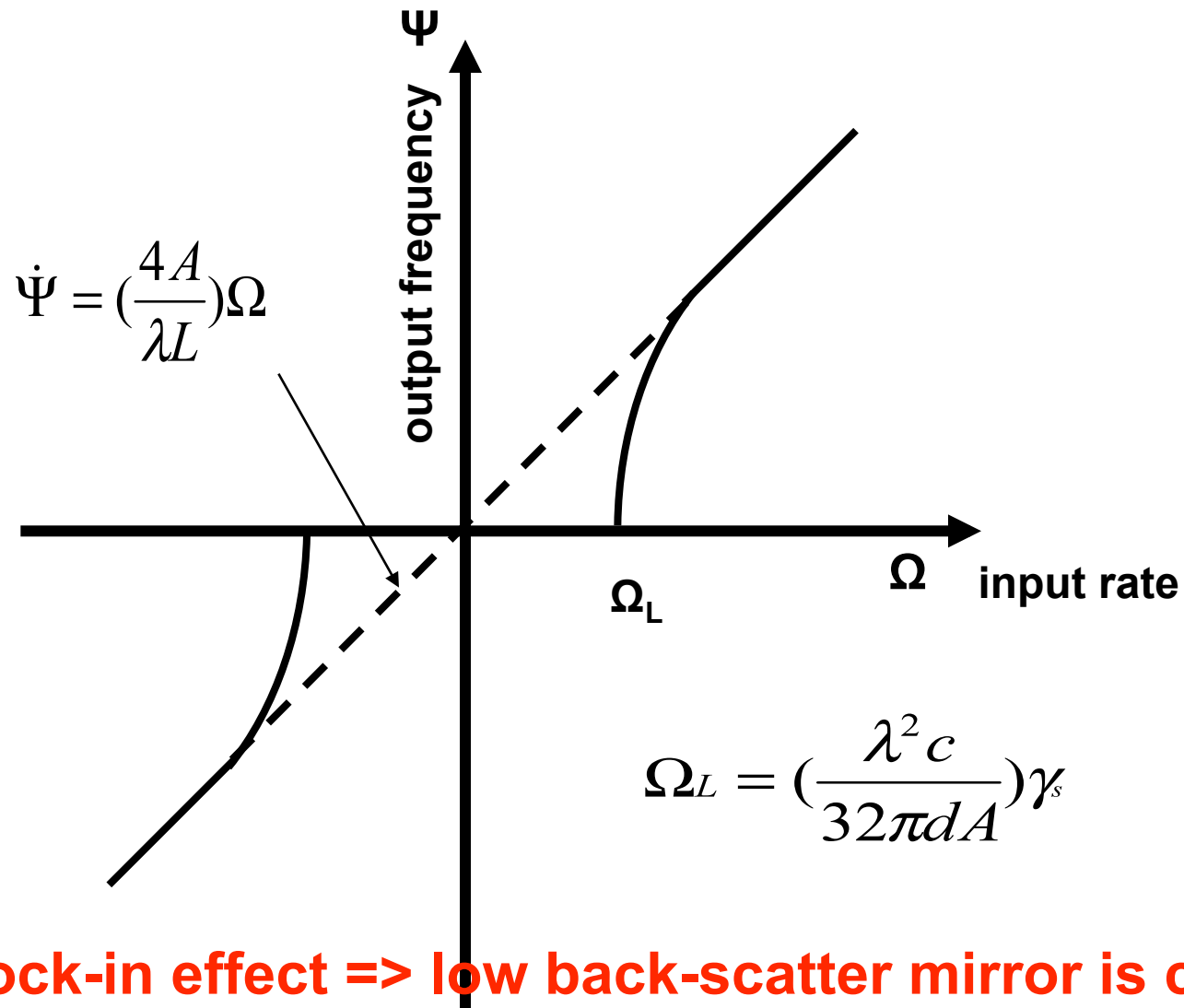
$$\text{Total Loss} \equiv 1-R-T = S+A$$

RING LASER GYROSCOPE



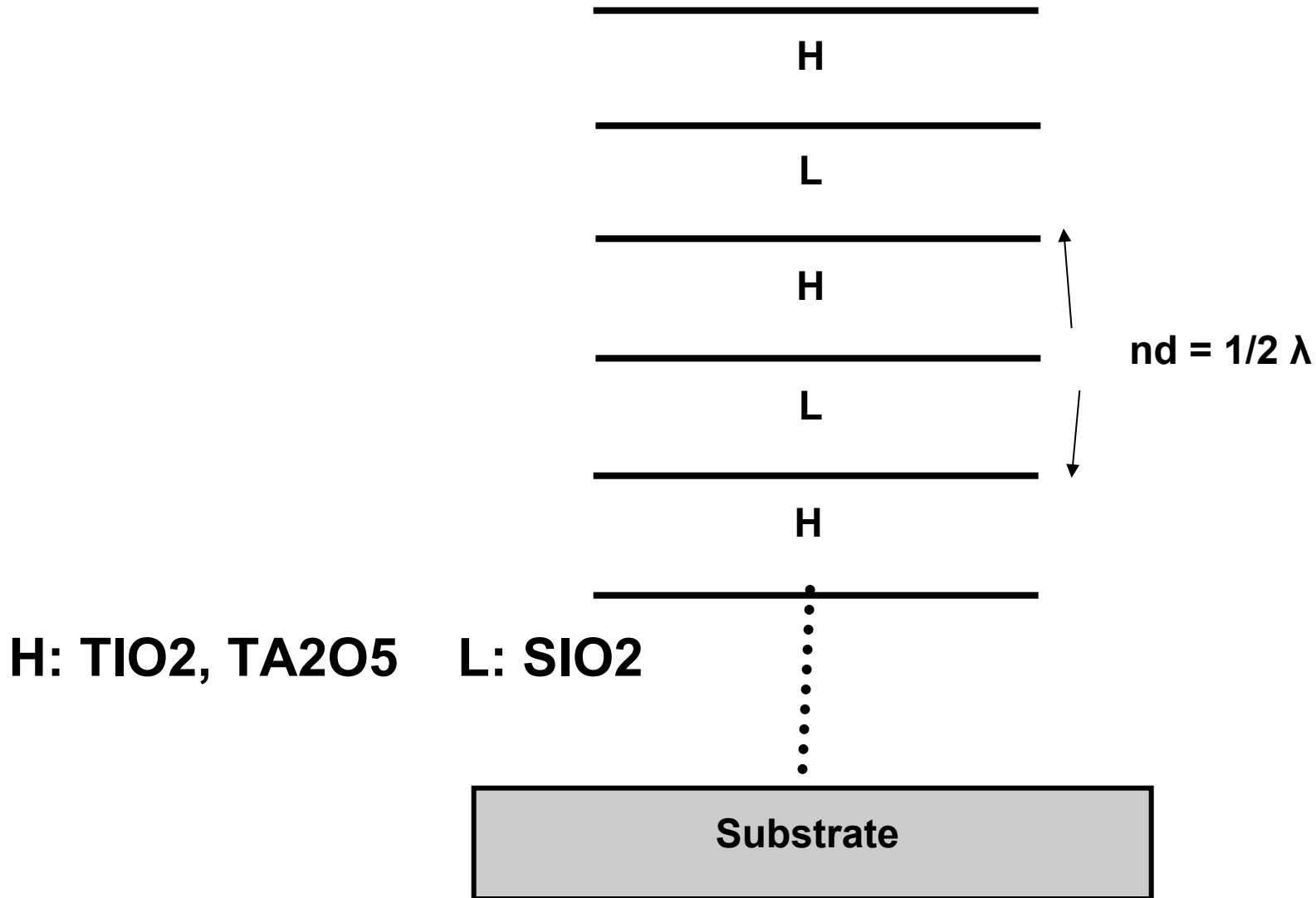
High Q resonator → low optical loss for the mirrors

LOCK-IN EFFECT IN RLG



To avoid lock-in effect => low back-scatter mirror is critical

Multi-layer dielectric mirror

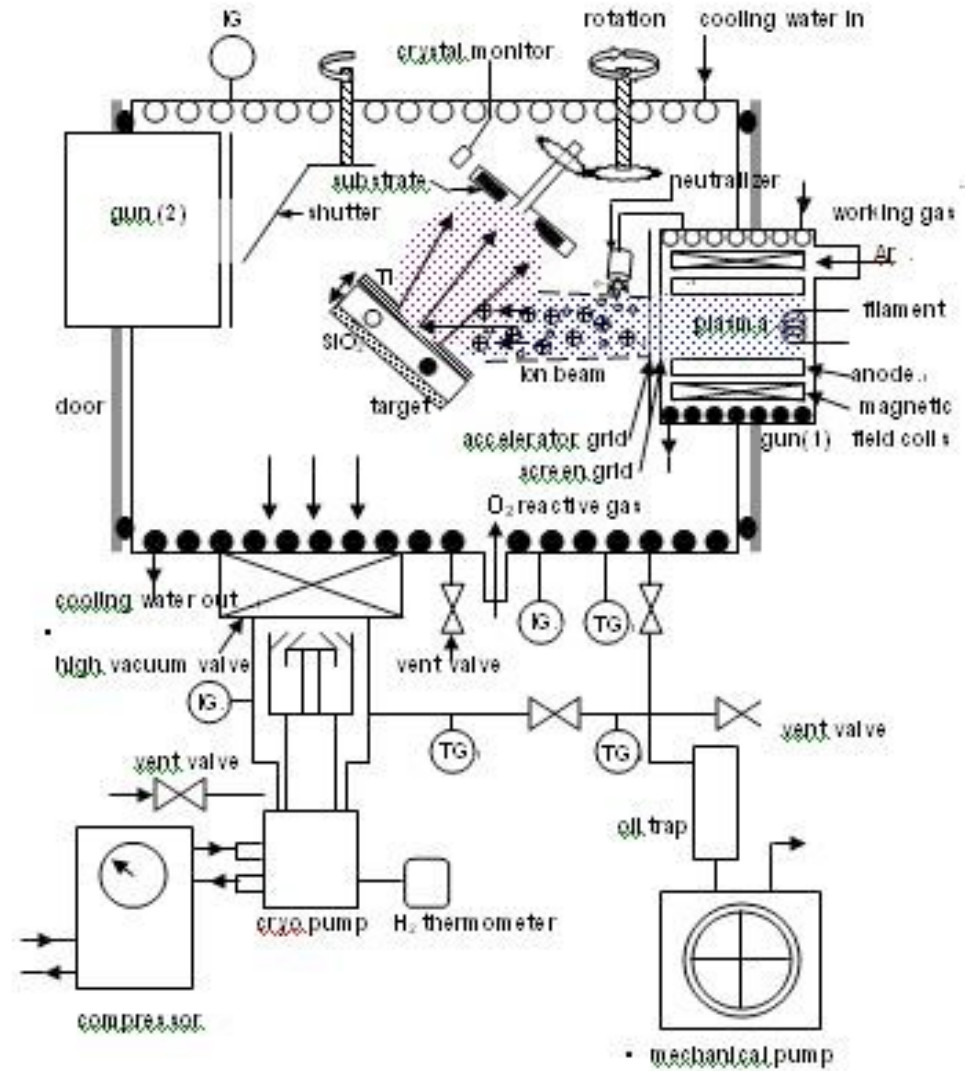
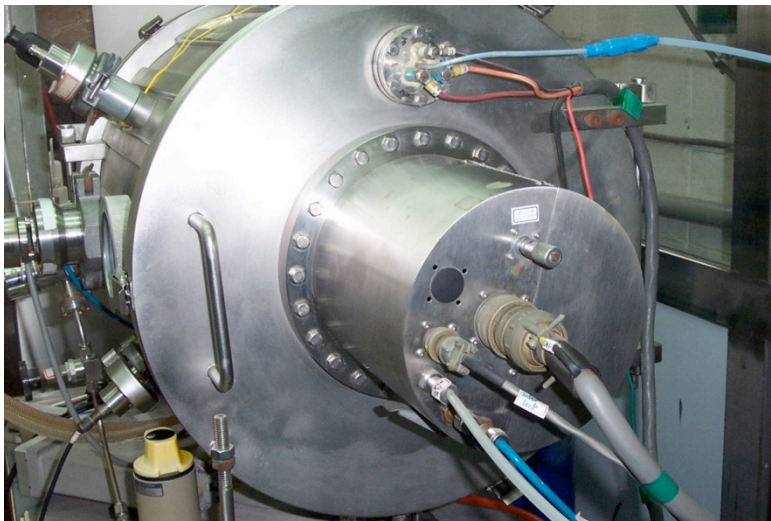


Coating Apparatus

Ion Beam Sputtering

--- dense film

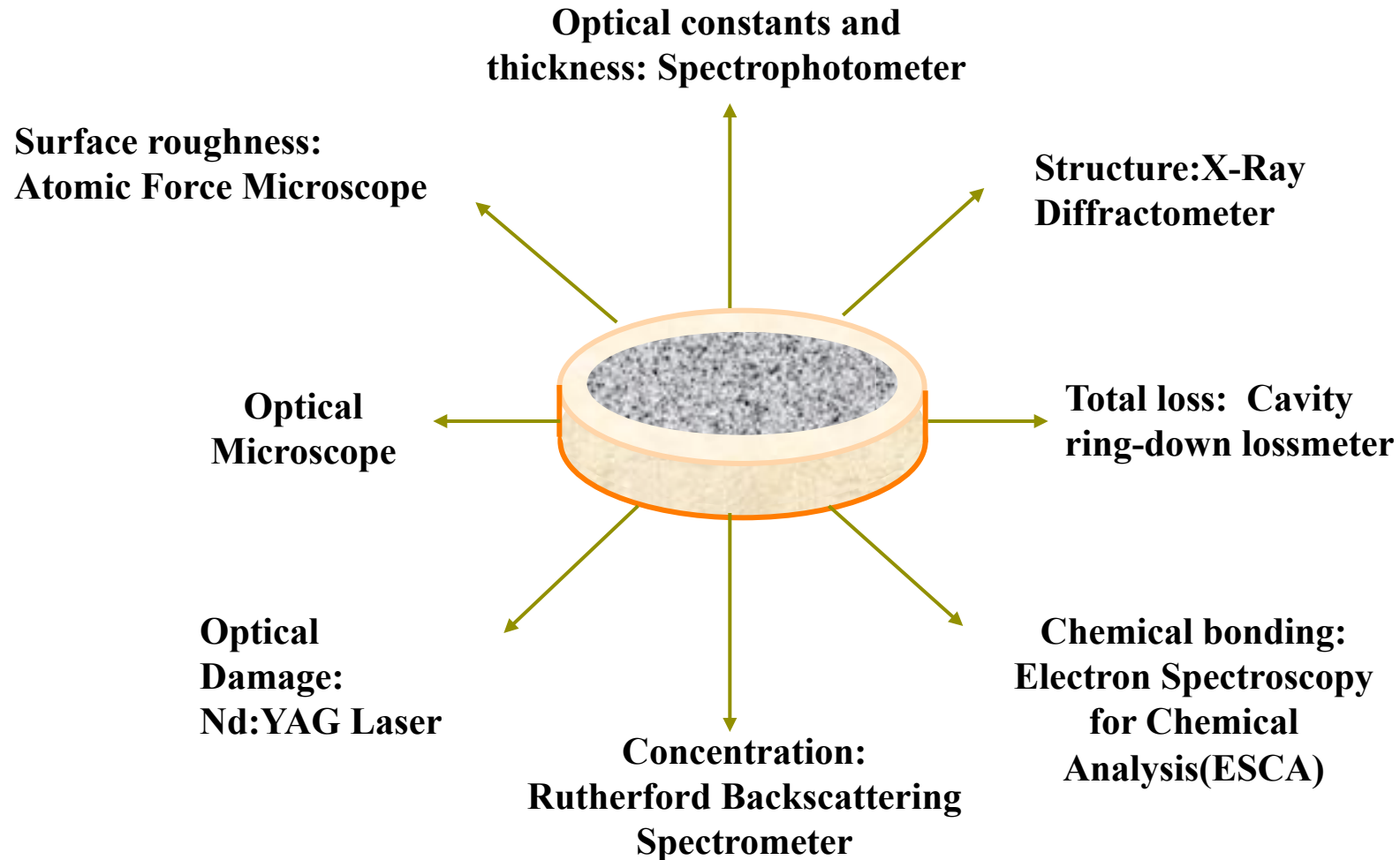
--- higher refractive index



2010/8/13 at Caltech
LIGO

Prof. Chao, National Tsing Hua
Univ., Taiwan

Instrumental analysis for mirror characterization



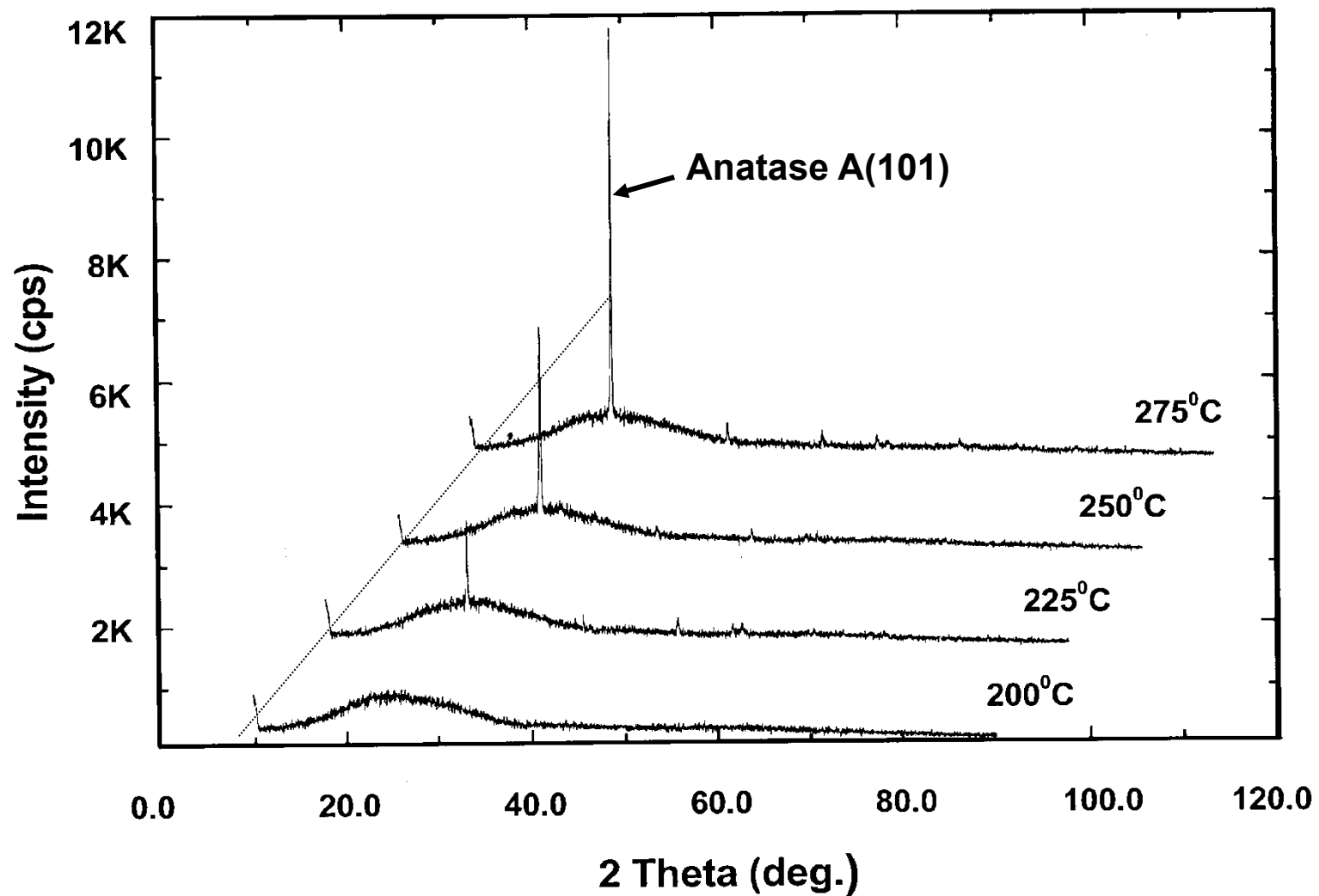
Single TiO₂ film

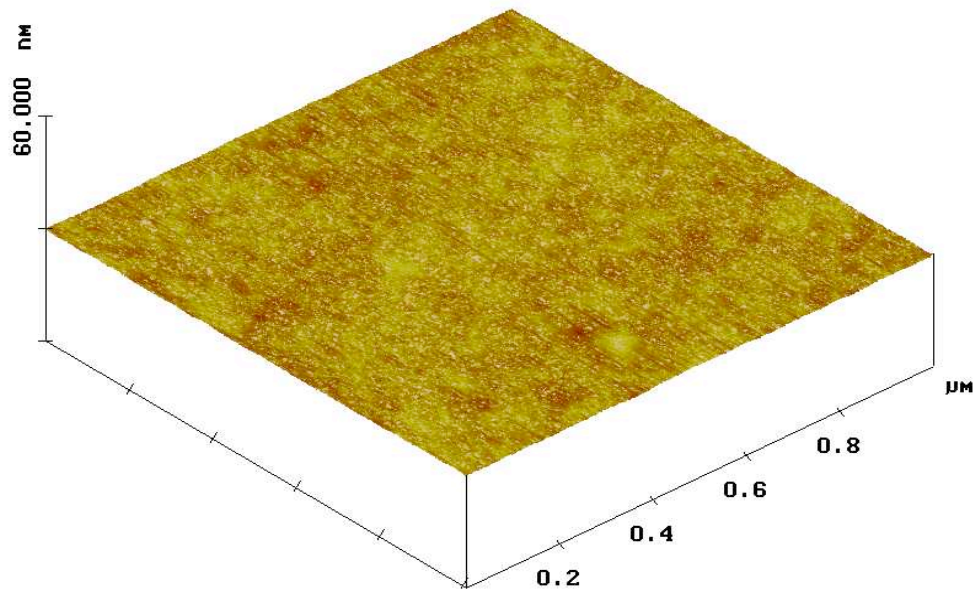
**Annealing effect on ion-beam-sputtered titanium
dioxide film**

Wen-Hsiang Wang and Shiuh Chao

*Department of Electrical Engineering, National Tsing
Hua University, Hsin-Chu, Taiwan*

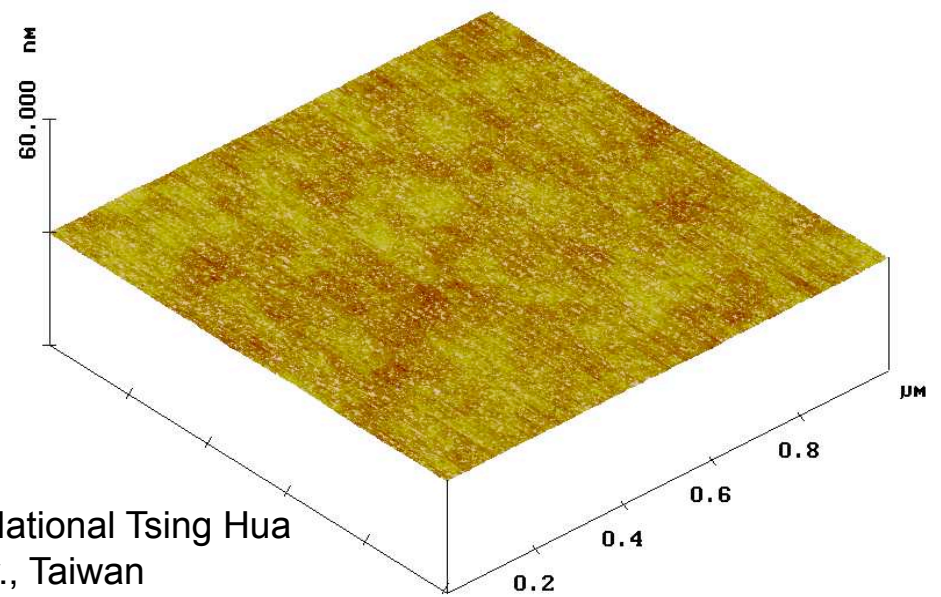
September 15, 1998 / Vol. 23, No. 18 / OPTICS
LETTERS 1417

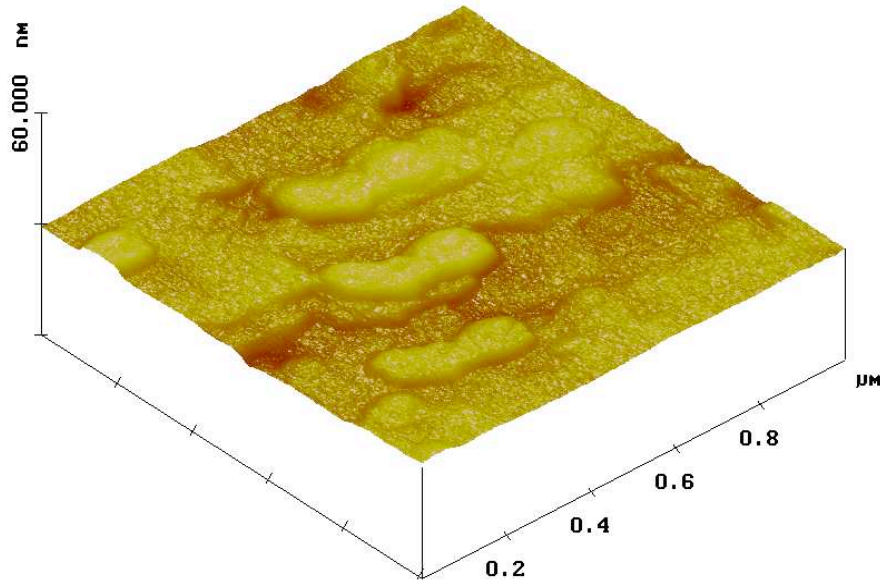




(A) as-deposited

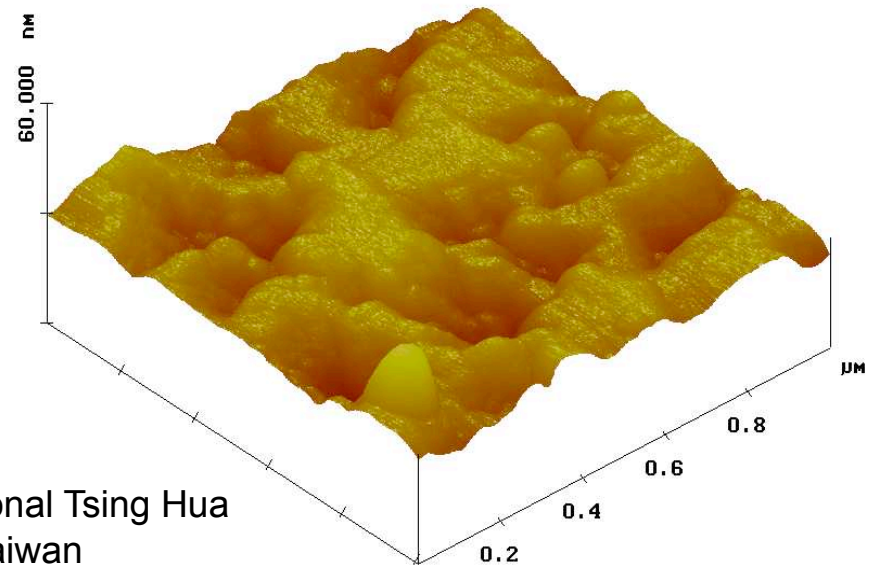
(B) annealed 200 °C





(C) annealed 225 °C

(D) annealed 300°C



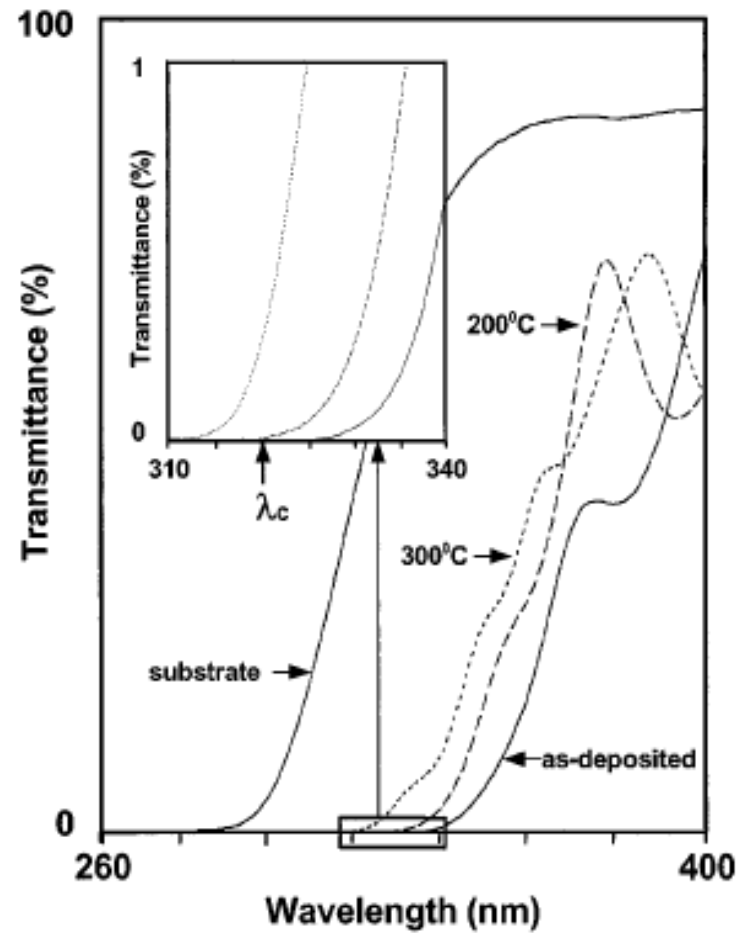
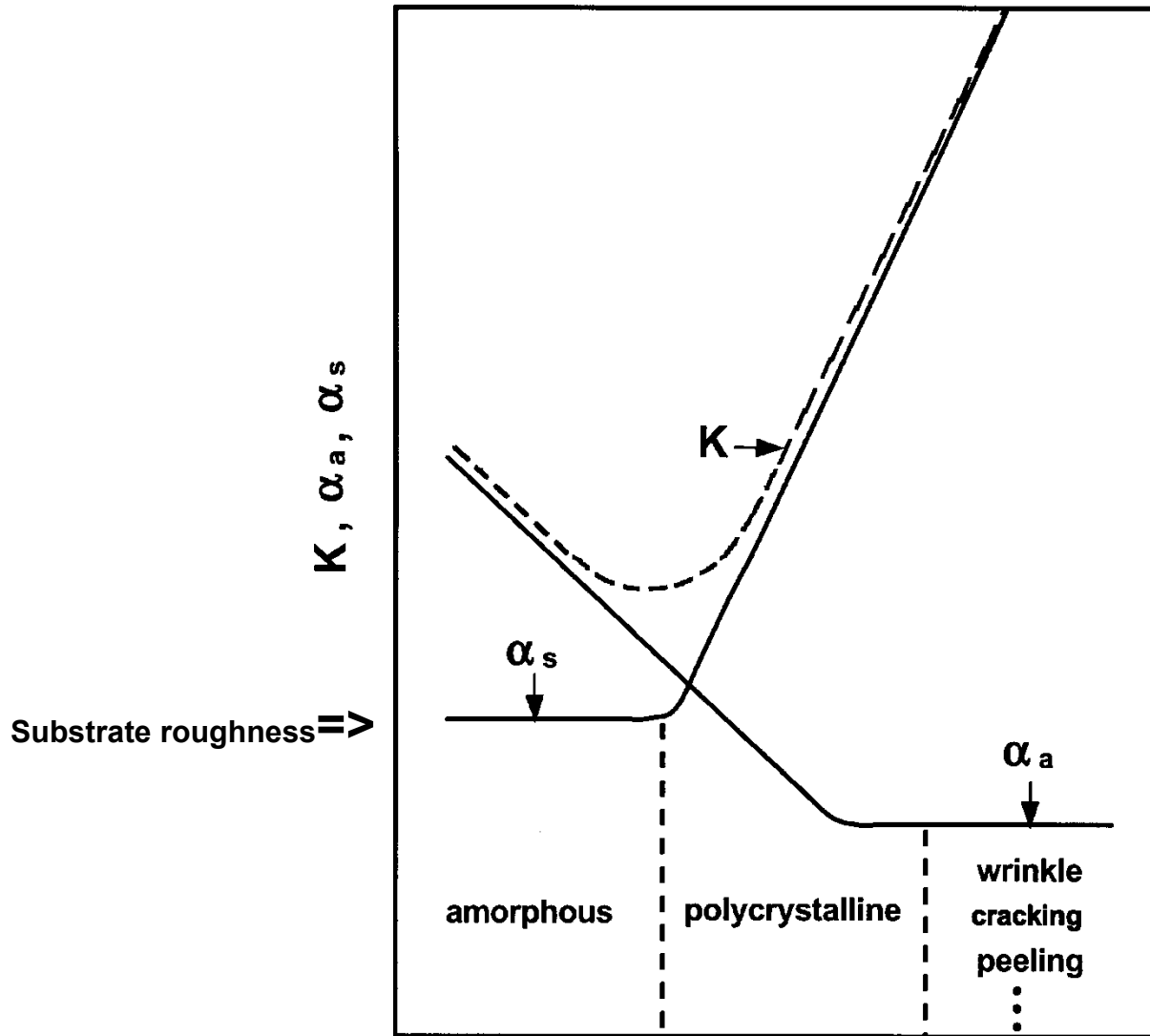


Fig. 2. Transmission spectra in the short-wavelength region for the as-deposited sample and the samples annealed at 200 and 300 °C.

<u>annealing</u> temp.(°C)	632.8nm		550.0nm		450.0nm		I/I ₀ (%)	λ _c (nm)	<u>rms surface</u> roughness(Å)
	n	k	n	k	n	k			
<u>before annealing</u>	2.50	0.0001	2.54	0.0003	2.66	0.0013	0	327.6	1.2
150	2.50	<10 ⁻⁴	2.54	0.0002	2.66	0.0012	0	325.0	1.5
200	2.50	<10 ⁻⁴	2.54	0.0001	2.66	0.0010	0	322.4	1.4
225	2.49	0.0001	2.53	0.0002	2.65	0.0011	20	319.2	8.4
250	2.48	0.0004	2.52	0.0007	2.64	0.0023	65	316.5	13.4
275	2.48	0.0007	2.52	0.0012	2.64	0.0040	100	313.8	17.2
300	2.48	0.0015	2.52	0.0025	2.64	0.0052	100	313.5	32.6
350	2.48	0.0018	2.52	0.0027	2.64	0.0062	100	313.5	33.0



Annealing Temperature

(1) GOOD POLISHING=> REDUCE SUBSTRATE ROUGHNESS

(2) RAISE UP THE CRYSTALLIZATION TEMPERATURE OF THE FILM

Single TiO_2 - SiO_2 mixed film

Characteristics of ion-beam-sputtered high refractive-index TiO_2 - SiO_2 mixed films

Shiuh Chao and Wen-Hsiang Wang

*Department of Electrical Engineering, National Tsing Hua University,
Hsin-Chu, Taiwan*

Min-Yu Hsu and Liang-Chu Wang

Chung-Shan Institute of Science and Technology, Tao-Yuan, Taiwan

Vol. 16, No. 6/June 1999/J. Opt. Soc. Am. A 1477

5% SiO₂

annealing temp. _o (°C)	632.8nm		550.0nm		450.0nm		I/I ₀ ... (%)	λ _c (nm)	rms surface roughness(Å)
	n	k	n	k	n	k			
before annealing	2.47	<10 ⁻⁴	2.52	0.0002	2.64	0.0012	0	325.0	1.6
150	2.47	<10 ⁻⁴	2.52	0.0002	2.64	0.0011	0	321.8	1.5
200	2.47	<10 ⁻⁴	2.52	0.0001	2.64	0.0010	0	319.8	1.4
250	2.47	<10 ⁻⁴	2.52	0.0001	2.63	0.0010	0	315.3	1.5
275	2.46	0.0006	2.51	0.0011	2.63	0.0033	57	313.5	10.5
300	2.46	0.0009	2.51	0.0015	2.63	0.0038	100	313.2	16.8

表(二) 二氧化矽組成比例為 5% 的二氧化鈦光學混合膜在不同退火溫度下之折射係數(n)、消光係數(k)、銳鈦礦相對 X 光結晶強度(I/I₀)、截止波長(λ_c)及表面粗糙度均方根值

λ_c : cut-off wavelength

9% SiO₂

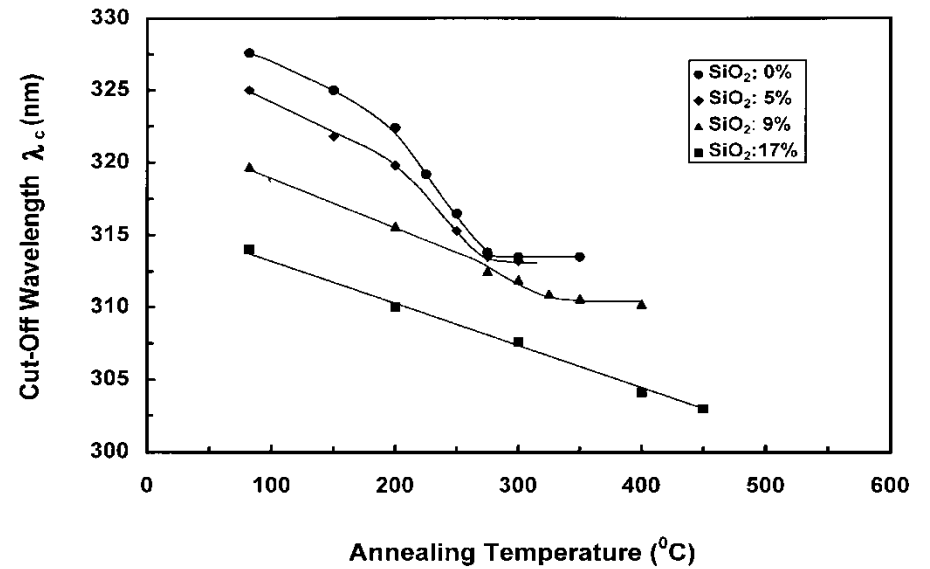
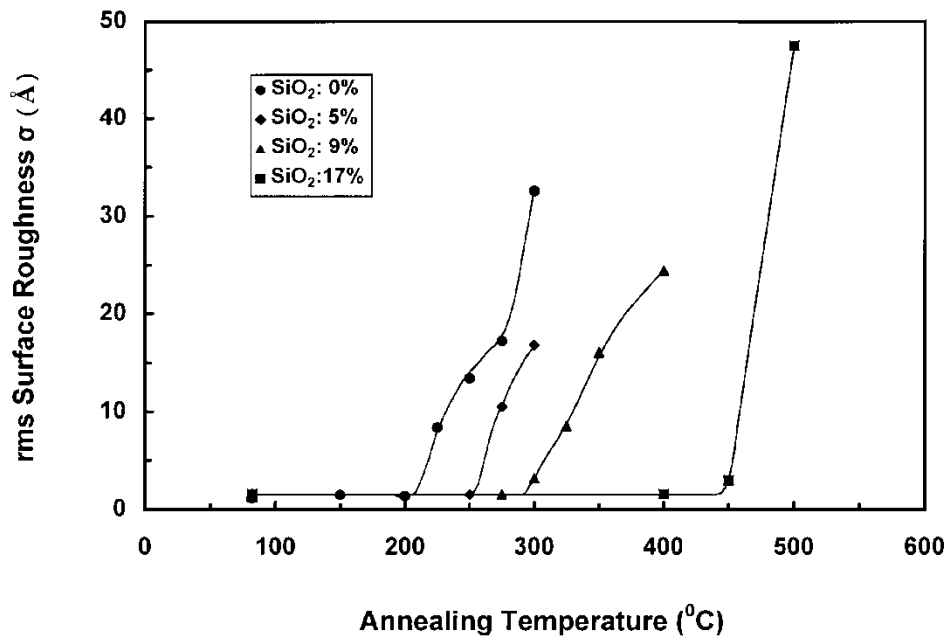
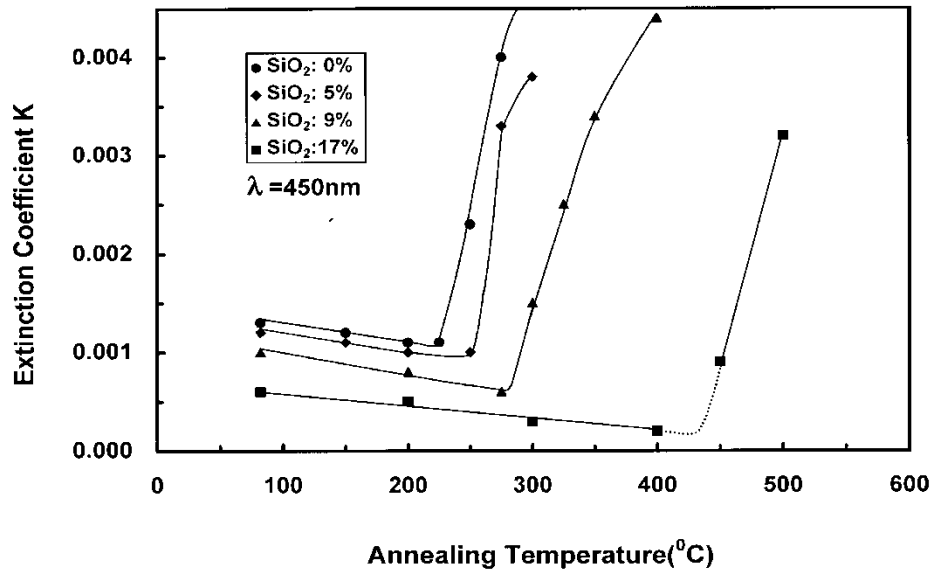
annealing temp. _c (°C)	632.8nm		550.0nm		450.0nm		I/I ₀ ... (%)	λ _c (nm)	rms surface roughness(Å)
	n	k	n	k	n	k			
before annealing	2.42	<10 ⁻⁴	2.46	<10 ⁻⁴	2.57	0.0010	0	319.7	1.3
200	2.42	<10 ⁻⁴	2.45	<10 ⁻⁴	2.56	0.0008	0	315.6	1.4
275	2.40	<10 ⁻⁴	2.44	<10 ⁻⁴	2.54	0.0006	0	312.5	1.5
300	2.38	0.0002	2.43	0.0004	2.53	0.0015	4	311.9	3.2
325	2.37	0.0009	2.43	0.0015	2.52	0.0025	45	310.9	8.5
350	2.36	0.0025	2.42	0.0029	2.51	0.0034	85	310.6	16.0
400	2.36	0.0032	2.41	0.0036	2.50	0.0044	100	310.2	24.4

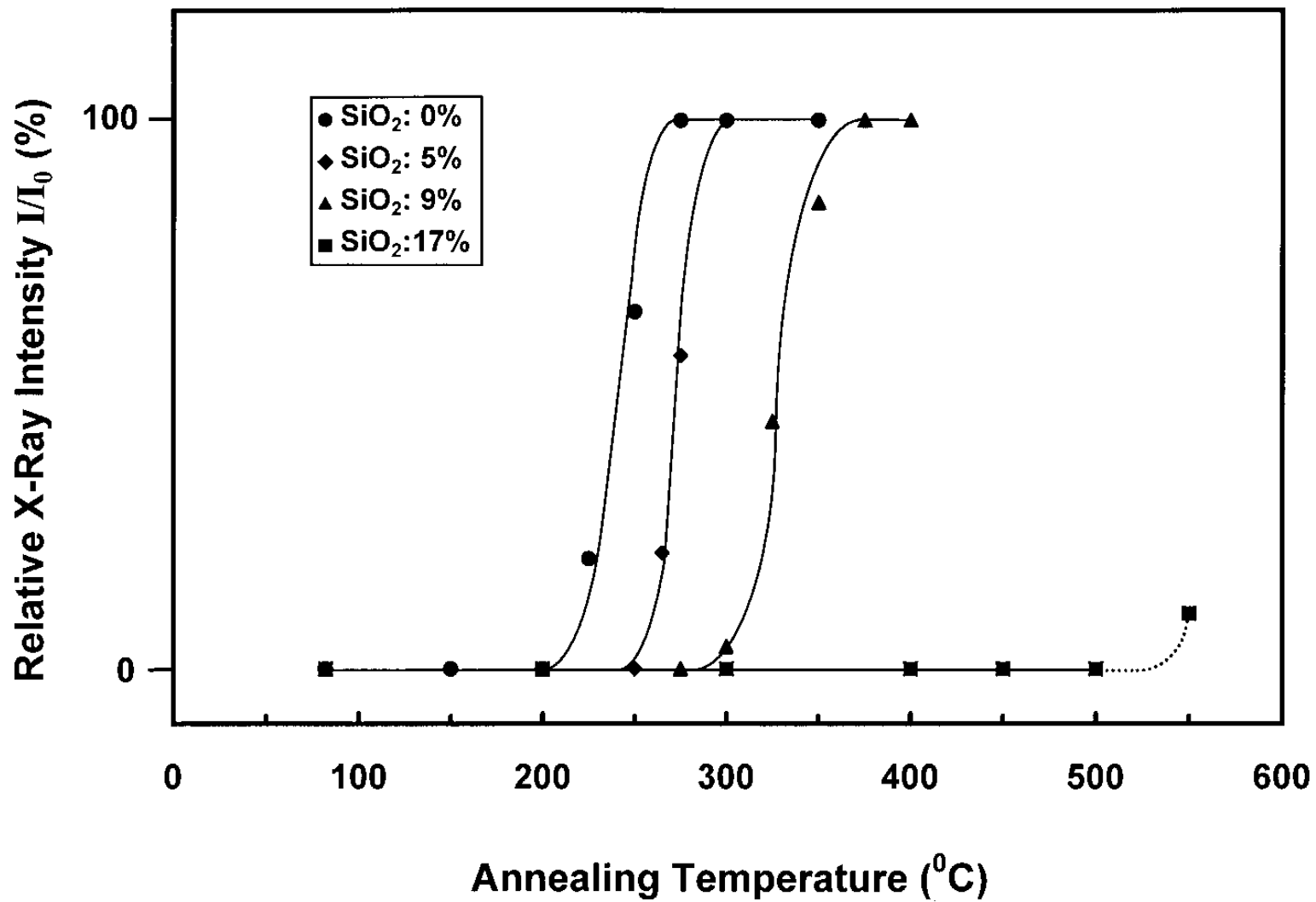
表(三) 二氧化矽組成比例為 9% 的二氧化鈦光學混合膜在不同退火溫度下之折射係數(n)、消光係數(k)、銳鈦礦相對 X 光結晶強度(I/I₀)、截止波長(λ_c)及表面粗糙度均方根值

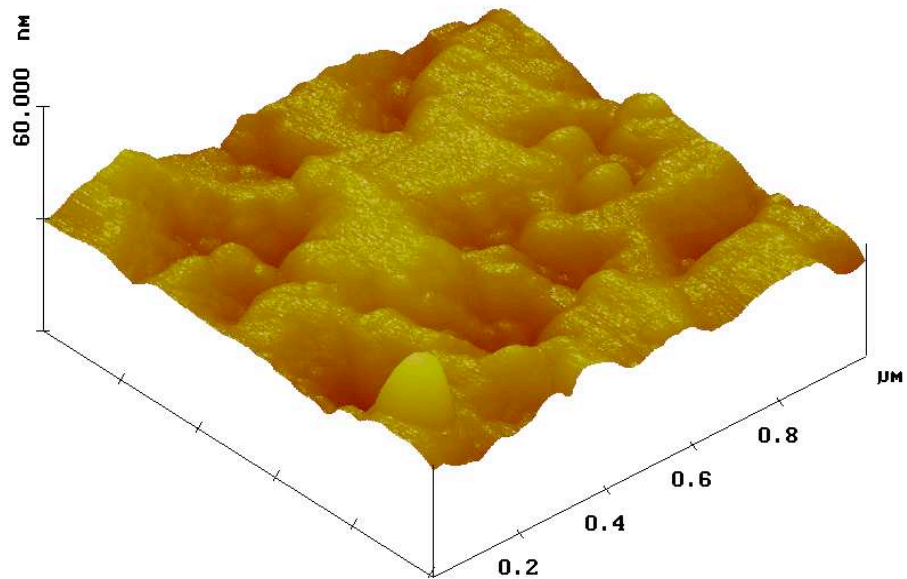
17% SiO₂

annealing temp. (°C)	632.8nm		550.0nm		450.0nm		I/I ₀ ... (%)	λc (nm)	rms surface roughness(Å)
	n	k	n	k	n	k			
before annealing	2.33	<10 ⁻⁴	2.37	<10 ⁻⁴	2.48	0.0006	0	314.1	1.6
200	2.32	<10 ⁻⁴	2.36	<10 ⁻⁴	2.47	0.0005	0	309.6	1.7
300	2.30	<10 ⁻⁴	2.34	<10 ⁻⁴	2.44	0.0003	0	307.6	1.7
400	2.28	<10 ⁻⁴	2.32	<10 ⁻⁴	2.41	0.0002	0	304.1	1.6
450	2.25	0.0004	2.29	0.0005	2.36	0.0009	0	303.4	3.0
500	2.21	0.0010	2.24	0.0012	2.32	0.0032	0	-	47.5
550	-	-	-	-	-	-	10	-	-

表(四) 二氧化矽組成比例為 17% 的二氧化鈦光學混合膜在不同退火溫度下之折射係數(n)、消光係數(k)、銳鈦礦相對 X 光結晶強度(I/I₀)、截止波長(λc)及表面粗糙度均方根值

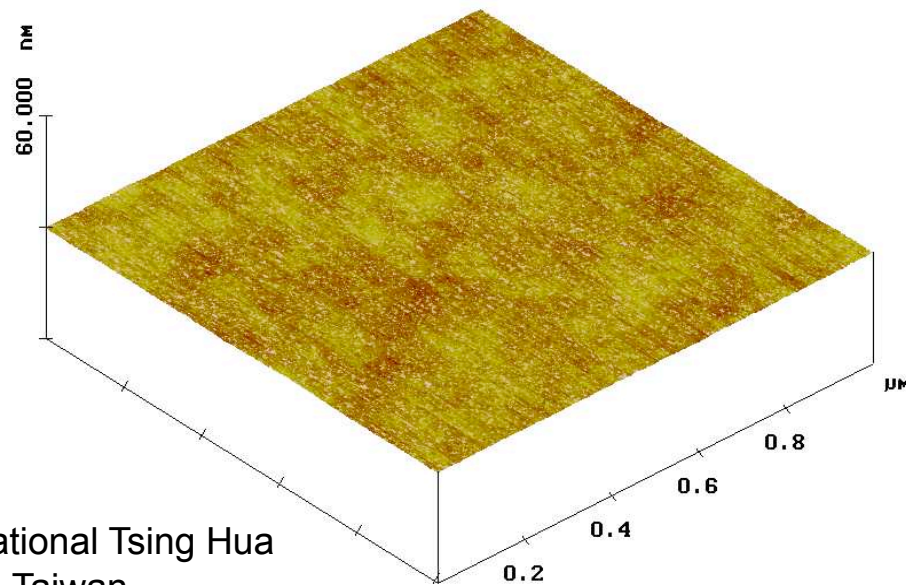


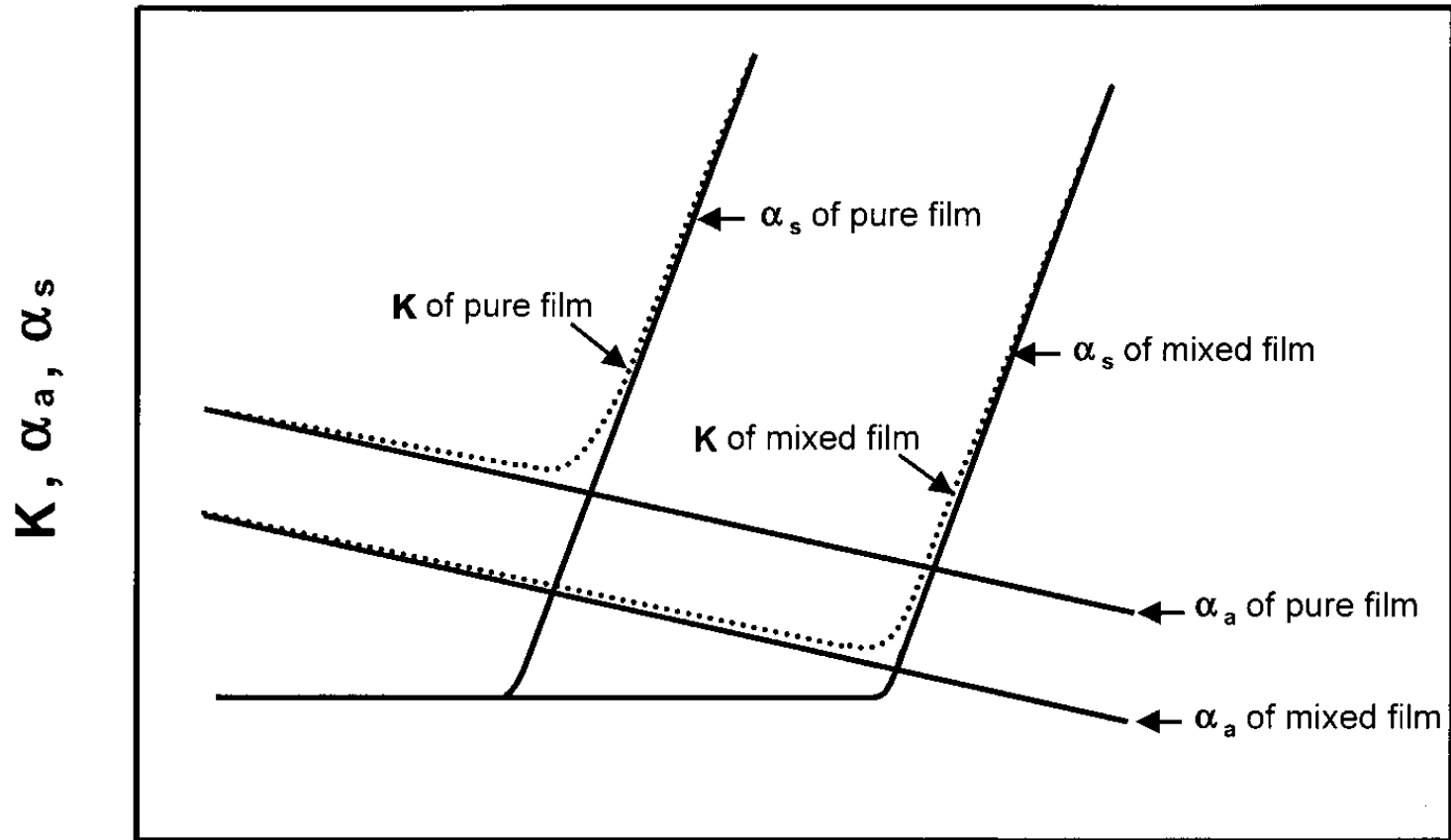




(A) SiO₂: 0% annealed 300°C

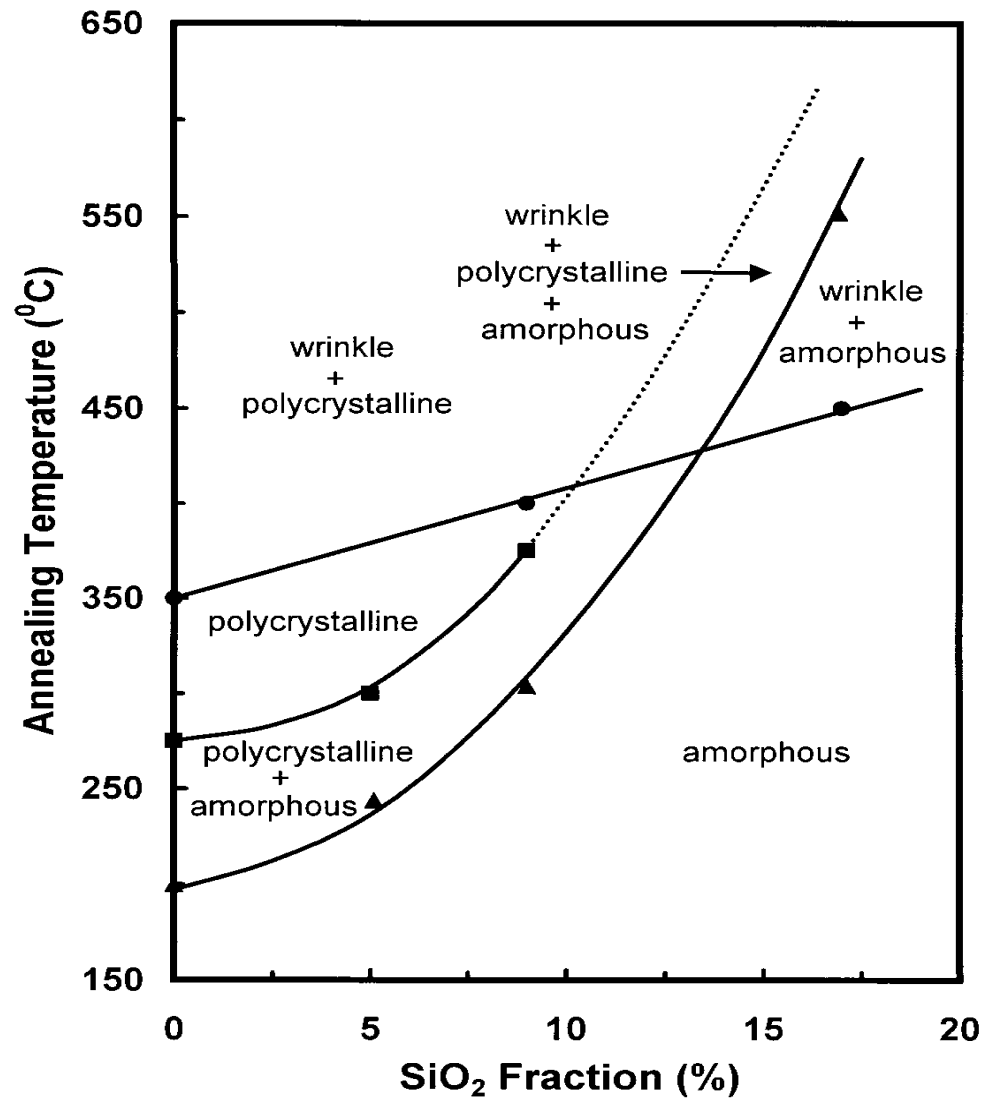
(B) SiO₂: 17% annealed 300°C





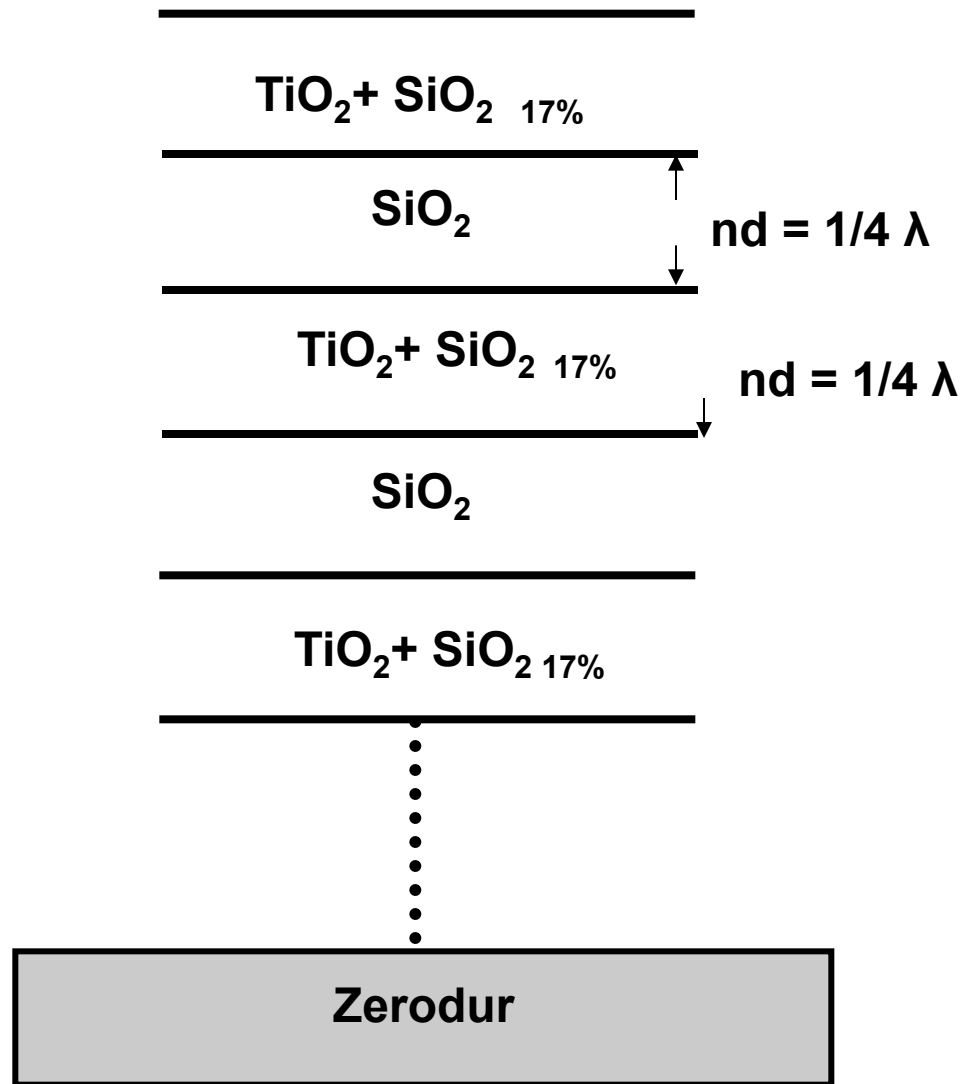
Annealing Temperature

“phase diagram” of the mixed film

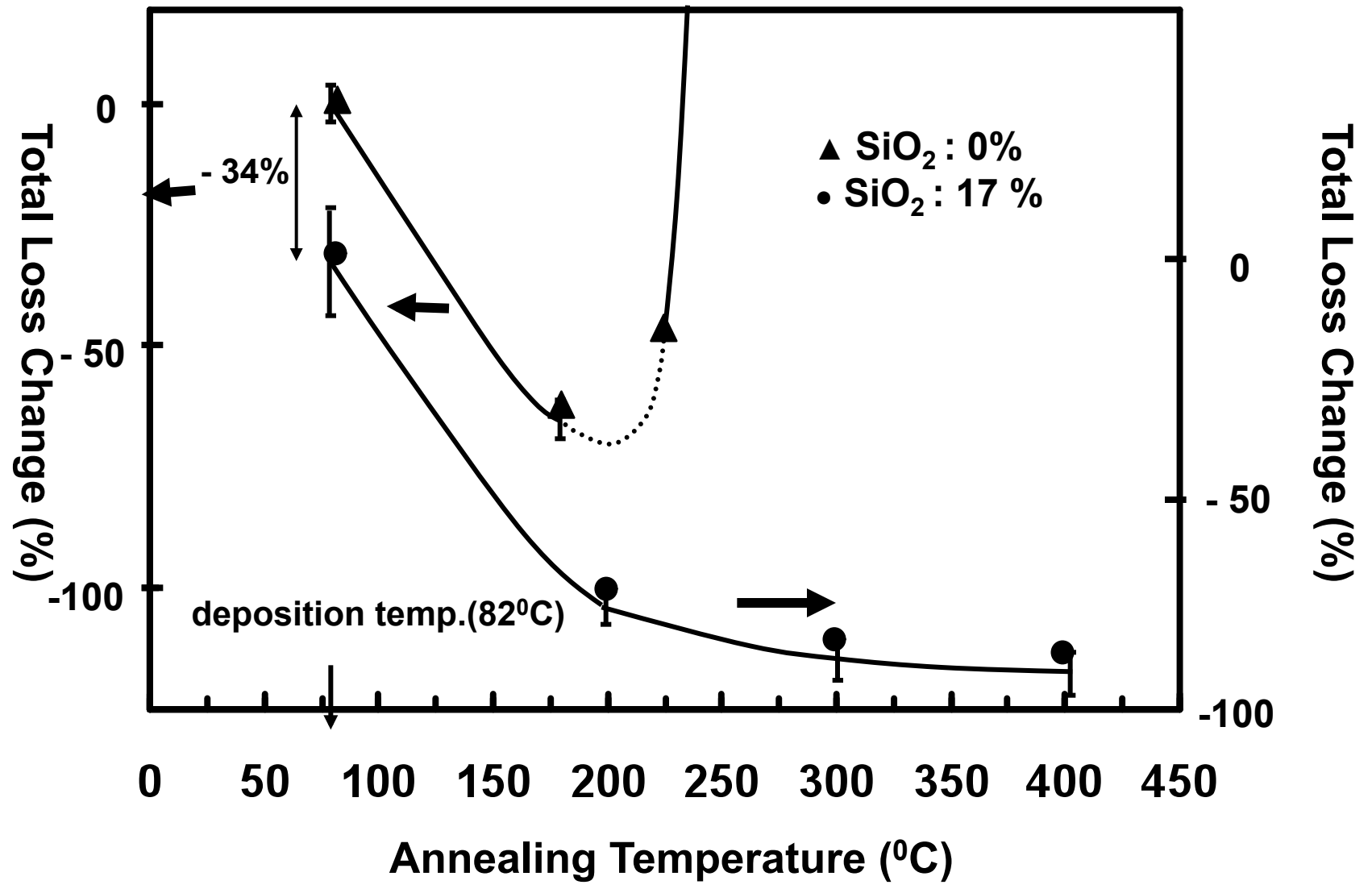


Multi-layer dielectric mirror with the mixed film

**Low-loss dielectric mirror with
ion-beam-sputtered TiO₂-SiO₂ mixed films**
Shiuh Chao, Wen-Hsiang Wang, and Cheng-Chung Lee
1 May 2001 y Vol. 40, No. 13 y APPLIED OPTICS 2177



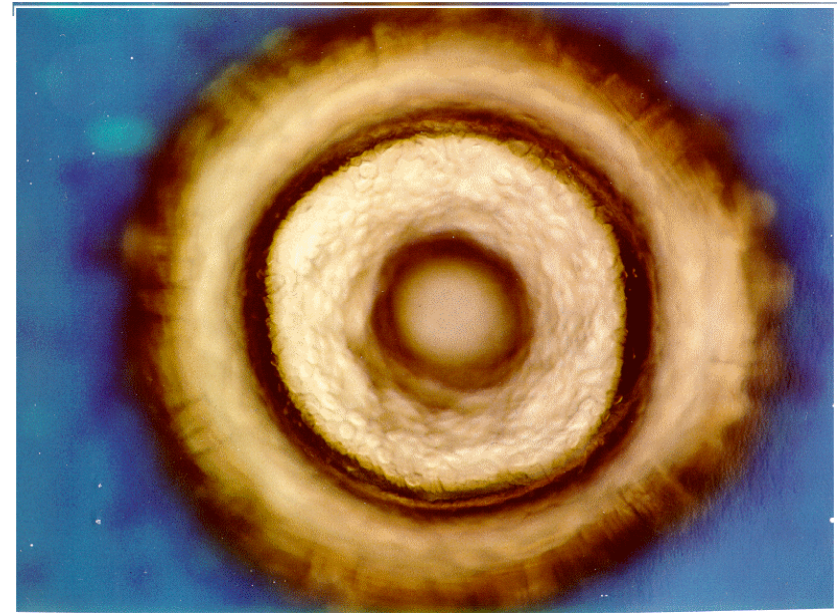
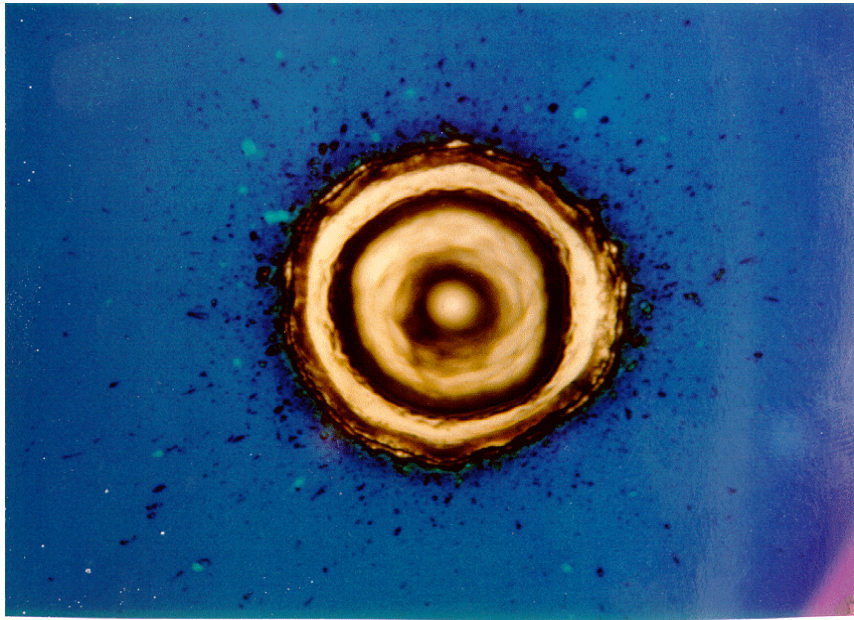
<u>sample number</u>	<u>SiO₂(%) fraction</u>	<u>Annealing temp.(⁰C)</u>	<u>Transmittance (ppm)</u>	<u>Total loss (A+S)(ppm)</u>	<u>Total loss change(%)</u>	<u>Roughness rms(nm)</u>	<u>Damage(kJ/cm²) threshold^c</u>
1	0	as-deposited	35	430±12	0	0.18	2.80
2	0	180	33	142±10	-67±2	0.19	6.12
3^a	0	225	39	216^b	-50	0.55^b	immediate damage
4	17	as-deposited	90	282±36	0	0.16	4.27
5	17	200	94	72±7	-74±2	0.17	>6.37
6	17	300	96	41±12	-85±4	0.16	>6.37
7	17	400	99	34±11	-88±4	0.17	>6.37



Laser Induced Damage

(A)

(B)

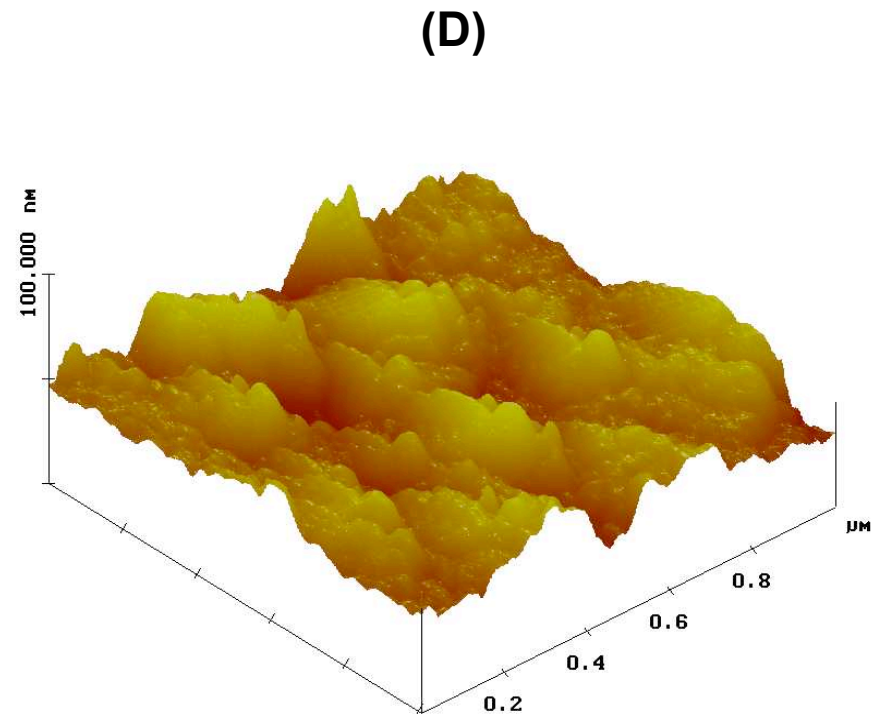
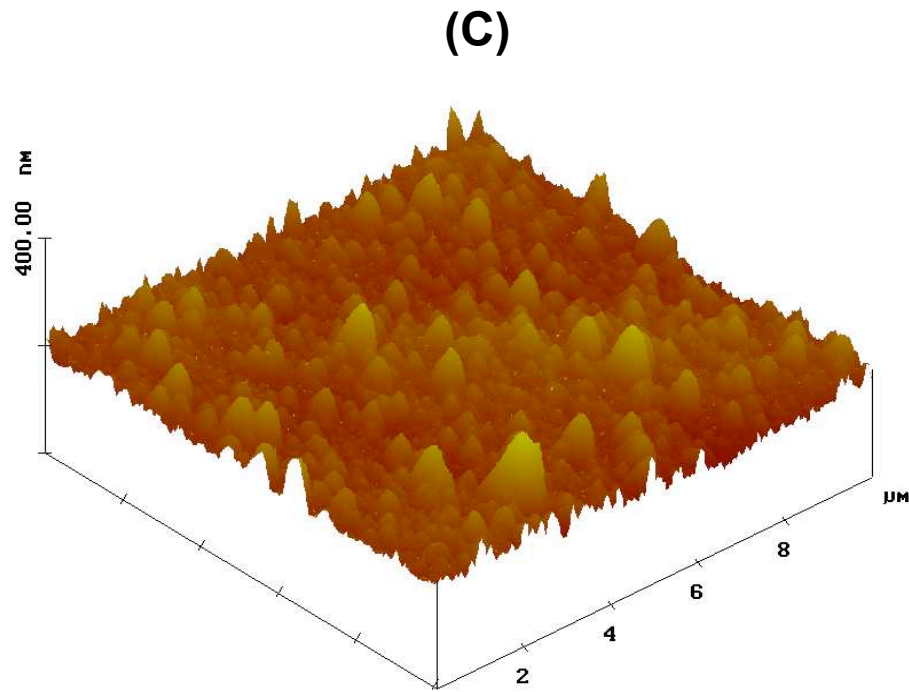


← 3 mm →

← 1.5 mm →

SiO₂:0% as-deposited
damage threshold: 2.8 KJ/cm²

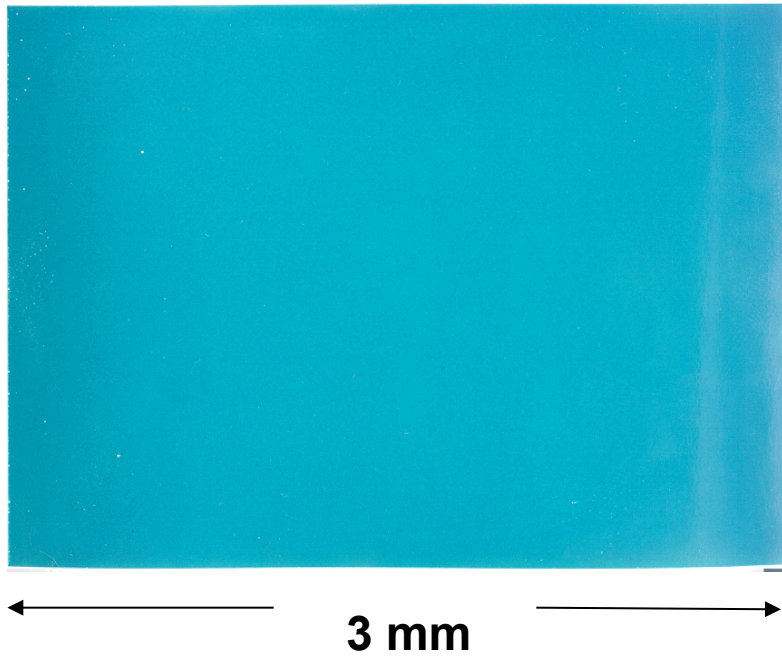
(A) (B): damaged spot under optical microscope



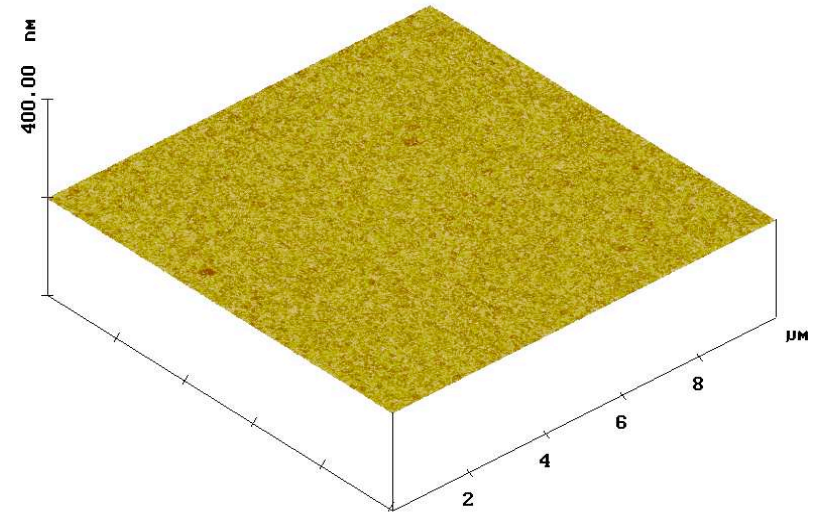
SiO₂:0% as-deposited
damage threshold: 2.8 KJ/cm²

(C) (D) damaged spot under AFM

(A)



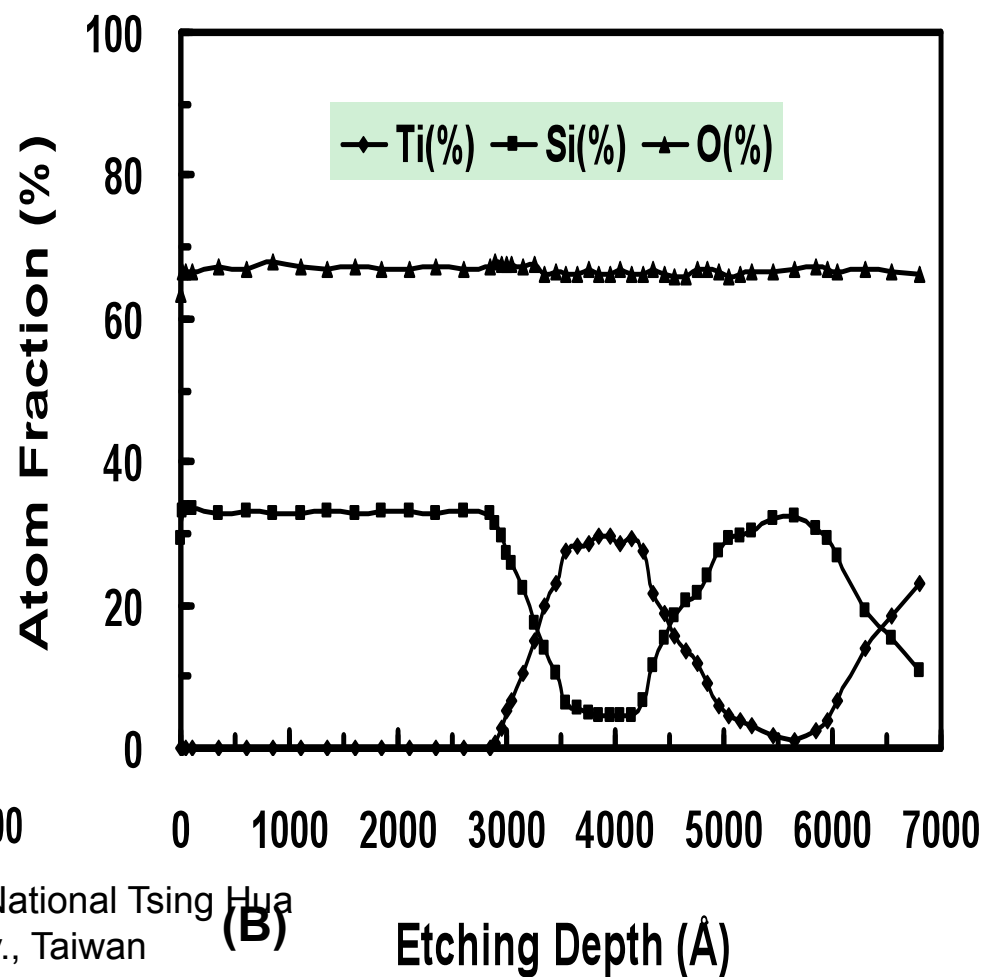
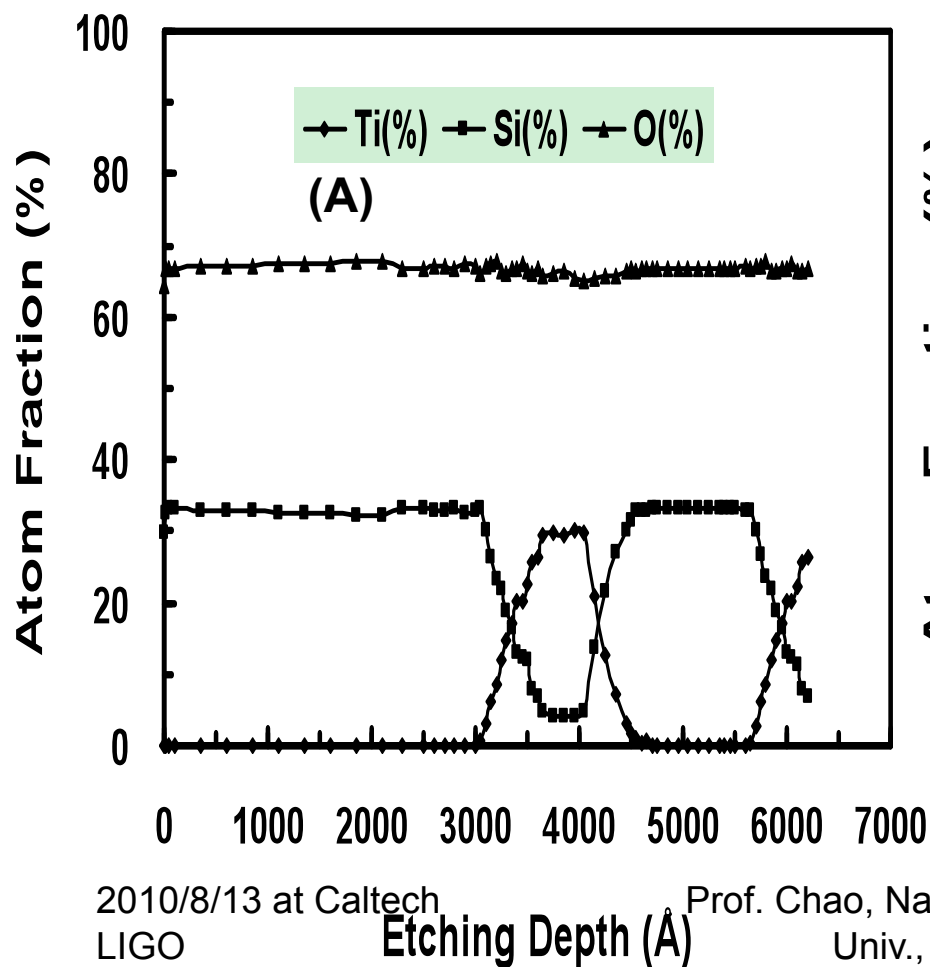
(B)

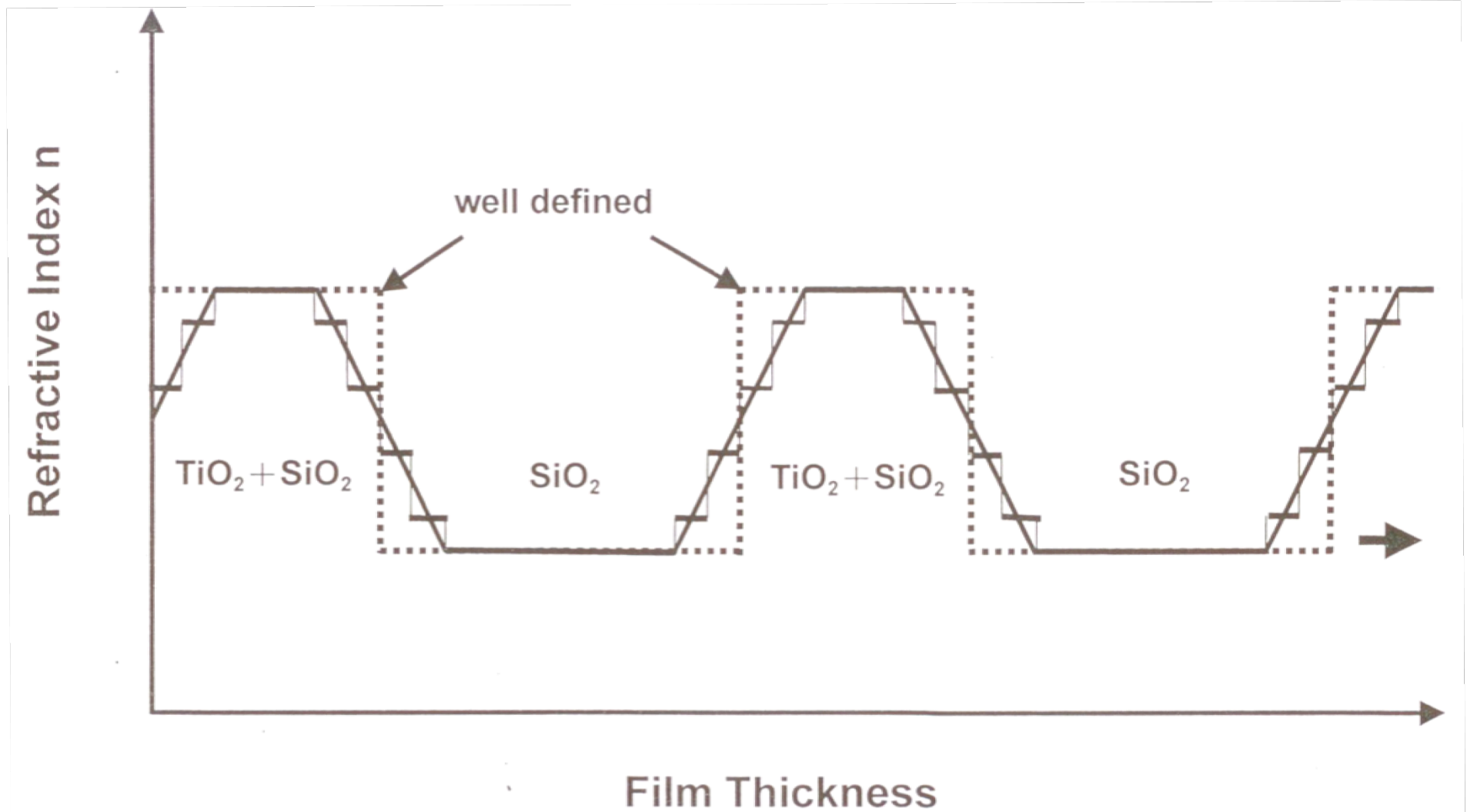


SiO₂: 17% annealed 200°C
Damage threshold: >6.37 KJ/cm²

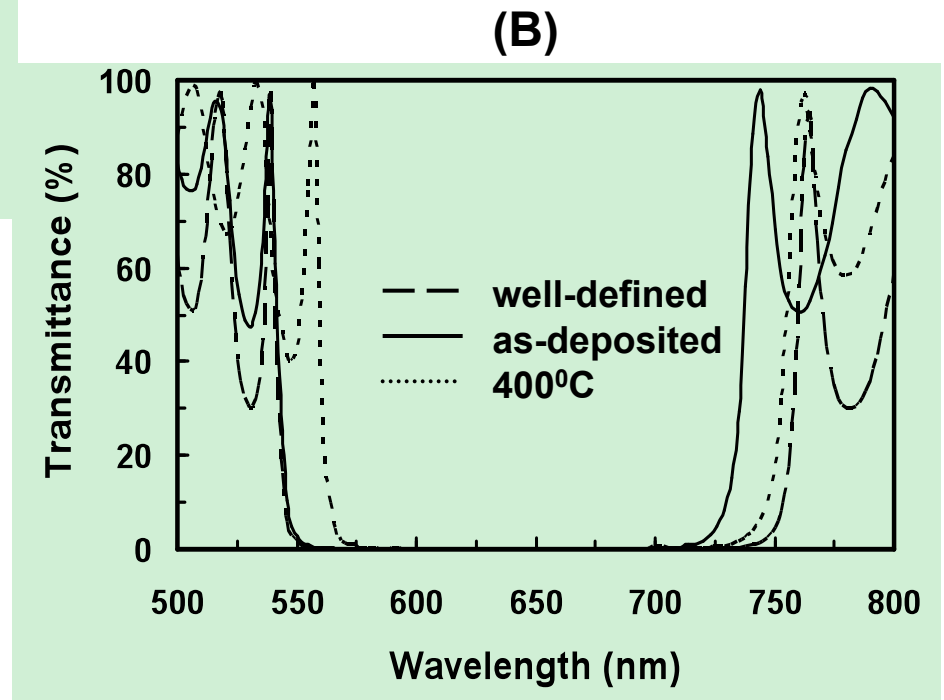
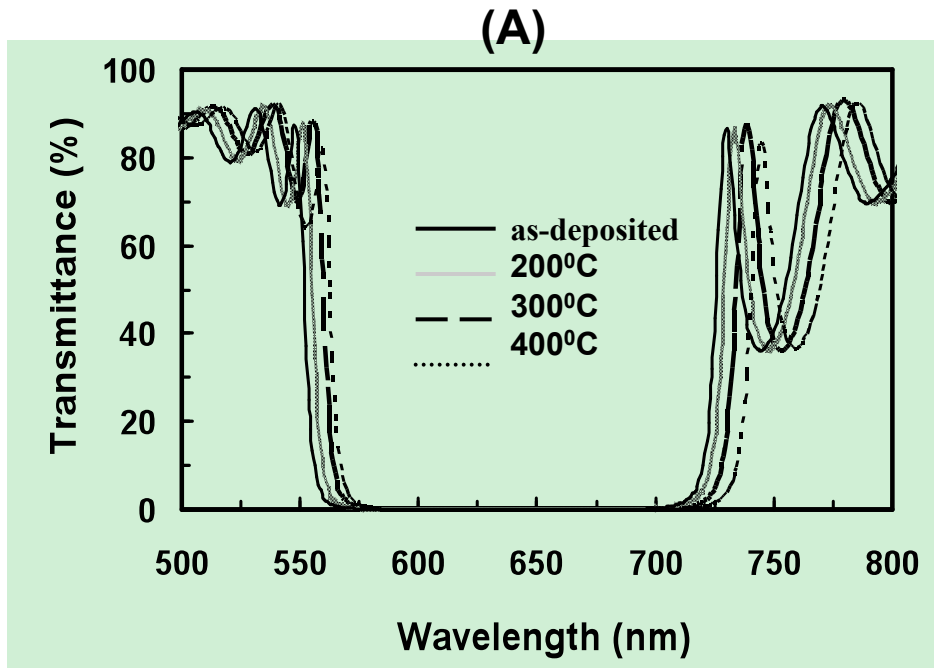
(A) Optical microscope (B) AFM of the exposed spot => no damage

**Depth profile for the mirrors (A) as-deposited(B) after
400°C annealing (17% mixing)
(Tracing the Ti_{2p} Si_{2p} and O_{1s} by ESCA)**





圖(三十九)以 $\text{TiO}_2\text{-SiO}_2$ 混合膜為高折射係數層的雷射反射鏡無界面層存在的理想膜堆、
界面折射率漸變膜堆與程式分割模擬漸變膜堆之折射係數分佈示意圖



圖(三十五)以 $\text{TiO}_2\text{-SiO}_2(17\%)$ 混合膜為高折射係數層的雷射反射鏡退火後的穿透光譜(A)為從光譜儀得到的量測值(B)為從ESCA對鏡片膜層原子分佈的偵測，以薄膜矩陣電腦模擬分析穿透率的結果

Other methods for depositing mixed films

TiO₂-SiO₂ mixed films prepared by the **fast alternating sputter** method

Shiuh Chao, Cheng-Kuel Chang, and Jyh-Shin Chen

We introduced the fast alternating sputter method and its application on deposition of TiO₂-SiO₂ mixed films. By using fast alternating sputter, the TiO₂ and SiO₂ were completely mixed in the film, and no thinpair structure could be found by x-ray diffraction. The structure of the mixed films was amorphous in a wide composition range. The optical properties of the mixed films in the visible and near infrared changed from SiO₂-dominant to TiO₂-dominant as TiO₂ content in the film increased.

1 August 1991 / Vol. 30, No. 22 / APPLIED OPTICS 3233

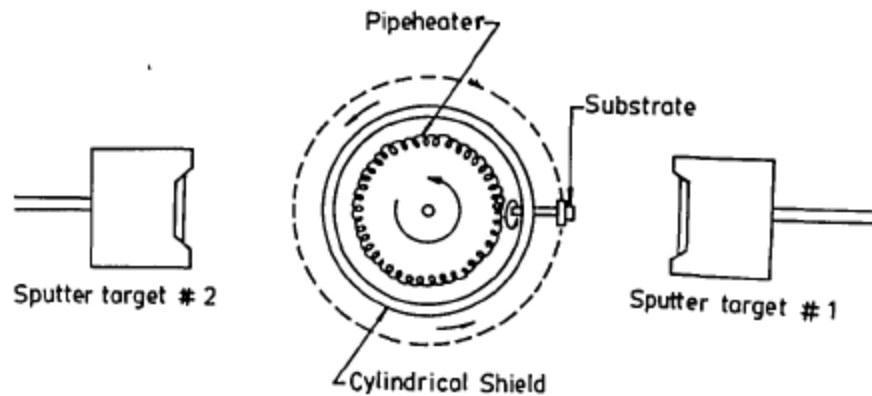


Fig. 1. Alternating sputter setup.

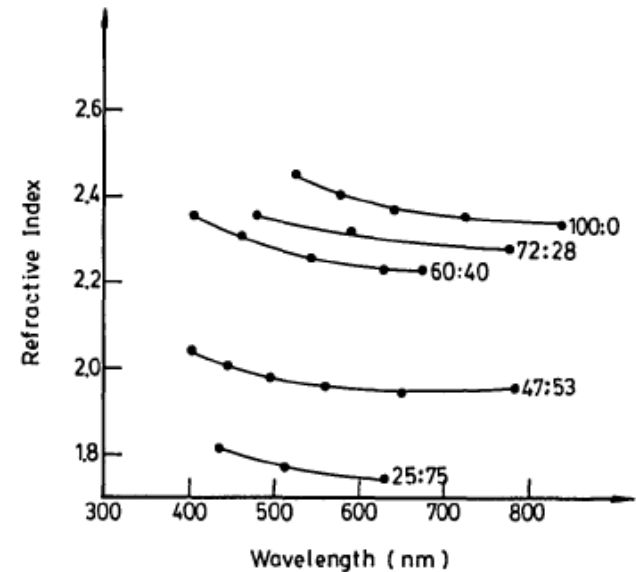


Fig. 6. Refractive index versus wavelength for the mixed films in Figs. 4 and 5.

Slow alternating sputter for “nano-film” ?

Mixed films of TiO₂-SiO₂ deposited by **double electron-beam coevaporation**

Jyh-Shin Chen, Shiuh Chao, Jiann-Shiun Kao, Huan Niu, and Chih-Hsin Chen

We used double electron-beam coevaporation to fabricate TiO₂-SiO₂ mixed films. The deposition process included oxygen partial pressure, substrate temperature, and deposition rate, all of which were real-time computer controlled. The optical properties of the mixed films varied from pure SiO₂ to pure TiO₂ as the composition of the films varied accordingly. X-ray diffraction showed that the mixed films all have amorphous structure with a SiO₂ content of as low as 11%. Atomic force microscopy showed that the mixed film has a smoother surface than pure TiO₂ film because of its amorphous structure.

Linear and Bruggeman's effective medium approximation models fit the experimental data better than other models.

APPLIED OPTICS Vol. 35, No. 1, 90, 1 January 1996

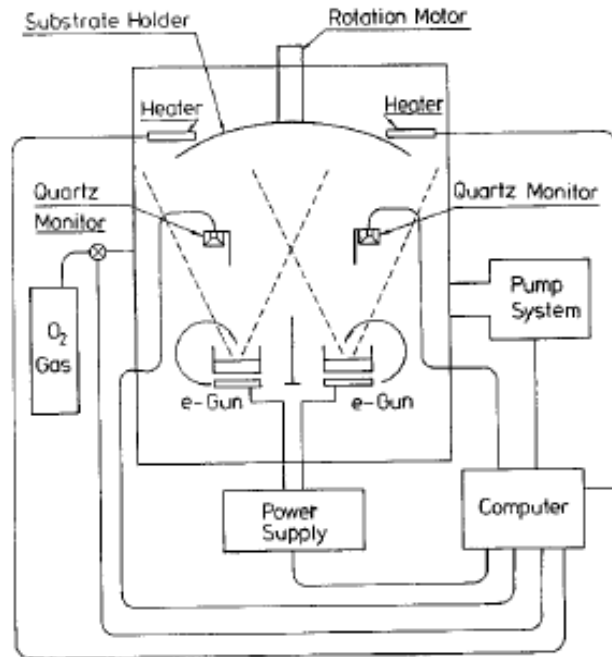


Fig. 1. Configuration of the Balzers BAP800 coating chamber.

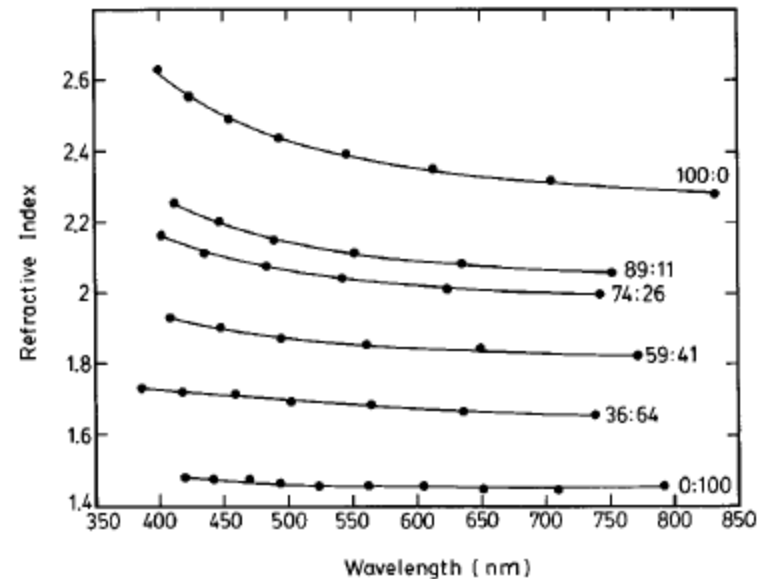


Fig. 7. Refractive index versus wavelength for the mixed films. The ratios are the TiO₂:SiO₂ mole fractions.

Mixed film for LIGO application

- One more factor ---- mechanical loss ---- should be added for investigation
- Near future: **systematically characterizing the mechanical loss of the films**
- Current effort: recovering the old coating conditions for the films